Dear Members,

I want to start thanking Chip Clark, our Chapter Vice President, for writing to our membership in last month’s President’s Message.

It’s already December, and the Holidays are upon us. Given that this is the time of the year for sharing with the people we care about, our Chapter leadership and volunteers would like to invite you to our Chapter Holiday Social, to take place right after our Lunch meeting on December 18th. We will have desserts and coffee and will be a great opportunity to network with our fellow members and peers.

During the month of November, we had outstanding events, including our joint IIA-ISACA dinner, and our all-day training events. Both events had a great turnout, and we look forward to seeing you at our upcoming events.

During this month of December, we will have Waymond Rodgers, Professor at the School of Business in the University of Hull (UK), present on the topic of Biometrics and Internal Control Systems. We will host our Chapter Holiday Social right after our lunch event on December 18th. After the Holidays, we start 2013 with a very relevant all-day training event (8 CPE credits) on ERM 2.0 presented by Andrew Tinseth, Chief Audit Executive at Western Digital Corporation. We are honored by having such distinguished speakers at our upcoming events.

I want to close this month’s message by wishing you a joyful Holiday season, and hope that you have an opportunity to enjoy this time with your family and friends.

Yours truly,

Carlos Amaya  
President  
IIA Orange County Chapter
Welcome to Our New and Transfer Members!

Manuel Pulido

Emily McKinney

Happy Holidays!
GRC Fundamentals Seminar

Organizations that understand and apply the concept of Principled Performance, by integrating governance, risk and compliance (GRC), in both processes and technology, have a real competitive advantage.

Learn how you can help your organization - and even get certified as a GRC Professional - by attending a GRC Fundamentals Seminar.

Through lecture, practical group interaction, discussions, and exercises, attendees learn about defining a GRC strategy, strengthening core business processes, and improving use of technology to support the integrated governance, management and assurance of performance, risk and compliance. Attendees of the GRC Fundamentals Seminar gain a practical understanding of the following learning objectives:

- Motivate and inspire desired conduct through the concept of Principled Performance
- Prepare attendees for the Certified GRC Professional exam (www.GRCCertify.org)
- Understand the components of the OCEG GRC Capability Model
- Align governance, risk and compliance with the internal and external context of the organization
- Understand, define, and enhance organizational culture
- Implement GRC processes that are effective, efficient, and agile
- Understand technology’s role in GRC
- Learn how to develop a GRC strategic plan

Come learn about GRC in this 3-day interactive seminar while earning 20 CPE at the same time. There are a limited number of seats left for this valuable seminar and Mefford Associates is offering a significant discount to southern California IIA members. Save on travel costs, and save $500 off the normal price, all while earning the last bit of CPE for 2012 in the comfort of Orange County.

To register for this seminar visit: http://www.eventbrite.com/myevent?eid=3816988716 and use IIA-SC coupon code to redeem your $500 discount.
**IIA OC Chapter on LinkedIn**

“IIA OC welcomes all new members to our chapter and would like to extend a personal invite to our IIA Orange County Chapter LinkedIn group. We share meeting details, jobs and other snippets of information real time. We also encourage networking and sharing of contact information.”

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**Be a Part of Mission Possible in 2013!**

Volunteer to serve your local Chapter of the Institute of Internal Auditors!

We are looking for volunteers to help us continue on the path to Platinum by serving your local Orange County Chapter. Volunteer opportunities are waiting for you. If you would like to contribute to the future of Internal Auditing in your local area, please contact Carlos, Chip or Tiffany.

We look forward to greeting you at your next Chapter meeting.

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**Mark Your Calendars**

Save the date for future IIA events!

