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Certified Internal Auditor (CIA) Part III Review Course

The Albany Chapter IIA will be holding a two-day CIA Part III Review Course

This premier CIA Exam preparation program is based on the IIA’s CIA Learning System, and delivers the global CIA exam syllabus in a blended learning format of customized workbooks and web-based learning software. Our experienced instructor will lead you through Part III of the CIA exam syllabus in a structured and interactive live classroom environment to ensure that you understand and can apply the critical CIA topics.

Prepare to pass the Part III of the CIA Exam and arm yourself with critical tools and knowledge to excel in your internal audit career. Provided IIA Learning System materials include:

⇒ Easy-to-understand print modules that correspond to Part III of the CIA exam
⇒ Extensive content organized by section so you can tailor your studies to meet your needs
⇒ A pre-test to assess initial level of knowledge and develop a customized study plan
⇒ Section-specific questions to reinforce concepts
⇒ A post-test to gauge what you have learned and identify the areas requiring further study
⇒ A final review test encompassing all review questions for additional exam practice
⇒ Materials teach Part III of the CIA exam syllabus, updated to align with the 2017 IPPF

Dates: April 6 & 7, 2019
Times: 8:00 - 8:30 am—Registration
8:30 - 4:30 pm—Information Session
Meals: Lunch will be provided
Location: Red Lion Hotel
205 Wolf Rd
Albany, NY 12205
Cost:

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<tr>
<th>Category</th>
<th>Before 3/22/19</th>
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<tbody>
<tr>
<td>IIA Member – Course &amp; CIA Learning Materials</td>
<td>$500</td>
<td>$600</td>
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<tr>
<td>Non-Member – Course &amp; CIA Learning Materials</td>
<td>$675</td>
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Registration: [http://www.eiseverywhere.com/408160](http://www.eiseverywhere.com/408160)
College Event 2019: 
Fraud In The World Today

Please join us for an exciting presentation given by Susan Landauer, CPA, partner at Forensic Accounting Services Group, LLC.

You will learn about the frauds that have occurred, how they were detected, and the steps you can take to prevent these frauds from reoccurring. In addition, you will have a great opportunity to network with fellow industry professionals!

Date: Wednesday, April 10, 2019
Times: 8:30 - 9:00 am—Registration & Networking Breakfast
9:00 - 12:00 pm—Information Session

Location: Siena College
Ben Kuhn House
515 Loudon Rd
Loudonville, NY 12211

Parking: Please park in Lot U
https://www.siena.edu/visit/campus-map/

Number of CPEs: 3

Registration: http://www.eiseverywhere.com/fraudtoday19

RSVP Date: April 8, 2019

Cost:

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<td>Non-members</td>
<td>$20</td>
</tr>
<tr>
<td>Students</td>
<td>FREE!</td>
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SUSAN LANDAUER, CPA

Susan Landauer is a certified public accountant, with over 25 years of experience in the accounting and the financial services industry. Susan has conducted numerous fraud investigations for clients in various industries, including banking, manufacturing, construction and others. She has testified as an expert witness in federal and local courts.

Susan began her career with a big four accounting firm, where she specialized in brokerage, banking, manufacturing, credit union and healthcare clients. She then worked for seven years at one of the nations top ten banking institutions, where she functioned as the Vice President and Financial Controller of the Trust subsidiaries and Private Banking department. Most recently Susan was the Senior Vice President of the New York State Credit Union League, where she oversaw the Audit Services, Strategic Consulting, Education and Training, Foundation, Business Partners, Member Services and District Relations divisions.

Susan graduated from Siena College with honors, receiving a Bachelors of Arts in Accounting. She is a licensed Certified Public Accountant. She is a member of the American Institute of Certified Public Accountants, the Association of Certified Fraud Examiners and the Capital District chapter of the Association of Certified Fraud Examiners.
Value Added Internal Audits:
Improving Audit Effectiveness & Efficiency

The Albany Chapter IIA would like to invite our members to attend a training event on May 15, 2019 on Value Added Internal Audits: Improving Audit Effectiveness & Efficiency

**Course Summary**

Internal Auditing is a “HOT” profession, and Internal Auditors are skilled professionals who strive to help an organization achieve its objectives. Not only are Internal Auditors trusted advisors to management and the Board of Directors, but they are also getting long-deserved recognition from all levels of business professionals and regulators.

Internal Auditors must have the skills to meet management’s needs, add value to their organizations, and build the profession.

Through lively, facilitated discussions of internal audit practices and hands-on classroom exercises, you will learn to conduct value added, effective, and efficient audits.

