Join The Institute of Internal Auditors - Ak-Sar-Ben Chapter on LinkedIn!

Become a member of the Ak-Sar-Ben Chapter Facebook page!

If you have any ideas for future newsletters or newsworthy notes, please email Brian Fox by the 1st of each month.
Greetings IIA Ak-Sar-Ben Chapter Members!

Thanks to all who turned out in both Omaha and Lincoln for our lunch meeting on August 29. With 86 Members and guests strong, this was a great showing to kick off the new chapter year! We were pleased to welcome back Dr. Leah Georges, Creighton social psychologist and leadership professor, to address the topic of *The Power of Followership*. Leah previously spoke to our chapter on the *Generational Divide in the Workplace*, where her presentation received high marks from attendees. Like other IIA chapters, to supplement technical content, Ak-Sar-Ben occasionally offers a workplace psychology or culture/people-themed topic. We hope the presentation offered useful, research-based ‘thought leadership’ for your audit or other professional human resource management endeavors and approaches.

On the academic relations front, our chapter, in concert with ISACA Omaha Chapter, hosted a joint golf event on September 6. Six foursome teams played in a scramble format, raising funds to benefit future academic scholarships for deserving students at partner schools in our service area. A good time was had by all. Thanks to all our players, volunteers and sponsors for making our launch a success!

On the social front, the chapter hosted its first Member event—a walking tour of Omaha’s revitalized historic Blackstone District. Good food and drink were on offer, along with an educational walking tour, as Members had a chance to network and socialize. Be on the lookout for future socials as the chapter year progresses.

Our fall program calendar continues to fill up with professional development/CPE offerings. On September 26, we’ll be hosting Ryan Kastner, Global Head of Audit Analytics with PayPal, to present on *Audit Analytics as a Core Audit Capability*. Ryan addressed the chapter at our spring seminar in April, and his expertise-sharing is back by popular demand. Data Analytics remains a hot topic in the IA and business intelligence world, so you will not want to miss out.

Also on October 9, the Creighton University Harper Center (within the Heider College of Business) will host our annual full-day, 8-CPE fall seminar. In response to survey feedback, Ann Butera with The Whole Person Project consultancy will present and interact with the audience on *Lean and Agile Techniques for Strategic, Value-Added, and Efficient Auditing*, with breakfast and lunch provided.

Ethics will be the focus of our final meeting of the 2018 calendar (CPE reporting) year, to be held sometime in November. This offering is intended to assist certified Members in meeting their IIA ethics reporting obligation taking effect this year, and to fulfill an ever-important need for all practicing audit professionals. Details TBA.

I look forward to seeing everyone at our next chapter meeting on **Wednesday, September 26** at the Scott Conference Center in Omaha, or at the Ameritas Building in Lincoln, and at our fall seminar on **Tuesday, October 9** at the Creighton Harper Center.

Should you have a question, comment or suggestion about a topic or practice, please don’t hesitate to email a member of your chapter leadership team, using the links on the back page of this letter.

See you soon,

Kevin Hitchcock

IIA Ak-Sar-Ben Chapter President
MEMBERS IN THE NEWS

Welcome

New Members

Skyler Johnson — Physicians Mutual Insurance Company
Cassandra Cantu, Kyler Lambert — Union Pacific Railroad
James Wilson — Ladenburg Thalmann
Troy Hopkins — Lincoln Electric System
Rachel Powell — University of Nebraska at Omaha
Kristina Kruid — Exchange Bank

Certifications

Certified Internal Auditor (CIA)
- Ryan Kula — Union Pacific
Certification in Control Self-Assessment (CCSA)
- Neena Reeve — Mutual of Omaha