**December**
- 12/18/12 – CAE/CFO Roundtable – Please check the IIA website for more information
- 12/18/12 – Lunch Meeting and Holiday Social – Biometrics and Internal Control System

**January**
- 01/09/13 – All Day Training – ERM 2.0
- 01/15/13 – Lunch Meeting – Please check the IIA website for more information

https://chapters.theiia.org/Orange%20County/Pages/default.aspx
IIA December Lunch Meeting and Holiday Social

Date: Tuesday, December 18, 2012
Time: 11:00 – 1:00 PM (Meeting)
1:00 – 2:00 PM (Holiday Social)
Location: Equinox | 1980 Main Street, Irvine, CA 92614

Biometrics and Internal Control Systems

Waymond Rodgers is a C.P.A. and Professor/ Co-director of the Center of Accounting & Accountability in the School of Business at the University of Hull (UK) and part time El Paso Chair Professor at the University of Texas (on sabbatical University of California in Riverside). His degrees are from Michigan State University, B.A. in Accounting; University of Detroit-Mercy, M.B.A. in Finance; the University of Southern California, Ph.D. in accounting information systems; and an experimental psychology post-doctorate from the University of Michigan. His experiences include working as an auditor with Ernst & Young and PriceWaterhouseCoopers, as well as a commercial loan officer with Union Bank. His primary research areas are auditing, commercial lending decisions, decision modeling, ethics, trust issues, intellectual capital, and knowledge management. Professor Rodgers' has published in Auditing: A Journal of Practice & Theory, the Communications of the ACM, European Accounting Review, Journal of Business Ethics, Journal of Applied Social Psychology, Journal of Economic Psychology, Journal of the Association of Information Systems, Management Science, Organization Studies, among other journals. Finally, he is the recipient of major research grants from the CGA Canada Research Foundation Grant, Citicorp’s Foundation Grant, Ford Foundation, Franklin Fellowship, National Institute of Health, National Science Foundation, Department of Defense, and the Navy Personnel Research and Development Center.

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Please register by Monday, December 17 at:
https://chapters.theiia.org/Orange%20County/Events/Pages/IIA-December-Lunch-Meeting-and-Holiday-Social.aspx

Please join us for our holiday social after the lunch event!
Dessert and coffee to be served directly following the meeting.
IIA All Day Training Event (January)

Date: Wednesday, January 9, 2013
Time: 8:00am – 8:30 am (Registration)
8:30am-12:00pm (Class)
12:00pm-1:00pm (Lunch)
1:00pm-5:00pm (Class)
Location: Equinox | 1980 Main Street, Irvine, CA 92614
CPE Hours: 8

ERM 2.0

Summary: There has been a lot of discussion around Enterprise Risk Assessments (ERA) within the past few years. Specifically, this discussion has included: the need to have an established ERA process; the disclosure requirements to communicate your ERA process to various agencies (SEC, NYSE, debt rating organizations, etc.); the appropriate tools/software needed to complete the assessment; the proper frequency to perform the assessments; and the proper role of Internal Audit in an organization’s ERA process. All of these discussions assume that your organization already has a robust ERA process.

However, what if your organization does not have one? Do you know where to begin? Do you have proper executive management support to complete an effective assessment? What if you don’t have the budget for an advanced ERA (or GRC) solution? The purpose of this discussion is to provide a step by step approach on how to complete a simple, yet effective, ERA. We will discuss strategies and methods to get executive support and participation. We will discuss Internal Audit’s role in ERA and also how to move your ERA into an Enterprise Risk Management (ERM) program. Finally, templates, questionnaires and reporting frameworks will be provided that will assist you in completing your ERAs.

Back in April 2012, we had discussed a general approach to assessing, budgeting, planning, and implementing a robust ERA process. During our full-day training, we will take a detailed approach for a step-by-step process.

