The Annual Professional Certification Breakfast and Information Seminar are co-sponsored by the local chapters of the AGA, ACFE, IIA, & ISACA.

Enjoy a delicious, FREE breakfast and learn about:

⇒ Various certifications available to accounting, auditing, finance, government, IT, and fraud professionals
⇒ Benefits of obtaining one or more professional certifications
⇒ Requirements for applying and earning professional certifications
⇒ Exam details
⇒ Maintenance/Continuing Education requirements

The seminar will give you a quick overview of the following certifications:
⇒ Certified Government Financial Manager (CGFM)
⇒ Certified Internal Auditor (CIA)
⇒ Certified Public Accountant (CPA)
⇒ Certified Information Systems Auditor (CISA)
⇒ Certified Fraud Examiner (CFE)
⇒ Certified Information Security Manager (CISM)
⇒ Certification in Risk Management Assurance (CRMA)
⇒ Certification in the Governance of Enterprise IT (CGEIT)
⇒ Certification in Risk and Information Systems Control (CRISC)

There will be presentations from each of the organizations about the benefits of obtaining professional certifications and the career paths you can take. Written information on each of the certifications will be provided to each participant.

Please register online @
www.eiseverywhere.com/2019certificationbreakfast
By no later than Friday, February 8, 2019

***The registration deadline is 2/8/2019***
Wow! The first month of the year has already flown by and we are in February. It seems just like yesterday we were celebrating the New Year’s Day. February is an exciting month, especially for those who made a new year’s resolution to become certified! The IIA is happy to partner with the local chapters of the AGA, ACFE, and ISACA to sponsor the annual Certification Breakfast on February 12, 2019. Come enjoy a free breakfast and learn about the various certifications that can greatly enhance your career and sharpen your auditing skills. The month of February also signals that TCTC is rapidly approaching. Registration is now open for the 24th annual “The Conference That Counts” with a special theme – Passport to Learning. The early bird registration ends on February 15, so please do not delay! I would also like to note this year’s charity is Wildwood. Wildwood works collaboratively with individuals, families and the community to empower children and adults with developmental disabilities, autism, and other disorders to lead independent, productive and fulfilling lives.

Finally, if you have been thinking about volunteering your time and talents, now is the perfect time to speak up! Our Nominations Committee Chair, Adam Roberts, is working to fill vacancies for the upcoming Chapter Year. Please do not hesitate to contact me (Layli.nazirova@health.ny.gov) or Adam (Adam.Roberts@agriculture.ny.gov) to discuss your interests and availability. In addition, please consider joining us for coffee, bagels, and lively discussion at our monthly Board meetings. The next meeting will be held on Wednesday, February 13, 2019, at 7:45 am at the New York State Teachers’ Retirement System (10 Corporate Woods Drive, Albany, NY 12211).

Best regards,
Layli

IIA Webinars

The IIA offers free informational programs to its members on a variety of topics. Click here to obtain additional information and to register.

Auditing Third Party Risk Management
February 19, 2019
1:00 – 2:00 PM

This webinar will assist internal auditors in becoming better informed and educated on risks related to third-party providers and how their organization manages them. Risks across the full vendor life cycle will be discussed, including the appropriate sourcing, ongoing management, and termination of vendors. This webinar will also explore risks resulting from the types of services being provided and the sensitivity of data being shared is covered. Finally, we will discuss considerations for planning and executing third-party risk audits appropriate to the size, scale, and risks facing an organization.

Learning Objectives

⇒ Learn about key roles, responsibilities, and risks involved in managing third-party providers.
⇒ Learn how to design a third-party risk audit coverage approach.
⇒ Get tips on how to approach assessing third-party risk manage-
Happy February everyone! The crazy winter weather we’ve been experiencing lately has been just great for those of you pursuing certifications – who doesn’t love curling up on the couch when it’s blustery cold outside with a mug of hot cocoa and some CIA exam study materials?

Speaking of the CIA exam, the new exam is now available! We’ve been telling you about updates for months now and it’s finally here! If you’ve already taken parts of the CIA exam, don’t fret – you won’t lose the parts you’ve already earned. The new exam is only available in English for now, with more language options set to roll out beginning in June of this year. You can learn more at https://na.theiia.org/certification/CIA-Certification/Pages/CIA-Certification.aspx or the IIA’s dedicated page www.theiia.org/globalupdatecia

And of course The IIA isn’t going to leave you hanging. They just released version 6.0 of the CIA Learning System to help you pass the new version of the CIA exam. Gleim has updated their study materials to reflect the new format as well. Please see the information below regarding the Gleim system and a special discount code you can use.

Until next month, stay warm! And if you have any questions about information in this article, or about IIA certifications in general, please feel free to contact me at Katherine.Hogan@nystrs.org

Gleim CIA Review

The Albany Chapter has partnered with Gleim CIA Review to ensure your success on the CIA exam!

