The ISACA Hudson Valley and IIA Albany chapter would like to invite our members to attend a training event on January 28, 2019 on Modern Techniques for Risk Assessment. The training event will be presented by Steven J. Ross, Executive Principal, of Risk Masters International LLC.

The antiquated formula Risk = Impact x Probability is unprovable and unworkable. In this one-day seminar, hosted by the ISACA Hudson Valley and IIA Albany Chapter, participants will learn why that formula is incorrect and which other techniques that are being used by advanced risk practitioners are more applicable to contemporary enterprises. These methods will make the determination of risk align with both reality and expectation. They make the concept of risk a meaningful determinant for management decision making.

Learning objectives: Participants in this seminar will learn:

⇒ Why the commonly used formula fails
⇒ Which techniques provide greater insight of operational and financial risk
⇒ The standards that apply risk assessment
⇒ The tools and techniques that can be rapidly put in place in large and small businesses
⇒ How to interpret risk assessment results
⇒ Methods for portraying risk to aid management decision making

Brief Biography of the Speaker:

Steven J. Ross, Executive Principal, New York, New York

Mr. Ross is Executive Principal of Risk Masters International and holds certification as a Certified Information Systems Auditor (CISA), as well as a Master Business Continuity Professional (MBCP) and a Certified Information Systems Security Professional (CISSP). Mr. Ross is a specialist in the field of Risk Management and information systems security and control. He specializes in Risk Assessments for Information Security, Business Continuity Management and auditing purposes. He has implemented Risk Management programs for numerous banks, government agencies and industrial corporations. Prior to founding Risk Masters, Mr. Ross was a Director and global practice leader with Deloitte.


Inside this issue:

- Annual Technology Conference
- President’s Message
- IIA Webinars
- Certification News
- Gleim CIA Review
- Membership Corner
- CAP Corner
- Schedule of Events

Date: Monday, January 28, 2019

Times: 7:30 am - Registration & Breakfast  
8:00 am - 5:00 pm—Seminar  
12:00 - 12:45—Lunch

Location: University at Albany  
New Campus Center  
1400 Washington Avenue  
Albany, NY 12222

Cost:

<table>
<thead>
<tr>
<th>Category</th>
<th>Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td>ISACA and IIA members</td>
<td>$125</td>
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<tr>
<td>Non-members</td>
<td>$175</td>
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</table>

CPEs: This event is eligible for 8 CPEs.

Capacity: This event is limited to 40 attendees.

Registration Link: [http://www.cvent.com/d/rbgv2](http://www.cvent.com/d/rbgv2)
Happy New Year 2019!!!
I hope everyone had a wonderful holiday and spent plenty of time with friends and family. And I hope you were able to attend and enjoy our annual Holiday Luncheon on December 6 at Wolfert’s Roost Country Club. There were 48 attendees who were treated to a delicious lunch and an uplifting speech from Rayn Boncie, the Founder of Things of My Very Own, about the organization’s mission and the successes realized over the years. The Albany Chapter IIA, along with our sister organizations (i.e., ACFE, ISACA, and AGA), donated a total of $1,000 to their mission.

Winter is officially here, and it got cold outside, but our IIA programs are just heating up. We are beginning the new year with a full plate of programs, including the jointly-hosted Technology Conference on January 28. Steven Ross from Risk Masters International will be presenting on “Modern Techniques for Risk Assessment” at SUNY Albany. Please don’t miss out on this opportunity to earn 8 CPEs!

As always, please contact me anytime at Layli.nazirova@health.ny.gov to discuss volunteer opportunities with the Chapter. In addition, please consider joining us for coffee, bagels, and lively discussion at our monthly Board meetings. Our next meeting will be on January 15 at 7:45am at the office of the New York State Teachers’ Retirement System, 10 Corporate Woods Drive, Albany, NY 12211. We hope to see you there!

Best regards,
Layli

IIA Webinars

The IIA offers free informational programs to its members on a variety of topics. Click here to obtain additional information and to register.

Enhancing and Protecting Organizational Value – Sawyers 7th Introduction
January 15, 2019
1:00 – 2:00 PM

Over the last seven years since the Sawyers 6th edition was published much has continued to change in our profession and industries. Nearly two years ago the IIA facilitated a committee to plan this 7th edition. The result of efforts focused this substantially rewritten 7th edition on what it takes to set up an internal audit shop and deliver value adding services and products. Audit Value that is aligned with the aspirational mission of internal audit to deliver and protect organizational value for our stakeholders. In this webinar, you will get a preview of Sawyers 7th edition. An edition that collects the latest ideas from 10 contributing authors living in 7 different countries across the globe with broad international experience.

Learning Objectives

⇒ Become familiar with what must be considered in setting up an internal audit shop to deliver on the IA Mission.
⇒ Understand how to use Sawyers 7th edition to evaluate current services and products and opportunities to add more value.

Sawyer’s Internal Auditing
Enhancing and Protecting Organizational Value
New year, new path to becoming a CIA! Perhaps you already heard the news – the IIA has made some changes to the certifications they offer and in the process made it easier for those of you with certain certifications (CCSA, CGAP, or CFSA) to add the flagship certification to your repertoire via the CIA Challenge Exam. For more information, be sure to visit https://na.theiia.org/certification/Pages/Certification-Transition.aspx

The annual Certification Breakfast is fast approaching and is an excellent source of information for all your certification needs. Jointly sponsored by the IIA, ISACA, ACFE, and AICPA, a wide range of certifications covering a wide range of fields will be discussed. Registration is free so be sure to join us on February 12 to learn about ways to make your career soar!