Anniversaries

Doug Murphy, CIA — American National — 28 years!
Carley Havranek — The Buckle — 15 years!
Jack Sherwood, John Schaffert, & Kristina Oria — Pinnacle Bancorp — 5 years!
Sandra Olson, CIA — 5 years!
Devin Claus — Conagra Brands — 5 years!
Zach Reavis — Physicians Mutual Insurance — 1 year!
Amy Nibbelink — Pinnacle Bancorp — 1 year!
Ashley Wohlers — Werner Enterprises — 1 year!
Sergio Mur — Ameritas — 1 year!
Michael Deng & Ninan Karki — First Data Corporation — 1 year!
Amber Downing — Northstar Financial Services — 1 year!
Cory Rasmussen, Josh Brink, Bhauln Gandhi, Husain Umrethwala, Gulshan Rupani, & Dhanashri Mali — Interpublic Group of Companies — 1 year!
Andrew Ruhrdanz & Brent Bockerman — Mutual of Omaha — 1 year!
Darcy He, CIA — Valmont Industries — 1 year!
## Events and Opportunities

<table>
<thead>
<tr>
<th>Event Description</th>
<th>Date &amp; Time</th>
<th>Location</th>
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</thead>
<tbody>
<tr>
<td>CPE certificates for chapter meetings, seminars, and conferences can be requested on the sign-in sheets on the day of the event. Please visit our website for more information on all <strong>IIA events</strong>.</td>
<td></td>
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</tbody>
</table>
| **IIA Members-Only Webinar**  
**Topic:** Impacts of Data Privacy Regulations on Business | Tuesday, September 18, 2018  
12:00 PM — 1:00 PM  
1 CPE | Scott Conference Center  
6450 Pine St.  
Omaha, NE 68108  
See page 6 for information |
| **September Chapter Meeting**  
**Topic:** Applying Analytics as a Core Audit Capability  
Speaker: Ryan Kastner, Global Head of Audit Analytics, PayPal | Wednesday, September 26, 2018  
11:30 AM — 1:00 PM  
1 CPE | Scott Conference Center  
6450 Pine St.  
Omaha, NE 68108  
Streaming: Ameritas Life Insurance  
5900 O Street  
Lincoln, NE 68510  
**Check in at North Lobby Security Desk** |
| **CIA Part 1 Exam Prep Course**  
Online Instructor-led course | October 1-19, 2018 | Event — See [link](#) for more information |
| **Fall 2018 Ak-Sar-Ben Seminar**  
**Lean and Agile Techniques for Strategic, Value-Added, and Efficient Auditing**  
Speaker: Ann Butera, MBA, CRP, Founder and President of The Whole Person Project, Inc. | Tuesday October 9, 2018  
Continental Breakfast served at 7:30  
Program: 8:00 AM — 4:00 PM  
8 CPE | Creighton University Harper Center  
602 N. 20th St.  
Omaha, NE 68178  
Free Parking in Harper Center Lot  
See the [link](#) for more information |
| **IIA Members-Only Webinar**  
**Topic:** Attracting and Retaining Top-Talent in Internal Audit | Tuesday, October 16, 2018  
12:00 PM — 1:00 PM  
1 CPE | Event — See [link](#) for more information |
| **Academic Relations 5th Annual Networking Event** | Friday, November 9, 2018  
5:30 PM — 7:00 PM | TBA |

### CPE CREDIT NOTICE

Reminder to those who attend our chapter meetings, seminars, and conferences: 1 CPE credit is awarded for each 50 minutes of a presentation or training time attended. We realize that there may be times when an attendee must leave early due to work or personal obligations; however, CPE can only be awarded for the time the person actually attended the event. If you must leave an event early, we ask that you let the registration attendant know so your CPE can be adjusted appropriately.
Impacts of Data Privacy Regulations on Business

Tuesday, September 18, 2018
12:00 PM—1:00 PM

This webinar explores the current data privacy regulatory environment and the impact on business. We are seeing continued enhancements to overall data privacy regulations in a revolution to protect data. Changes in data privacy regulations and litigation outcomes may cause disruptions to current business activities and future planned activities. The impact of cybersecurity practices manifests themselves in recent SEC disclosures whereby promulgated regulations require enhanced cybersecurity disclosures and their impact on the company’s financial statements. Additionally, recently regulations are disruptive to business as prior businesses would have deferred compliance and pay the penalty rather than expend money to modify business practices and technology to comply with the new regulations. With increased fines the cost paradigm is shifting with the cost of compliance becoming less than the penalties inherent in these regulations.

