Upcoming Chapter Event *

<table>
<thead>
<tr>
<th>Date</th>
<th>Thursday, February 15th, 2018</th>
</tr>
</thead>
</table>
| Time         | Registration/Breakfast-7:45 AM – 8:30 AM  
               Training-8:30 AM – 12:00 PM |
| Topic        | “Cybersecurity/Data Privacy” |
| Presenter    | Protiviti                      |
| Place        | OneMain Financial – Inspiration Room  
               (601 Bldg., lower level) |
| Cost         | $75/Member and $90/Non-member |
| Food         | Breakfast                      |

* This is a 4 hour CPE opportunity. Protiviti will be presenting on Cybersecurity and Data Privacy hot topics across all industries: the most recent cybersecurity trends, the upcoming General Data Protection Rule (GDPR), and insights on protecting your company’s “Crown Jewels.”
2018 Chapter Meeting Calendar

<table>
<thead>
<tr>
<th>Date</th>
<th>Speaker</th>
<th>Topic</th>
<th>Location</th>
<th>CPE</th>
</tr>
</thead>
<tbody>
<tr>
<td>March 15, 2018</td>
<td>TBD</td>
<td>CAE Roundtable</td>
<td>OneMain Financial</td>
<td>TBD</td>
</tr>
<tr>
<td>April 19, 2018</td>
<td>TBD - 8 HR. TRAINING</td>
<td>TBD</td>
<td>OneMain Financial</td>
<td>8</td>
</tr>
<tr>
<td>May 17, 2018</td>
<td>Eric Williams</td>
<td>Security</td>
<td>OneMain Financial</td>
<td>1</td>
</tr>
</tbody>
</table>

** Date subject to change

2017-2018 Tri-State Chapter Board

<table>
<thead>
<tr>
<th>Name</th>
<th>Organization</th>
</tr>
</thead>
<tbody>
<tr>
<td>Karen Cain</td>
<td>Ziemer, Stayman, Weitzel, &amp; Shoulders, LLP</td>
</tr>
<tr>
<td>Tony Mattingly</td>
<td>CHAN Healthcare</td>
</tr>
<tr>
<td>Linda Sartore</td>
<td>Deaconess Health Systems</td>
</tr>
<tr>
<td>Blair Brown</td>
<td>Old National Bancorp</td>
</tr>
<tr>
<td>Tarrah Logsdon</td>
<td>Old National Bancorp</td>
</tr>
</tbody>
</table>

February 2018 Tri-State IIA Membership Anniversaries

<table>
<thead>
<tr>
<th>Name</th>
<th>Date Joined</th>
</tr>
</thead>
<tbody>
<tr>
<td>Karen Rose</td>
<td>2/3/2016</td>
</tr>
<tr>
<td>Mark Chandler</td>
<td>2/9/2005</td>
</tr>
<tr>
<td>Brad Will</td>
<td>2/23/2011</td>
</tr>
<tr>
<td>James Medley</td>
<td>2/29/2016</td>
</tr>
<tr>
<td>Stephanie Donohoo</td>
<td>2/27/2013</td>
</tr>
<tr>
<td>David Branch</td>
<td>2/7/2007</td>
</tr>
<tr>
<td>Michael Shaw</td>
<td>2/28/1999</td>
</tr>
<tr>
<td>Matthew Cooper</td>
<td>2/6/2015</td>
</tr>
<tr>
<td>Michael Will</td>
<td>2/26/2011</td>
</tr>
<tr>
<td>Erik Neu</td>
<td>2/1/2017</td>
</tr>
<tr>
<td>Gilbert Varble</td>
<td>2/28/1999</td>
</tr>
<tr>
<td>Jacob Heaton</td>
<td>2/8/2018</td>
</tr>
<tr>
<td>John Keith Ray</td>
<td>2/28/1999</td>
</tr>
<tr>
<td>Ralph Blair</td>
<td>2/2/2012</td>
</tr>
<tr>
<td>Beth Martin</td>
<td>2/12/2013</td>
</tr>
<tr>
<td>Jena McCarty</td>
<td>2/23/2015</td>
</tr>
<tr>
<td>James Silva</td>
<td>2/11/2002</td>
</tr>
<tr>
<td>Ami Slaubaugh</td>
<td>2/25/2016</td>
</tr>
</tbody>
</table>
LOUISVILLE CHAPTER SPRING SEMINAR

