Colorado Springs Institute of Internal Auditors  
Chapter (CSIIA)  
Autumn 2013 Seminar  
Speaker: Toby Groves  
October 23, 2013  
Colorado Springs City Administration Building  
30 S. Nevada Avenue, Suite 102  
Note: Bring your parking garage ticket from the City garage on Nevada/Colorado Ave. into the meeting to be validated for free parking.

The Psychology of Fraud (4 CPE)  
Morning session: 8:00 a.m. – 12:00 p.m.

Our assumptions about fraud: How it starts, who is involved, and why it grows—drives our behaviors and judgment. Unfortunately, these assumptions are usually wrong and lead to a variety of critical errors.

Toby uses his experience of being convicted of mortgage fraud to delve into the causes of fraud and the complex issues surrounding individual and group behavior that provides a framework for understanding. Together, we will examine the latest research into the psychology that surrounds fraud, including the details of how it begins, why it continues, and why so many well meaning people cooperate.

We will review actual cases as examples of the concepts learned, and conduct an interactive discussion of how these concepts can be applied as part of your work.

Who Should Attend: Consultants, compliance and risk managers, CPA’s, auditors, controllers, CIO’s, CEO’s, Board Members, and anyone who want to understand organizational behavior related to corporate risk and culture.

Objective: Participants will be able to identify, understand and apply behavioral concepts that assist in developing programs for risk management and cultural improvement.

Enhanced Communication Skills for Auditors (4 CPE)  
Afternoon session: 1:00 p.m. – 5:00 p.m.

Highly effective auditors must build strong relationships and rapport, handle conflict, establish high levels of trust and credibility, articulate complicated ideas, gain influence, and be able to do all of these skills simultaneously. All of these tasks require excellent communication skills, and behavioral science provides important information about building and using these skills in the audit context.

This presentation uses the latest behavioral research and interactive exercises to identify the most effective communication methods, increase our accuracy in perceiving others, gain a greater understanding of how we are perceived, and work towards bridging the gap between our current communication style and the style that would be most effective in the audit environment.

Who should attend: Auditors, controllers, CPA’s, CAE’s, CIO’s, CEO’s, compliance and risk managers, ethics officers, and anyone wanting to improve their skills by understanding the behavioral science associated with organizational risk and culture.

Objectives: This presentation uses behavioral science to integrate communication skills with accounting and auditing concepts. Participants will be able to identify and understand behavioral concepts in communication and apply them to auditing, accounting and governance situations, as well as using these concepts to assist in team effectiveness and cultural improvement.
Registration

Name: ________________________________________________
Organization: __________________________________________
Address: _______________________________________________
City, State, Zip: __________________________________________
Email: __________________________________________________
Phone: ___________________________________________________
IIA Member #: ____________________________________________

☐ $50 half day (morning session) - Students (ID required)
☐ $50 half day (afternoon session) - Students (ID required)
☐ $75 full day - Students (ID required)
☐ $100 half day (morning session) - Members
☐ $100 half day (afternoon session) - Members
☐ $175 full day - Members
☐ $125 half day (morning session) - Non-members
☐ $125 half day (afternoon session) - Non-members
☐ $200 full day - Non-members

How to Register

Payment methods: Check (payable to CSIIA) or PayPal (plus a 3.5% fee)

Complete this form and mail with payment:
Brittany Tomko
C/O Colorado East Bank & Trust
94 S. Tiffany Dr.
Pueblo West, CO 81007

Contact Brittany Tomko with questions at
719-549-6483 or btomko@coloeast.com

Registration fees must be received to reserve your place prior to October 15, 2013

Early registration is encouraged!

Format: Group-live
Knowledge Level: Beginner to Advanced
Prerequisite & Advanced Prep: None

Class material to be provided by speaker.

Cancellation Policy

Refunds will be issued for cancellations in writing received before October 15, 2013 less a cancellation fee of $50.

No refunds will be granted after October 21, 2013.

The Office of the City Auditor for Colorado Springs, CO, is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE Credit. Complaints regarding registered sponsors may be addressed to the National Registry of CPE Sponsors, 150 Fourth Avenue North, Suite 700, Nashville, TN 37219-2417. Web Site: www.nasbatools.com

4 CPEs for half day
8 CPEs for full day

Morning Session registration starts at 7:30 am
Class starts promptly at 8:00 am to 12:00 pm

Afternoon Session registration starts at 12:45 pm
Class starts promptly at 1:00 pm to 5:00 pm

Light morning and afternoon snacks will be provided