Learning Objectives:

- Understand why in data privacy regulations are disruptive to business.
- Highlight the latest data privacy regulations, litigation, and their impact on business.
- Understand what IT Controls are important to data privacy compliance.
- Understand the more significant risks associated with data privacy regulations.
- Discover consulting and assessment activities that are performed to ensure your organizations data privacy.

Course Duration: 1 hour
CPE Hours Available: 1

Speakers:

Jim Reinhard, CIA, CPA, CIS
Simon Property Group, Inc.

Click here to register.
We are trying to recruit potential presenters for our Spring 2019 Chapter Seminar. Those with expertise on the list of topics below, which registered strong interest in our recent member survey, should contact Carol Puszewski (carol.puszewski@aciworldwide.com) if they have expertise in any of these topics, as well as interest in presenting to our local chapter on them.

<table>
<thead>
<tr>
<th>Presenters Needed</th>
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<tbody>
<tr>
<td>- Strategic and Emerging Risk</td>
<td>- Third Party Management</td>
<td>- Fraud Prevention</td>
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<tr>
<td>- Audit Strategic Planning</td>
<td>- Integrated Risk Assurance</td>
<td>- Artificial Intelligence</td>
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<tr>
<td>- Risk Management/ Culture</td>
<td>- Risk Assessments</td>
<td>- AML and Fraud models</td>
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<tr>
<td>- Cyber Security/Cloud</td>
<td>- Agile Method and Audit</td>
<td>- Auditing Best Practices</td>
</tr>
</tbody>
</table>
**Events and Opportunities**

**November 9th Student Networking - NEED HOST**

Looking for member whose organization is willing to host the Student Networking event on November 9th from 5:30 — 7:00 pm.

The responsibilities of the hosting organization:
- Provide Venue and Parking (accommodate approx. 40 students and 30 volunteers. Total 70 to 75 attendees.
- Provide Speakers (Chief Audit Executive, CISO, HR representative, etc.)

Key benefits of hosting:
- The organization may allow their HR department to participate and can promote their company to students for internship and permanent opportunities along with networking with professionals from other institutions.
- Refreshments covered by local ISACA, IIA, and ACFE chapters.
- CPE credit for staff volunteering with the event.

Student recruiting is guaranteed as the UNO Beta Alpha Psi chapter is sending their student members to attend. Please contact Saima Rahmanzai (srahmanzai@fnni.com) if you are interested in hosting. Thank you.

---

**VOLUNTEERS**

*Influence, Innovate, and Lead!*

**APPLY FOR 2019-20**

**Nominations Are Now Open: 2019–20 IIA Volunteer Leadership Positions**

The IIA is seeking nominations through Oct. 12, 2018, for qualified members to serve in the following volunteer leadership roles:

- Global Board of Directors
- NA Board of Directors (U.S., Canada, Caribbean only)
- Internal Audit Foundation Board of Trustees
- Canadian Board (Canada only)
- 17 Committees comprising 250 members
- District Advisors and District Representatives (U.S., Canada, Caribbean only)

You can nominate yourself, or an IIA Affiliate, IIA chapter, or fellow IIA member may nominate you. All nominations must be completed and submitted electronically for the 2019–20 term by Friday, Oct. 12, 2018.
IIA Ak-Sar-Ben Chapter  
Cancellation Policy  
Effective August 2018  

**Monthly Meetings** – The designated point of contact must be notified of cancellation no less than 24 hours prior to the start of the meeting in order to receive a refund. Any cancellation within 24 hours of the meeting or failure to attend the meeting will not result in a refund. The registrant can designate someone to use his or her registration without notification to the committee.

**Seminars/Conferences** – The appropriate point of contact, designated in formal communications, must be notified of the cancellation at least one week prior to the event in order for the registrant to receive a refund. After that point, no refunds will be granted. The registrant may designate an alternate to use his or her registration with notification to the designated point of contact.