Spring Seminar

Optimization Auditing and Ethics

Dates: March 5, 2018
Registration: 8:00 am - 8:30 am ET (each day)
Meeting Time: 8:30 am - 5:00 pm ET (each day)
Presenter: Danny Goldberg
CPE: 8 hours
Location: Republic Bank Springhurst
Community Room (Lower Level)
9600 Brownsboro Road
Louisville, KY 40241

Member Price: $250, if you register prior to 2/17/2018; $275 if you register after 2/16/2018
Non-Member Price: $275, if you register prior to 2/17/2018; $300 if you register after 2/16/2018

Registration: http://www.eiseverywhere.com/313301

Our Chapter is excited to announce our Spring Seminar Line up! The first 6 hours will focus on Optimization Auditing and the last 2 hours will focus on Ethics to fulfil the new 2 hour Ethics requirement beginning in 2018.

“Optimization Auditing”
Seminar Overview
With companies looking to internal audit to assist in identifying areas of improvement, auditors must be effective in identifying operational efficiencies and process effectiveness. This one-day course will take attendees through the basics and leading practices of operational auditing. Auditors must be able to significantly increase value and assist the organization in achieving its objectives.

Learning Objectives:
- Understand the basics of operational auditing, including definitions and terms, and what optimization auditing looks like
- Learn the importance of optimization auditing and how it can help distinguish the audit department
- Learn the key steps in the optimization audit process and how to sell changes to the organization
- Learn how to integrate fraud testing as part of the optimization auditing

“Practical Ethics”
Seminar Overview
CPA’s and internal auditors are faced with complex decisions on a daily basis without realizing many of them are actually ethical dilemmas. Although values and ethical issues pervade all social studies, this course provides attendees with an opportunity to study these issues in greater depth. This is a facilitated course with numerous group discussions where attendees can put into practice key learnings from the course.
Learning Objectives:

- Understand the basic definition of ethics, how to apply it and the difference between ethics and morals
- Learn more about the ethical dilemmas we face in the workplace and how to handle them
- Learn about four methods to resolve ethical dilemmas

Danny Goldberg

Danny M. Goldberg is a well-known speaker on internal auditing and People-Centric Skills. Danny co-authored People-Centric© Skills: Communication and Interpersonal Skills for Internal Auditors, via Wiley Publications. This is the first book published specifically to address the wide-ranging topic of communication skills for internal auditors. It has been offered through the IIA and ISACA bookstores since July 2015 and has sold over 2,500 copies (through 2017).

Danny has over 20 years of professional experience; including five years leading/building internal audit functions. Danny was named as one of the Fort Worth Business Press 40 Under 40 for 2014. He has published numerous articles in trade magazines, including:

- HFTP Journal: Practice Ethics (November 2014)
- The Audit Report (Critical Thoughts on Critical Thinking, June 2013) – Cover Article
- Dallas Business Journal (The Yes Man Phenomenon, January 2011)

Danny is also accredited as the Professional Commentator of the Bureau of National Affairs - Internal Audit: Fundamental Principles and Best Practices (Professional Commentator). This book was authored by renowned audit scholars Curtis C. Verschoor and Mort A. Dittenhofer – co-author of Sawyer’s Internal Auditing.

Mr. Goldberg has been recognized as a top speaker at numerous events over the past five years, including:

- Top Rated Speaker, 2016 IIA/ISACA GRC Conference
- Top Rated Speaker, 2016 ISACA CACS Conference
- 3rd Ranked Speaker, 2015 IIA All-Star Conference
- One of the Top Rated Sessions, 2015 IIA GAM Conference
- 8th rated speaker – 2015 MISTI AuditWorld
Tri-State Chapter News

IIA 2018 International Conference

The IIA’s 2018 International Conference is coming up fast! Make sure you are part of the timely and insightful conversation regarding current challenges and future issues that internal audit is and will face - artificial intelligence, data analytics, auditing culture, digitalization and much more.