**Date:** Wednesday, May 15, 2019

**Times:** 8:00 - 8:30 am—Registration & Breakfast
8:30 - 4:00 pm—Information Session

**Location:** Wolferts Roost Country Club
120 Van Rensselaer Blvd
Albany, NY 12204

**Cost:** $100 for IIA, AGA, ACFE and ISACA members
$150 for non-members

**Number of CPEs:** 7

**Registration ends:** May 10, 2019

**Raven Catlin, CIA, CPA, CFSA, CRMA
Raven Global Training LLC**

Raven is a globally recognized speaker and instructor in risk management and internal audit. She possesses over 20 years of diverse internal audit experience and risk management, and 17 years of instructing and facilitating a variety of courses. With this diverse experience, she “helps professionals add dimensions to help them grow for a successful future©”.

She performed reviews and audits of not-for-profit and philanthropic organizations, mutual funds, general banking operations, trust operations, real-estate lending, construction activities, benefits, compensation, payroll, procurement activities, accounting operations, marketing activities, governance activities, information technology, treasury & cash management, derivatives, secondary marketing, and debt issuance. Her industry expertise and audit experience includes mortgage operations, banking, information technology, not-for-profit, government, and capital markets. She served as an integral member of the financial restatement team for Freddie Mac.

She developed and redesigned the auditing infrastructure for internal audit functions, including developing internal audit policies and procedures, implementing audit software, designing reporting and time management templates, designing audit committee packages, and implementing databases to report and track progress of audit concerns. Raven embraces the definition of internal auditing as a value-added service and operations owners have welcomed the “fresh approach” to internal auditing. She is best known as the pioneer of Agile Auditing.

Or follow the link below:
https://www.etouches.com/19internalaudit
Welcome to the month of April and Happy Spring!!

I would like to start by thanking our dedicated volunteers for organizing another successful Conference That Counts (TCTC). We had a record attendance this year—over 600 people! As usual, conference goers were treated to three days of excellent speakers, fabulous giveaways, and a delicious menu. However, we are always looking for ways to improve our events. So please don’t forget to complete the survey, especially since next year we will be celebrating the 25th year of TCTC and we want to make it special.

We were also busy preparing for our April events. The Chapter is excited to offer a two-day CIA Part III Review Course at the Red Lion Hotel on April 6-7, 2019. Our experienced instructor will lead participants through Part III of the CIA exam syllabus in a structured and interactive live classroom environment to ensure they understand and can apply the critical CIA topics. We are also hosting a college event—Fraud In The World Today—on April 10 at Siena College. Please join us for an exciting presentation given by Susan Landauer, CPA, partner at Forensic Accounting Services Group, LLC.

On May 15, the Chapter is pleased to offer a Value Added Internal Audits: Improving Audit Effectiveness & Efficiency at Wolferts Roost Country Club. Raven Catlin will facilitate this program through lively discussions and hands-on classroom exercises to help participants learn to conduct value added, effective, and efficient audits.

Events like these are not possible without our dedicated, talented, and hard-working volunteers. If you would like to join our team, expand your network, and make a difference in our chapter, please contact me at Layli.nazirova@health.ny.gov and consider attending a monthly Board meeting with coffee and bagels! Meetings are held on the second Tuesday of every month at 7:45 am at the New York State Teachers’ Retirement System (10 Corporate Woods Drive, Albany, NY 12211). I hope to see you there!

Best regards,
Layli

IIA Webinars

The IIA offers free informational programs to its members on a variety of topics. Click here to obtain additional information and to register.

Pulse of the Profession: Defining Alignment in a Dynamic Risk Landscape
April 16, 2019
1:00 – 2:00 PM

Over the past decade, the speed at which risks emerge and evolve has accelerated dramatically, compelling organizations to adopt new strategies and reorder priorities to survive and thrive in an increasingly complex risk environment. Today’s board members and senior executives must be mindful of a dizzying array of factors, such as disruptive technologies, geopolitical...
uncertainty, and global economic conditions that threaten to derail organizational goals. The increasing complexity of such daunting challenges makes it imperative for all players in risk management to be informed and aligned. The 2019 report aims to provide insights and direction to help internal audit inform and influence boards and executive management in four key risk areas: cybersecurity and data protection, third-party risks, emerging and atypical risks, and board and management activity.

**Learning Objectives**

⇒ Understand how to better inform stakeholders about potential underperformance in key risk areas.

⇒ Identify strategies for addressing any areas of weakness or misalignment that may exist in their organizations.

⇒ Learn how to provide assurance on information going to the board.

⇒ Discover how to ensure internal audit’s views are clearly communicated to the board and audit committee.

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**Certification News**

*By Katherine Hogan, CPA, CIA, CFE, CRMA, CCSA*

It is finally Spring! Don’t let the last remnants of snow on the ground fool you, warmer weather is on its way!