Gleim CIA Review is the most widely used CIA prep course. More CIAs have used Gleim to pass the CIA Exam than all other prep courses combined. The exceptional content now includes over 40 hours of in-depth video lectures and comprehensive question test banks that cover all of the topics tested and are presented in a format that replicates your exam-day experience. In addition, CIA candidates enjoy a no-hassle Access Until You Pass® Guarantee and customized support from a team of CIA exam prep experts.

As a member of the chapter, you are eligible for a 10-20% discount on Gleim CIA Review Systems. To take advantage of the reduced prices and to place your order, log into the Members Only section of the Chapter website.

Please contact our Gleim representative, Ryan Bergh (email: ryan.bergh@gleim.com or phone: (800) 874-5346 Ext 438) with any questions regarding the Gleim materials or discounts available.
Membership Corner

By Christopher Herald, CGAP

Please join me in welcoming the following individuals to the IIA Albany Chapter:

Sara Barry
Kaheliah Brown
Richard Cicero
Jeanne Day
Joe Doherty
Charles Gutermuth
Mary Hancox
Jawaria Khan
Maria Requjo
Cathy Shippey
Daniel Wieck

Do you have some free time you’re looking to fill? If so, we always welcome additional assistance from our members. If you have thought about helping out our chapter but have been hesitant to do so, send me an email letting me know what you are interested in doing and we can see if it is something that will fit into your schedule.

Chris Herald
Membership Chair
cherald@osc.ny.gov

CAP Corner

By Cristina Hourigan

The Chapter Achievement Program (CAP) is an achievement and recognition program for chapters that uses points to recognize chapter activities that align with the North American Strategic Plan. The program helps to set minimum standards of performance for service to members and to the profession. It also provides a means to evaluate chapter success.

A chapter must earn the minimum CAP points annually in each of the 3 service categories to participate in the CAP program. The 3 service categories are:

1) Service to Members,
2) Professionalism, and
3) Chapter Health

Once the Chapter has met or exceeded the minimum CAP requirements in the 3 categories, the Chapter will have reached the BRONZE performance level. A chapter can advance to SILVER or GOLD levels by accumulating the points noted below made up of any combination of CAP points from the 3 service levels (while also meeting the minimums below).

Chapter Targets

<table>
<thead>
<tr>
<th>Category</th>
<th>Bronze</th>
<th>Silver</th>
<th>Gold</th>
</tr>
</thead>
<tbody>
<tr>
<td>Service to Members</td>
<td>685</td>
<td>1,060</td>
<td>1,560</td>
</tr>
<tr>
<td>Professionalism</td>
<td>100</td>
<td></td>
<td></td>
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<tr>
<td>Chapter Health</td>
<td>0</td>
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<td></td>
</tr>
<tr>
<td>Total CAP Points Earned</td>
<td>1001</td>
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Chapter Achievements

As of 1/31/2019

<table>
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<tr>
<th>Category</th>
<th>Value</th>
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<tbody>
<tr>
<td>Balance to Silver</td>
<td>59</td>
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<td>Current Status</td>
<td>BRONZE</td>
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## SCHEDULE OF EVENTS
2018 — 2019

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<thead>
<tr>
<th>SEPTMBER</th>
<th>OCTOBER</th>
<th>NOVEMBER</th>
<th>DECEMBER</th>
</tr>
</thead>
<tbody>
<tr>
<td>September 25, 2018</td>
<td>October 22-24, 2018 <strong>COSO Certificate Course</strong></td>
<td>November 19–20, 2018 <strong>Annual Fraud Conference</strong> 7 to 14 CPEs</td>
<td>December 6, 2018 <strong>Annual Holiday Luncheon</strong> No CPEs</td>
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<tr>
<td><strong>Mystery Fraud Training</strong> 3.5 CPEs</td>
<td>October 26, 2018 <strong>Fall CAE Roundtable</strong> No CPEs</td>
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<thead>
<tr>
<th>JANUARY</th>
<th>FEBRUARY</th>
<th>MARCH</th>
<th>APRIL</th>
</tr>
</thead>
<tbody>
<tr>
<td>January 28, 2019</td>
<td>February 12, 2019 <strong>Certification Breakfast</strong> No CPEs</td>
<td>March 18-20, 2019 <strong>TCTC</strong> 7 to 21 CPEs</td>
<td>April 6-7, 2019 <strong>CIA Part III Review CPEs</strong></td>
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<td><strong>Technology Conference</strong> 8 CPEs</td>
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<td></td>
<td><strong>Spring CAE Roundtable</strong> No CPEs</td>
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<table>
<thead>
<tr>
<th>MAY</th>
<th>JUNE</th>
<th>JULY</th>
<th>AUGUST</th>
</tr>
</thead>
<tbody>
<tr>
<td>TBD</td>
<td>June, 2018 <strong>Awards Ceremony</strong> No CPEs</td>
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<td></td>
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</tbody>
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**It’s your turn to speak**

Don’t forget to report your speaking hours to

Cristina Hourigan  
Email: Chourigan@osc.ny.gov  
Phone: (518) 402-2511