Download the Conference Brochure.

2018 State of the Internal Audit Profession Webcast

Join us on Tuesday, April 3, 2018 from 02:00 PM – 3:30 PM ET when we will share the results and our perspectives from the 2018 State of the Internal Audit Profession Survey.

Register today.

Take Advantage of IIA Membership Benefits in 2018

Your membership can pay for itself with discounts on IIA conferences, IIA Bookstore purchases, professional certifications, and training.

Take advantage of these exclusive member benefits.

Get the Tools You Need at Every Level

The IIA has revised and renamed its best-selling courses to align with IPPF®. Reintroducing Tools & Techniques: I: New Internal Auditor, II: Lead Auditor, and III: Audit Manager.

Learn more.
CIA Exam Updates Coming January 2019

To ensure the exam’s content remains current and valid, the CIA underwent a job analysis study. Results of the study confirmed the need to revise the exam syllabi to reflect the evolution of the internal audit profession worldwide. The updated exam will be released in January 2019.

Learn more.

Change Coming to CPE Requirements for IIA Certifications

NEW! Beginning in 2018, two of every IIA-certified individual’s CPE/CPD credits must be earned in Ethics. While this is not a requirement for 2017, we recommend planning now to attain those credits in the coming year. The IIA offers a Registry of CPE Providers for those who still need to earn credits as well as a variety of online options and options from local affiliates.

Vision University

“One of the most valuable training courses of your career...”

Join a select group of C-level executives who lead their internal audit practice by attending The IIA’s premier chief audit executive (CAE) development program, Vision University (VU). Three sessions are planned for 2018.

Learn more now.

General Audit Management Conference

Save $200: Register Before December 31st

Today’s audit executives will experience an evolution of ideas and leading practices at this leader-driven dynamic program. With 5 general and 35 concurrent sessions, you will get practical strategies and tools and techniques for innovation and impact.

March 12-14, 2018 / Aria Resort & Casino / Las Vegas, NV

Register today.
Audit Intelligence Suite

A New Way to Look at Internal Audit
Through years of research and refinement, a smart and sophisticated yet simple to apply intelligence suite is now available for every internal audit function. Simply put, the Audit Intelligence Suite gives the CAE the ability to acquire valuable information and make it actionable.

Get the decision support you've been looking for.

Provide New Internal Auditors with Essential Training

IIA Training onDemand allows you to purchase individual courses or convenient subscription bundles for specific training goals. The Onboarding Learning Plan includes 10 courses that will help bring a new internal auditor up to speed.

Find out how to train your team quickly and easily.

Get the Essential Tools for Lead Auditors

Tools & Techniques II: Lead Auditor has been updated to align with The IIA’s 2017 IPPF. It provides all the elements and practices to lead an audit, and is designed for those with three to six years of experience.

See how the revised course remains a leader.

New COSO ERM Framework Guide

In his latest book, Managing Risks in Uncertain Times, author and ERM expert Paul Sobel provides steps that empower you to leverage the new COSO ERM Framework to add immediate value to your risk management reporting.

Order your copy today!
Auditing IT Governance

IT goes beyond enabling the daily functions of business. It can create or cripple your organization’s competitive advantage. Learn the role IT governance plays in the process in the newly updated Global Technology Audit Guide, Auditing IT Governance.

Download the updated GTAG now.

NEW! Liquidity Risk Practice Guide Available

From historical perspectives to present-day implications, the new Practice Guide: Auditing Liquidity Risk outlines why internal audit’s approach to liquidity risk must be updated in line with international standards. Created specifically for financial services audit professionals.

Add this new Practice Guide to your library today.

New Tools to Assess Fraud Risk

This practice guide describes fraud characteristics, and how to identify and assess risks when planning individual audit engagements. It discusses how to brainstorm fraud scenarios and evaluate fraud risks to determine which ones should be evaluated further.

