The Annual Professional Certification Breakfast and Information Seminar are co-sponsored by the local chapters of the AGA, ACFE, IIA, & ISACA.

Enjoy a delicious, FREE breakfast and learn about:

⇒ Various certifications available to accounting, auditing, finance, government, IT, and fraud professionals
⇒ Benefits of obtaining one or more professional certifications
⇒ Requirements for applying and earning professional certifications
⇒ Exam details
⇒ Maintenance/Continuing Education requirements

The seminar will give you a quick overview of the following certifications:

⇒ Certified Internal Auditor (CIA)
⇒ Certification in Risk Management Assurance (CRMA)
⇒ Certified Government Financial Manager (CGFM)
⇒ Certified Fraud Examiner (CFE)
⇒ Certified Public Accountant (CPA)
⇒ Certified Information Systems Auditor (CISA)
⇒ Certified Information Security Manager (CISM)
⇒ Certification in the Governance of Enterprise IT (CGEIT)
⇒ Certification in Risk and Information Systems Control (CRISC)

There will be presentations from each of the organizations about the benefits of obtaining professional certifications and the career paths you can take. Written information on each of the certifications will be provided to each participant.

Please register online @ https://www.eiseverywhere.com/2020CertificationBreakfast

By no later than Thursday, February 6, 2020!

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**Inside this issue:**

| Annual Certification Breakfast | 1 |
| President’s Message            | 2 |
| IIA Webinars                   | 2 |
| Certification News             | 3 |
| Gleim CIA Review               | 3 |
| Membership Corner              | 4 |
| CAP Corner                     | 4 |
| Schedule of Events             | 5 |

**Date:** Tuesday, February 11, 2020

**Times:**
- 8:00 am—8:30 am—Registration & Breakfast
- 8:30 am—10:00 am—Information Session

**Location:** Wolferts Roost Country Club
120 Van Rensselaer Blvd
Albany, NY 12204

**Cost:** FREE! (You must register)

**CPEs:** None

**Registration:** Online @ https://www.eiseverywhere.com/2020CertificationBreakfast or contact Katherine Hogan (Katherine.Hogan@nystrs.org) for more information

***The registration deadline is 2/6/2020***
President’s Message
By Adam Roberts

We have some fun and informative training events coming up as we look towards spring. February is an exciting month, especially for those who made a new year’s resolution to become certified! The IIA is partnering again with the local chapters of the AGA, ACFE, and ISACA to sponsor the annual Certification Breakfast on February 11, 2020. Come enjoy a free breakfast and learn about the various certifications that can greatly enhance your career and sharpen your auditing skills.

Additionally, we are offering a Part III Review class for the Certified Internal Auditor (CIA) certification. This will be a 2-day event from April 30-May 1, 2020. Registration for this event can be found on our Albany IIA website at https://chapters.theiia.org/albany/Pages/default.aspx. Getting certified is a great way to further your career and demonstrate your professional knowledge.

I want to thank those who attend our events and actively participate in Chapter matters. For anyone looking to get involved, please do! The IIA offers great experience and networking opportunities no matter what level you’d like to be involved at. If you’d like to learn more about opportunities with the IIA, please feel free to come to any of our Board Meetings. Upcoming meetings are on 2/11/20 and 3/10/20. We meet at 7:45 am at the Teacher’s Retirement building in Corporate Woods. Please send me an email if you have any questions or would like to attend. I can be reached at adam.roberts@sla.ny.gov.

Best regards,
Adam

IIA Webinars

**IT Change Management**
February 18, 2020
1:00 – 2:00 PM

As technology advances and organizations move from manual to automated and digital processes and cloud applications, the number of processes subject to change management will only increase. In addition, the need for these systems to function properly and with appropriate and effective controls will be of utmost importance. This webinar examines IT Change Management based on the revised Global Technology Audit Guide published by The Institute of Internal Auditors. It will address risks and opportunities such as patch risks, third-party and compliance risks, as well as the elements of change management, effective change management, and internal audit’s role.

**Learning Objectives**

- Identify and understand the business significance and common risks of IT change management.
- Identify and understand the elements of IT change management and what effective IT change management looks like.
- Understand how effective change management can address and mitigate risk.
- Understand the role of internal audit in IT change management.
- Understand how to assess the IT change management process.
Back by popular demand:

Certified Internal Auditor (CIA) Exam Part III Review course! If you missed the Part III Review course we offered last spring, or just started your certification journey more recently, do not miss this class! We have a dynamic and incredibly knowledgeable instructor who will help guide you through what and how to study to better position yourself to pass what is arguably the most difficult section of the CIA exam. The class will be held in Albany at the end of April. Registration details will be forthcoming, so keep an eye on your inboxes!

Registration is almost closed for the annual Certification Breakfast to be held on February 11th at Wolfert’s Roost. It’s a chance to hear from people from the IIA, ISACA, ACFE, and the AICPA about the certifications they offer and why you should consider getting one (or more!) The added bonus: it is free to attend! Registration closes February 6th so be sure to register today: Click here to register.

As always, if you have any questions about information in this article, or about IIA certifications in general, please feel free to contact me at Katherine.Hogan@nystrs.org.

Best Regards,
Katherine

Gleim CIA Review

The Albany Chapter has partnered with Gleim CIA Review to ensure your success on the CIA exam!