It should be noted that this policy may be overridden with approval from the chapter president and a hospitality committee person for extenuating circumstances. Additionally, an event-specific policy may be determined by the event committee and approved by the board. In those cases, the alternate rules must be communicated with the event registration communication.

**Free Meeting Registration for Students!**

Effective with our September Chapter Meeting, all currently enrolled Students — Undergraduate, Graduate, Full-time, and Part-time — whether working or not — are entitled to FREE registration at all Chapter Meetings! When registering, please be sure to select “Student.” This initiative reflects the Chapter’s desire to cultivate student knowledge of, and interest in, internal audit careers.
**Chapter Achievement Program Progress**

**What is CAP?** Chapter Achievement Program (CAP), points are credits earned by the Chapter throughout the year. A minimum credit is required in each of the following three categories to qualify for Bronze status:

**Service to Members:** 325 credits are calculated from: CPEs for members, social events, and the number of IIA professional certificates presented.

**Professionalism (formerly Service to Profession):** 200 credits calculated from: miscellaneous services to the profession, CPEs for nonmembers, and hosting a conference.

**Chapter Health (formerly Chapter Administration):** 160 credits calculated from: reporting, newsletters and Leadership Conference participation.

Additional credits beyond these can come from any category in order to qualify for a higher level.

**We need you**, our IIA Ak-Sar-Ben members, to notify our Chapter President Elect, Ron Povondra of the following:

1. Any articles that you have submitted on audit-related topics to the IIA or any other professional organization (please include the date of submission, title, and the publication to which it was submitted).
2. Any articles that were published in The IA Magazine (please include the date of publication).
3. Any presentations for organizations that are audit-related (please include the date, presentation length rounded up to the next whole hour, location, number of attendees, and number of IIA member attendees).
4. Participation in any Junior Achievement Program (please include the date, hours volunteered, and location).
5. Participation on an IIA International or North American Committee.
6. Hosting an Internal Audit event at work (please include the date, presentation length rounded up to the next whole hour, location, and speaker).
7. Submissions to the Audit Channel TV.
8. Participation on an IIA-sponsored Quality Review Team.
9. The completion of a Quality Assessment by your organization.

**Knowledge of these events could significantly increase our CAP points and help us maintain Ruby Status!**

**Status of our travels on the Road to Gold**

**Chapter Achievement Program (CAP):**

<table>
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<tr>
<th>CAP levels:</th>
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<tbody>
<tr>
<td>Bronze: 685.00</td>
</tr>
<tr>
<td>Silver: 1,060.00</td>
</tr>
<tr>
<td>Gold: 1,560.00</td>
</tr>
<tr>
<td>Platinum: 10 years of Gold</td>
</tr>
<tr>
<td>Ruby: 10 of 11 past yrs of Platinum</td>
</tr>
</tbody>
</table>

We achieved **PLATINUM** status for the 2017-2018 chapter year, qualifying us for **RUBY** status!!

Thanks for your continued participation in chapter events.
Too Much of a Good Thing

Mike Jacka, CIA, CPA, CPCU, CLU, worked in internal audit for nearly 30 years at Farmers Insurance Group. He is currently co-founder and chief creative pilot for Flying Pig audit, Consulting, and Training Services (FPACTS). In From the Mind of Jacka, Mike offers his wit and wisdom on the internal audit profession.

Below is an excerpt from this blog. To read the entire of the blog please go to this link

Two weeks ago, I kind of left everybody hanging. I had written two blog posts (here and here) that discussed potential issues with the way internal auditors identify root causes. My promise in that second post was to follow up with some ideas I had on making root cause analysis more effective by identifying some broad categories to help us develop more impactful root causes — ones that were broad enough to provide answers across the organization, not just in the area under audit.

This blog is not that blog.

A funny thing happened on the way to part 3. Today (Thursday, as I write this), Peter Scott and I have a deadline. We are working on the second edition of the book we published through The IIA — Auditing Social Media. (It should be coming to a bookstore near you [The IIA's] sometime early next year.) We were given plenty of time, and we had quite a few discussions about the content, structure, etc.

Well, anyone who knows me will be glad to regale you with tales evidencing the fact that I have never met a deadline I can't miss. Which should lead you to a pretty obvious conclusion.