The new year is also the perfect time to start thinking about CPEs to prevent that mad rush at the end of the year to stay compliant – not that any of you wait until the last minute for that, right? The Albany Chapter of the IIA has some wonderful programs coming up in the next few months that should meet your educational needs, as well as your certification requirements.

If you have any questions about information in this article, or about IIA certifications in general, please feel free to contact me at Katherine.Hogan@nystrs.org

Gleim CIA Review

The Albany Chapter has partnered with Gleim CIA Review to ensure your success on the CIA exam!

Gleim CIA Review is the most widely used CIA prep course. More CIAs have used Gleim to pass the CIA Exam than all other prep courses combined. The exceptional content now includes over 40 hours of in-depth video lectures and comprehensive question test banks that cover all of the topics tested and are presented in a format that replicates your exam-day experience. In addition, CIA candidates enjoy a no-hassle Access Until You Pass® Guarantee and customized support from a team of CIA exam prep experts.

As a member of the chapter, you are eligible for a 10-20% discount on Gleim CIA Review Systems.

To take advantage of the reduced prices and to place your order, log into the Members Only section of the Chapter website.
Please contact our Gleim representative, Ryan Bergh (email: ryan.bergh@gleim.com) or phone: (800) 874-5346 Ext 438) with any questions regarding the Gleim materials or discounts available.
Membership Corner
By Christopher Herald, CGAP

Please join me in welcoming the following individuals to the IIA Albany Chapter:

Debra Bullard
Eunjung Chae-Shumpert
Amy Mullen
Rachel Primiano

Do you have some free time you’re looking to fill? If so, we always welcome additional assistance from our members. If you have thought about helping out our chapter but have been hesitant to do so, send me an email letting me know what you are interested in doing and we can see if it is something that will fit into your schedule.

Chris Herald
Membership Chair
cherald@osc.ny.gov

CAP Corner
By Cristina Hourigan

The Chapter Achievement Program (CAP) is an achievement and recognition program for chapters that uses points to recognize chapter activities that align with the North American Strategic Plan. The program helps to set minimum standards of performance for service to members and to the profession. It also provides a means to evaluate chapter success.

A chapter must earn the minimum CAP points annually in each of the 3 service categories to participate in the CAP program. The 3 service categories are:

1) Service to Members,
2) Professionalism, and
3) Chapter Health

Once the Chapter has met or exceeded the minimum CAP requirements in the 3 categories, the Chapter will have reached the BRONZE performance level. A chapter can advance to SILVER or GOLD levels by accumulating the points noted below made up of any combination of CAP points from the 3 service levels (while also meeting the minimums below).

<table>
<thead>
<tr>
<th>Category</th>
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<tbody>
<tr>
<td>Service to Members</td>
<td>701</td>
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<tr>
<td>Professionalism</td>
<td>100</td>
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<tr>
<td>Chapter Health</td>
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<tr>
<td>Total CAP Points Earned</td>
<td>801</td>
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Chapter Targets
Bronze 685
Silver 1,060
Gold 1,560

Chapter Achievements
As of 12/20/2018
Balance to Silver 259
Current Status BRONZE
## SCHEDULE OF EVENTS
### 2018 — 2019

<table>
<thead>
<tr>
<th>Month</th>
<th>Date/Location</th>
<th>Event Description</th>
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<tbody>
<tr>
<td><strong>SEPTEMBER</strong></td>
<td><strong>September 25, 2018</strong></td>
<td><strong>Mystery Fraud Training</strong></td>
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<td></td>
<td></td>
<td><strong>3.5 CPEs</strong></td>
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<td></td>
<td><strong>October 22-24, 2018</strong></td>
<td><strong>COSO Certificate Course</strong></td>
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<td></td>
<td><strong>October 26, 2018</strong></td>
<td><strong>Fall CAE Roundtable No CPEs</strong></td>
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<tr>
<td><strong>OCTOBER</strong></td>
<td><strong>November 19–20, 2018</strong></td>
<td><strong>Annual Fraud Conference</strong></td>
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<td></td>
<td></td>
<td><strong>7 to 14 CPEs</strong></td>
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<tr>
<td><strong>NOVEMBER</strong></td>
<td><strong>December 6, 2018</strong></td>
<td><strong>Annual Holiday Luncheon</strong></td>
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<td><strong>No CPEs</strong></td>
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<tr>
<td><strong>JANUARY</strong></td>
<td><strong>January 28, 2019</strong></td>
<td><strong>Technology Conference</strong></td>
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<td></td>
<td></td>
<td><strong>8 CPEs</strong></td>
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<tr>
<td><strong>FEBRUARY</strong></td>
<td><strong>February 12, 2019</strong></td>
<td><strong>Certification Breakfast No CPEs</strong></td>
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<tr>
<td><strong>MARCH</strong></td>
<td><strong>March 18-20, 2019</strong></td>
<td><strong>TCTC</strong></td>
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<td><strong>7 to 21 CPEs</strong></td>
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<td><strong>APRIL</strong></td>
<td><strong>April 6-7, 2019</strong></td>
<td><strong>CIA Part III Review No CPEs</strong></td>
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<td><strong>Spring CAE Roundtable No CPEs</strong></td>
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<tr>
<td><strong>MAY</strong></td>
<td><strong>TBD</strong></td>
<td></td>
</tr>
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<td><strong>JUNE</strong></td>
<td><strong>June, 2018</strong></td>
<td><strong>Awards Ceremony No CPEs</strong></td>
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<tr>
<td><strong>JULY</strong></td>
<td><strong>AUGUST</strong></td>
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*It’s your turn to speak*

Don’t forget to report your speaking hours to

**Cristina Hourigan**

Email: CHourigan@osc.ny.gov

Phone: (518) 402-2511