Gleim CIA Review is the most widely used CIA prep course. More CIAs have used Gleim to pass the CIA Exam than all other prep courses combined. The exceptional content now includes over 40 hours of in-depth video lectures and comprehensive question test banks that cover all of the topics tested and are presented in a format that replicates your exam-day experience. In addition, CIA candidates enjoy a no-hassle Access Until You Pass® Guarantee and customized support from a team of CIA exam prep experts.

As a member of the chapter, you are eligible for a 10-20% discount on Gleim CIA Review Systems.

To take advantage of the reduced prices and to place your order, log into the Members Only section of the Chapter website.

Please contact our Gleim representative, Ryan Bergh (email: ryan.bergh@gleim.com or phone: (800) 874-5346 (Ext 438) with any questions regarding the Gleim materials or discounts available.
Membership Corner

By Christopher Herald, CGAP

Please join me in welcoming the following individuals to the IIA Albany Chapter:

Camellia Ardalan
Mason Chen
Mary Coulson Clark
Mike DeLuca
Anthony Dolan
Sarah Erickson

Christopher Fischer
Mary Howard
Gabrielle Imbarrato
Joshua Kirby
John Lu
Brian Merfeld
Erin Murphy
Michael O’Keefe
William Peterson
Anna Razzano
Raymond Rutnik
Ashley Sawyer
Mary Sullivan

The IIA is a great resource for your career, and I urge everyone to take advantage of the resources the IIA provides to its members. Whether you decide to attend a local training the Albany Chapter provides at a discounted rate to its members, browse through articles in the IIA Bookstore (https://na.theiia.org/periodicals/Pages/Periodicals.aspx), or capitalize on any of the other numerous resources offered, the choice is entirely yours!

As always, if anyone has questions about their membership please contact me and I will be happy to assist.

Chris Herald
Membership Chair
cherald@osc.ny.gov

CAP Corner

By Layli Nazirova, CIA, CGAP

The Chapter Achievement Program (CAP) is an achievement and recognition program for chapters that uses points to recognize chapter activities that align with the North American Strategic Plan. The program helps to set minimum standards of performance for service to members and to the profession. It also provides a means to evaluate chapter success.

A chapter must earn the minimum CAP points annually in each of the 3 service categories to participate in the CAP program. The 3 service categories are:

1) Service to Members,
2) Professionalism, and
3) Chapter Health

Once the Chapter has met or exceeded the minimum CAP requirements in the 3 categories, the Chapter will have reached the BRONZE performance level. A chapter can advance to SILVER or GOLD levels by accumulating the points noted below made up of any combination of CAP points from the 3 service levels (while also meeting the minimums below).

### Chapter Targets

<table>
<thead>
<tr>
<th></th>
<th>Bronze</th>
<th>Silver</th>
<th>Gold</th>
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<tbody>
<tr>
<td>Balance to Gold</td>
<td>685</td>
<td>1,060</td>
<td>1,560</td>
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### Chapter Achievements

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<tr>
<th>Category</th>
<th>Chapter Points</th>
<th>As of 2/1/2020</th>
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<tbody>
<tr>
<td>Service to Members</td>
<td>1,276</td>
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<tr>
<td>Professionalism</td>
<td>75</td>
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<tr>
<td>Chapter Health</td>
<td>0</td>
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<tr>
<td>Total CAP Points Earned</td>
<td>1,351</td>
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<tr>
<td>Balance to Gold</td>
<td>209</td>
<td>SILVER</td>
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<td>Current Status</td>
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### SCHEDULE OF EVENTS
#### 2019 — 2020

**Albany Chapter – Institute of Internal Auditors**

<table>
<thead>
<tr>
<th>SEPTEMBER</th>
<th>OCTOBER</th>
<th>NOVEMBER</th>
<th>DECEMBER</th>
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<tr>
<td></td>
<td>October 18, 2019 Fall CAE Roundtable</td>
<td>November 14–15, 2019 CIA Part I Review 8 CPEs</td>
<td>December 12-13, 2019 CIA Part II Review 8 CPEs</td>
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<tr>
<td></td>
<td>No CPEs</td>
<td>November 25–26, 2019 Annual Fraud Conference 7 to 14 CPEs</td>
<td>No CPEs</td>
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<th>JANUARY</th>
<th>FEBRUARY</th>
<th>MARCH</th>
<th>APRIL</th>
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<tr>
<td>January 21, 2020 Technology Conference 8 CPEs</td>
<td>February 11, 2020 Certification Breakfast No CPEs</td>
<td>March 16-18, 2020 TCTC 7 to 21 CPEs</td>
<td>April 2, 2020 The Everyday Ethicist 4 CPEs</td>
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<tr>
<td></td>
<td></td>
<td></td>
<td>April 30, 2020 CIA Part III Review 8 CPEs</td>
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<tr>
<th>MAY</th>
<th>JUNE</th>
<th>JULY</th>
<th>AUGUST</th>
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<tr>
<td>May 1, 2020 CIA Part III Review 8 CPEs</td>
<td>TBD Awards Ceremony No CPEs</td>
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It’s your turn **to speak**

Don’t forget to report your speaking hours to

**Cristina Shord**

Email: cshord@osc.ny.gov

Phone: (518) 402-2511