Now that April is here, it is CIA Challenge Exam time! Eligible candidates can apply starting April 1st and once approved can register for the exam as early as April 15th! The English-format exam is available July 1st, giving you ample time to study once you apply and register. You only have until December 31, 2020 to sit for the Challenge Exam so your window of opportunity is limited. If you are eligible for the Challenge Exam (you have an active CCSA, CFSA, or CGAP certification) please don’t miss this opportunity to become a Certified Internal Auditor. Please see the Challenge Exam FAQs for more information.

If you hold an IIA certification, or are registered to take one, chances are you have been using the Certification Candidate Management System (CCMS) through the IIA’s website. The IIA is in the process of revamping CCMS, hopefully to make it a bit more user-friendly. The email address you have on file with them will be your new user name so take a moment to hop on and verify that the information they have is correct: CCMS

It was nice getting to chat with some of you at the TCTC. I’m always happy to answer whatever questions I can, so if you have any questions about information in this article, or about IIA certifications in general, please feel free to contact me at Katherine.Hogan@nystrs.org

*Best regards,*

*Katherine*
Membership Corner

By Christopher Herald, CIA, CGAP

Please join me in welcoming the following individuals to the IIA Albany Chapter:

Eleanore Russomanno
Nia Washington

Do you have some free time you are looking to fill? If so, we always welcome additional assistance from our members. If you have thought about helping out our chapter but have been hesitant to do so, send me an email letting me know what you are interested in doing and we can see if it is something that will fit into your schedule.

If you have any questions about your membership, or have a co-worker that would like more information about how to join the IIA Albany Chapter, please contact me and I will be happy to assist.

Chris Herald
Membership Chair
cherald@osc.state.ny.us

CAP Corner

By Cristina Hourigan

The Chapter Achievement Program (CAP) is an achievement and recognition program for chapters that uses points to recognize chapter activities that align with the North American Strategic Plan. The program helps to set minimum standards of performance for service to members and to the profession. It also provides a means to evaluate chapter success.

A chapter must earn the minimum CAP points annually in each of the 3 service categories to participate in the CAP program. The 3 service categories are:

1) Service to Members,
2) Professionalism, and
3) Chapter Health

Once the Chapter has met or exceeded the minimum CAP requirements in the 3 categories, the Chapter will have reached the BRONZE performance level. A chapter can advance to SILVER or GOLD levels by accumulating the points noted below made up of any combination of CAP points from the 3 service levels (while also meeting the minimums below).

<table>
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<tr>
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<tr>
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<td>Professionalism</td>
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<td>Chapter Health</td>
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<td>Total CAP Points Earned</td>
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Chapter Targets

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<tr>
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<th>Points</th>
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<tr>
<td>Bronze</td>
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<td>Silver</td>
<td>1,060</td>
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<tr>
<td>Gold</td>
<td>1,560</td>
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Chapter Achievements As of 4/1/2019

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<tr>
<td>Balance to Gold</td>
<td>24</td>
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<tr>
<td>Current Status</td>
<td>Silver</td>
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## SCHEDULE OF EVENTS
### 2018 — 2019

**Albany Chapter – Institute of Internal Auditors**

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<tr>
<th>September</th>
<th>October</th>
<th>November</th>
<th>December</th>
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<tbody>
<tr>
<td>September 25, 2018</td>
<td>October 22-24, 2018</td>
<td>November 19–20, 2018</td>
<td>December 6, 2018</td>
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<td>Mystery Fraud Training</td>
<td>COSO Certificate Course</td>
<td>Annual Fraud Conference</td>
<td>Annual Holiday Luncheon</td>
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<td>3.5 CPEs</td>
<td>October 26, 2018 Fall CAE Roundtable</td>
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<td>January 28, 2019</td>
<td>February 12, 2019</td>
<td>March 18-20, 2019</td>
<td>April 6-7, 2019</td>
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<td>Technology Conference</td>
<td>Certification Breakfast</td>
<td>TCTC</td>
<td>CIA Part III Review</td>
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<td>8 CPEs</td>
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<td>7 to 21 CPEs</td>
<td>16 CPEs</td>
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<td>April 10, 2019</td>
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<td>Fraud in the World Today</td>
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<td>3 CPEs</td>
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<th>May</th>
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<th>July</th>
<th>August</th>
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<tr>
<td>May 15, 2019</td>
<td>June, 2019</td>
<td>Awards Ceremony</td>
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<td>7 CPEs</td>
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### It’s your turn to speak
Don’t forget to report your speaking hours to

**Cristina Hourigan**

Email: CHourigan@osc.ny.gov

Phone: (518) 402-2511