The book will not be submitted on time. (To prove the individual's working with us knew what they were getting into, our contact made the following comment when I advised we would be a little late. "I was kinda expecting a little delay." She may have followed it with a smiley emoticon, but I could see and feel the grimace contained within the electronic smile.)

The good news! We will have it to them when they walk into work Monday morning.

But, the upshot is, for the last few weeks I have been doing a whole heck of a lot of typing. 50,000 words don't write themselves. And, even though part of that burden was Pete's, I still had a lot of words, edits, rewrites, and new words to pull together.

Which means, when it came time to think about the latest blog posts, the last thing I wanted to see was a keyboard. My "write, type, read, edit, write, type, read, edit, write, type, read, edit" cycles had been completely burned to a frazzle. There was no way, no matter how much I enjoy writing these blog posts (and, trust me, I really enjoy the time we spend together), I was going to be able to pull coherent thoughts from an incoherent mind and type them through my bloodied, arthritic fingers. (One of the adjectives in that previous sentence is not true. I'll let you play the game of "One of those things is not like the other" in your spare time.)

So, it's a nice story — perhaps it elicited a modicum of sympathy from you, gentle readers — but I do still try and make these things relate to the work and life of internal audit.

A while ago, I was doing training for a group and, every once in a while, I was getting a hint of something interesting sneaking out during our discussions, breakouts, and even breaks and lunch.

What I saw, for lack of a better word, was fatigue.
How Smart Internal Auditors Ask Smart Questions

Richard F. Chambers, CIA, QIAL, CGAP, CCSA, CRMA, is president and CEO of The IIA. In Chambers on the Profession, he shares his personal reflections and insights based on his 40 years of experience in the internal audit profession.

Below is an excerpt from his latest blog entry. To read the entirety of the blog, use this link.

If you ask internal auditors why they write audit reports, some might answer that it's to communicate an engagement's objectives, scope, and results. Others might offer that it's to describe what the auditors found and to make recommendations for improvement. But the ultimate objective of internal audit reporting is not to describe what we found or to make recommendations for improvement. It is to persuade readers to take action.

Impact is imperative, but not all internal auditors realize the difference that writing style can make to ensure corrective action is complete and timely. The content of a report informs readers, but I would argue that writing style is what motivates. For example, when the U.S. Army tested two versions of a business message asking readers to perform a specific task, those who received a well-written, "high impact" letter were twice as likely to comply with the memo on the day they received it.

During my career as an internal auditor, I wrote or edited hundreds of internal audit reports — some that were good, and some that could have used another round of edits. Following are some recommendations that can help ensure your audit reports are effective — that they will not only change minds but that they will create a call to action that gets results.

1. KEEP IT SHORT

If you've taken a look at the Justice Department inspector general's (IG's) report on how federal officials handled the investigation of Hillary Clinton's use of a private email server while secretary of state, you'll see it is strikingly different from the average internal audit report. It contains more than a quarter-million words, and the recommendations don't start until about page 500.

I am not opining on the report's findings or the politics still swirling around it. As a former IG, I can appreciate the need for detail. The scope of the review was daunting, the issues were complex, and every aspect of the engagement was surrounded by controversy. But it's always important to keep the readers in mind, and the style that works for a major IG investigation probably would be inappropriate for your internal audit department. Trying (and failing) to read the IG report in a single evening reminded me of the words of the great Winston Churchill, who said, "This report by its very length, defends itself against the risk of being read."

The lessons for internal auditors: (1) Internal audit reports are most persuasive when they are tailored to our stakeholders' needs; and (2) if we overwhelm our readers with too much information, our reports will have less impact. If a word, idea, or sentence does not contribute directly to the point, consider eliminating it.

2. KEEP IT SIMPLE

The best internal audit reports express big ideas in small words, never small ideas in big words. Our writing is most persuasive when we use clear, direct, and familiar language. This does not mean "dumbing down" our reports; it does mean clear and effective communication — the opposite of legalese. As I stated in a previous blog post, Ten Things Not to Say in an Internal Audit Report, if it sounds impressive, you probably need a rewrite.
Member Benefits

Discounted CIA Study Materials for Members...