Download now.
Book Collection
The Tri-State IIA Chapter has purchased approximately 30 audit/business related books for the public's viewing. The book collection is located in the Central Library (Evansville Vanderburgh Public Library) on the 2nd floor in the Business Collection. The book collection includes a wide variety of topics including: risk management, fraud, IT auditing, audit sampling, strategic auditing, etc. The chapter officers are very proud of this book collection and would encourage our members to go check some of the books out!

Tri-State IIA Website
The IIA has moved all chapter websites to a Microsoft SharePoint platform. Please update your favorite bookmarks to the new address for the Tri-State IIA website https://chapters.theiia.org/Tri-State/Pages/default.aspx.

2018 IIA Conferences

<table>
<thead>
<tr>
<th>Date</th>
<th>Conference</th>
<th>Location</th>
</tr>
</thead>
<tbody>
<tr>
<td>March 12–14, 2018</td>
<td>General Audit Management Conference</td>
<td>Aria Resort &amp; Casino / Las Vegas, NV</td>
</tr>
<tr>
<td>May 6–9, 2018</td>
<td>International Conference</td>
<td>Dubai World Trade Centre / Dubai, UAE</td>
</tr>
<tr>
<td>Oct. 22-24, 2018</td>
<td>All Star Conference</td>
<td>Aria Resort &amp; Casino / Las Vegas, NV</td>
</tr>
</tbody>
</table>

**Register early for IIA conferences and save!**

2017 IIA Online Learning Seminars
IIA Learning Online Seminars are real-time, facilitator-led courses offered online in short sessions over multiple days.
Check out a complete list of offerings. Explore courses and dates to reserve your spot.

Upcoming IIA Webinars
The IIA's complimentary Members-only Webinar Series is an IIA Membership benefit. These monthly educational webinars are a cost-effective and convenient way to immediately boost business know-how and are developed specifically with IIA members in mind.

**For Members-only Webinars: Registration will close 24 hours prior to the beginning of the webinar**

- February 20, 2018 - [Members-only Webinar: Preparing for the Future: 2018 Imperatives for Internal Auditors](#)
- March 20, 2018 - [Members-only Webinar: Auditing the Organization’s Compliance with the General Data Protection Regulation (GDPR)](#)
- April 17, 2018 - [Members-only Webinar: Turning Ethical Challenges into Strategic Opportunities](#)

On-demand 24/7 Webinar Playback
One benefit of being an IIA member is having access to our extensive library of archived webinars ([Webinar Archives](#)).

NOTE: On-demand webinars do not qualify for NASBA CPEs.
Take Your Career to the Next Level as a CIA.

Earning the Certified Internal Auditor® (CIA®) designation not only proves your competence and credibility, it can earn you respect, promotions, and $38k more annually.* Do the SMART thing and take advantage of your STRONG members-only savings:

<table>
<thead>
<tr>
<th>Members Save Up To</th>
<th>$580 On CIA Designation**</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$100 On CIA Learning System</td>
</tr>
</tbody>
</table>

*According to The IIA’s 2017 Internal Audit Compensation Study (based on U.S. responses).
**CIA designation savings vary.

Stay focused on preparing and passing the Certified Internal Auditor exam.

Get all the smart details here.
Congratulations on reaching Platinum!!!
About The Institute of Internal Auditors

Established in 1941, The Institute of Internal Auditors (The IIA) is the international professional association serving the Internal Audit profession globally. As the internal audit profession’s global voice, recognized authority, acknowledged leader, chief advocate, and principal educator, the IIA’s International Standards and Code of Ethics unite a global community of over 180,000 internal auditors in 190 countries working in myriad areas including internal auditing, risk management, governance, internal control, information technology audit, education, and security. Our mission is to provide dynamic leadership for the global profession of internal auditing. To learn more, visit The IIA’s website, www.theiia.org.

Visit the new 75th Anniversary website to learn about our history and participate in our bright future.