About Andrew Tinseth, Chief Audit Executive – Western Digital Corporation

Andrew Tinseth is the Senior Director of Internal Audit (Chief Audit Executive) at Western Digital Corporation (a Fortune 500 hard drive manufacturer with approximately $10 billion in annual revenues and operations across the globe). His role includes responsibility for the traditional Internal Audit function (i.e. financial, operational, compliance and IT audits), enterprise risk assessment, Sarbanes Oxley (SOX) compliance, sales channel compliance and investigations. Prior to Western Digital, Mr. Tinseth was Vice President of Internal Audit (Chief Audit Executive) for Corinthian Colleges (a publicly traded for profit education company with approximately $1 billion in annual revenues and over 120 schools across North America). He has also held a senior Internal Audit management position at Union Bank of California (subsidiary of the Bank of Tokyo Mitsubishi) and consulted for numerous Fortune 100 companies while working for Ernst & Young and Deloitte. Mr. Tinseth is a Certified Public Accountant (CPA) in the State of California and a Certified Information Security Manager (CISM). He has received a Masters of Business Administration degree (MBA) and a Bachelor’s degree (BS) in Accounting, both from the University of Southern California (USC).

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Please register by Monday, January 8 at:
https://chapters.theiia.org/Orange%20County/Events/Pages/IIA-All-Day-Training-Event-(January).aspx
Membership Growth Campaign

Help the OC IIA Chapter Win!!

A Membership Growth Campaign was launched in October to recruit new members. The Campaign ends **December 31, 2012**.

The *Chapter and District Competition* offers individual membership at a discount off the regular rate for new IIA members, and they'll **WAIVE** the $25.00 processing fee.

Please use the following code: **Chap12** for 30% off USA New Membership dues.

**Recruiting Ideas and Tips!**

- List your upcoming meetings in business and campus newspaper. Send e-mail reminders to all members and potential members.

- Always be prepared with a two-minute talk on the value of joining The IIA. Think WIIFM- "What's In It For Me?" That's what prospective members are asking themselves. Be sure to tell them specifically how membership will benefit them.

- Set up tables displaying IIA materials at all chapter meeting events, student activities, job fairs and even freshmen orientations.

- Invite a successful professional in your community to speak to your chapter on the value of joining professional associations.

- Talk to lots of people about the IIA. Experts say it takes ten calls to make one sale.

- Always speak with excitement and pride when describing your chapter. **Remember: Enthusiasm is Contagious!**
Internal Auditor

Position Information
- Salary up to 85K based upon experience plus bonus of 5-7%
- Excellent benefits/401(K) package

Required Skills & Experience
- Four to six years of SOX and Internal Audit compliance experience
- CPA desired

To apply or for more information contact:
Matt Primeau | Director Business Development | Beacon Resources
Office (949) 955-1773 x308
Email: MattPrimeau@Beacon-us.org

Senior IT Internal Auditor (also Manager)

Required Skills & Experience
- Three to seven years of IT audit experience
- CPA and/or CISA desired

To apply or for more information contact:
Matt Primeau | Director Business Development | Beacon Resources
Office (949) 955-1773 x308
Email: MattPrimeau@Beacon-us.org
Note from the Chairman of the Employment Committee

We are currently forwarding job openings in a blind carbon copy (BCC) email to members, who have requested that service. This service is offered to members at no charge. There are employers and recruiters out there who do e-mail us of openings but choose not to advertise in our newsletters. You can e-mail Rick Neisser at Rick.Neisser@Farmersinsurance.com if you are a member & would like to be notified of job openings as Rick receives them. Rick does not, however, forward any member’s resume to companies nor does he contact any company on behalf of any member.

Please email Rick if your firm has any openings that can be passed along to our members seeking opportunities. If you feel it would be appropriate for us to contact your HR department & establish a relationship, please let us know. Also, any company desiring to advertise job openings in the monthly newsletter and on the website may do so for free. Please see the following section for details & encourage your firm or company to advise us of openings even if they choose not to advertise. Thank you.

Placing a Job Opening Advertisement

JOB POSTING FEES – Free

FILE FORMAT - The file should be no more than one 8 1/2” x 11” sheet (one page, letter size) in Microsoft Word format. Please email the file to:

OC IIA Newsletter Editor Nathan Chao at Nathan.Chao@ey.com

OC IIA Webmaster Priscilla Medrano at PMedrano@venturafoods.com