As a member of The IIA Ak-Sar-Ben Chapter, you are eligible to receive a 20% discount on some CIA Review System materials sold by Gleim. You are also eligible to receive a 20% discount on any online CPE offered by Gleim.

The Gleim CPE courses are delivered via the Internet, so Chapter members can study when and where it is convenient for them. With the trend towards conserving resources, Gleim knows that many training budgets have been reduced. Eliminating travel costs associated with CPE is one of the areas where a Gleim CPE course can be of benefit.

If you wish to take advantage of these discounted courses or if you have questions regarding the discounted study materials, email Ryan Bergh with Gleim (rbergh@gleim.com) or call him at 800.874.5346 ext. 438.

IIA CIA Learning System Study Materials

Earning your CIA designation could boost your knowledge and career. Be prepared for the CIA exam with the IIA's CIA Study Materials!

The study materials encompass all methods for studying so that you can find what will work for you. Methods include: Reading materials, online study, instructor guidance, computer-based software, and audio CDs.

See more information regarding the study material by clicking here.
Did you know that 3 hours’ IIA CPE Credit can be earned for completing the CPE Quiz for each IA Magazine? The online quiz is free, and successful quiz completion for all six Magazines issues in a calendar-year reporting period can earn an IIA-certified individual 18 CPE Credits!

IIA Bookstore Promotions

IIA Members log in and receive up to 20% off selected products.

Promotion Code: MINCAT8018
Expires December 31, 2018
Member Benefits

20% Off
FOR CHAPTER MEMBERS
PROMOTION CODE: SEPCHAP
Expires September 30, 2018

Book of the Month

Create Your Own Sale


Place Your Order Today.
Visit www.theiia.org/bookstore
Career Opportunities

If you see a posting that you are interested in, click on the position title to view the full posting on the chapter website.

<table>
<thead>
<tr>
<th>Company</th>
<th>Position Title</th>
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</thead>
<tbody>
<tr>
<td>Woodmen Life</td>
<td>Internal Auditor/Sr.</td>
</tr>
</tbody>
</table>

If you have an open position that you would like to see here, email Rachel Lewis with the details.
Chapter Leadership

2018-2019 Officers, Board of Governors, Committees

Officers:
President: Kevin Hitchcock
President Elect: Ron Povondra
First Vice President: Conrad Saltzgaber
Second Vice President: Saima Rahmanzai
Secretary: Laurel Tague
Treasurer: Cory Schulenberg
Assistant Treasurer: Amy Hartman

Board of Governors:
Tonya Arnold-Tornquist
Rod Cargill
Jeff Woodward
Carl Mathis
Jason Coyle
Matt Witt
Adam Cooper
Russ Hancock
Carrie Schroeder
Lenny Swanger
Rachel Lewis
Andrea Meyer

Committee Chairs:
Communications Chair: Rachel Lewis
Website Chair: Archie Osborn
Newsletter Chair: Brian Fox
Social Media Chair: Zach Bilek
Programs Chair: Jestina Matulka
Seminars and Socials Chair: Carol Puszewski
Day-Of Chair: Ashley Wohlers
Lincoln Representative: Erin Garvin
Awards Chair: Donna White
Membership Chair: Hugh Spellman
Job Postings Chair: Rachel Lewis
Academic Relations Co-Chair: Kwami Kodzo-Nyamedi
Academic Relations Co-Chair: Amy Orth
CPE Coordinator: Holly Johnson
Audit Co-Chair: Sylvia Newsome
Audit Co-Chair: Ryan Hansen
Hospitality Co-Chair: Oanh Pham
Hospitality Co-Chair: Alyssa Peterson
Conference Co-Chair: Kevin Hitchcock
Conference Co-Chair: Joy Jacob-Warneke
Long Range Planning Chair: Hugh Spellman

Committee Volunteers:
Brian Fleer, Alysia Gawrick, Scott Stepanek, Sylvia Newsome, and Shahzad Zeb