You are invited for an all-day training event provided by AICPA on Robotics Process Automation (RPA).

Forty percent of manual accounting tasks are predicted to be automated by 2020. This seminar will review the RPA landscape, how this new technology is transforming businesses, and how it will ultimately affect your role as an accounting and finance professional.

This CPE course covers examples of RPA in audit planning, internal control testing and substantive procedures, and reporting on and completing an audit. You will learn the difference between RPA and legacy management systems, how to audit a client's RPA, as well as how to audit clients who use RPA. The course also covers Artificial Intelligence, Intelligence Process Automation (IPA), and Automation Continuum, to ensure you understand the differences between RPA and IPA, the impact of RPA on an audit, and future trends in automation.

Ultimately, you will gain a foundational understanding of RPA, its implications and how its application and uses can benefit your clients' business. Once you complete this course, you will be able to add value to your organization, create new opportunities and stand out as someone who is committed to emerging technology.

**Learning Objectives:**

- Understanding what RPA is
- The business value of RPA
- Key considerations in getting started with RPA
- Identifying processes suitable for RPA
- How RPA is transforming businesses
- How to audit a client's RPA
- How to use RPA in an audit engagement

**Date:** Tuesday, January 21, 2020

**Times:**
- 8:00 am - 8:30 am - Registration & Breakfast
- 8:30 am - 5:00 pm - Training
- 12:00 pm - 1:00 pm - Lunch

**Location:** University at Albany (BB 151)

Massry Center for Business
1400 Washington Avenue
Albany, NY 12222

**CPEs:**
This event is eligible for 8 CPEs.

**Capacity:** This event is limited to 50 attendees. Please register today to secure your spot!

**Registration:**
http://www.cvent.com/d/dhqgvq

**Cost:**

<table>
<thead>
<tr>
<th>Category</th>
<th>Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td>IIA and ISACA members</td>
<td>$150</td>
</tr>
<tr>
<td>Non-members</td>
<td>$175</td>
</tr>
</tbody>
</table>
Happy New Year 2020!!!

I hope everyone had a wonderful holiday season, and I hope it started with attending our annual Holiday Luncheon on December 5, 2019 at Wolfert’s Roost Country Club. There were 64 attendees who were treated to a delicious lunch and a presentation by the Albany County Sheriff, Craig Apple, on accountability, transparency, and success stories in police work. The Albany Chapter IIA, along with our sister organizations ACFE, ISACA, and AGA, donated a total of $1,000 to benefit Homeless & Travelers Aid Society.

On December 12-13, 2019, we successfully hosted the CIA Part II Review Course at NYS Forum. We had 18 people in attendance who learned on the Practice of Internal Auditing.

We are beginning the new year with our annual jointly-hosted Technology Conference on January 21, 2020. This all-day training event will be provided by AICPA on Robotics Process Automation at SUNY Albany. Please don’t miss out on this opportunity to earn 8 CPEs!

As always, please contact me anytime at adam.roberts@sla.ny.gov to discuss volunteer opportunities with the Chapter. In addition, please consider joining us for coffee, bagels, and lively discussion at our monthly Board meetings. Our next meeting will be on January 14 at 7:45am at the Office of the New York State Teachers’ Retirement System, 10 Corporate Woods Drive, Albany, NY 12211. We hope to see you there!

Best regards,
Adam

IIA Webinars

Data Ethics
January 21, 2020
1:00 – 2:00 PM

Sophistication of the collection, analysis, and use of data is expanding exponentially, complicated by artificial intelligence. While the concept of risk related to data ethics is relatively new, CAEs predict that its relevance will grow rapidly over the next five years. The board and CAEs are somewhat more optimistic about their organizations’ capability to manage risks related to data ethics; however, all parties are aligned in their perspective that they lack significant knowledge on the risks. As the regulatory environment around data ethics evolves, all parties certainly must expand their knowledge of this risk. Based on the bold new OnRisk 2020 report — the only report that provides perspectives from all the key players in the risk management process: CAEs, executive management, and the board, this webinar will address organizational conduct and the potential associated reputational and financial damages for failure to establish proper data governance.

Learning Objectives

⇒ Understand the importance of having your organization’s code of conduct reviewed and acknowledged in writing by employees on a regular timeframe, inclusive of data-related elements.

⇒ Learn ways to construct governance around data collection and management.

⇒ Create strategies to make customers aware of the data being collected on them and how it is being utilized.

⇒ Develop a risk assessment plan regarding data to be collected, including risk reporting to executive management and the board.
Happy New Year! It is a new year and a new decade, which is a great time to evaluate your career goals and consider how certifications can help you achieve them!

If you are unsure of what certifications are available to you, or how they can impact your career trajectory, please join us at Wolfert’s Roost for our annual Certification Breakfast on February 11th. It’s a chance to hear from people from the IIA, ISACA, the ACFE, and the AICPA about the certifications they offer and why you should consider getting one (or more!)

The added bonus: it is free to attend! Registration closes February 6th so be sure to register today: Click here to register.

I would like to recognize and congratulate Robert Kong on becoming a Certified Internal Auditor in November! Well done, Robert!

Some significant changes are coming this year to the CRMA exam: the prerequisite will be changing to a full and active CIA designation and the number of questions, difficulty level of the questions, and work experience required to sit for the exam are all increasing. This will be effective October 1, 2020 so if you’re interested in this designation but are concerned you won’t meet the new requirements by then, you may want to register for the current version of the CRMA exam sooner rather than later. More information on these changes can be found here.

As always, if you have any questions about information in this article, or about IIA certifications in general, please feel free to contact me at Katherine.Hogan@nystrs.org

Best Regards,
Katherine

Certification News
By Katherine Hogan, CPA, CIA, CFE, CRMA, CCSA

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Best Regards,
Katherine

Gleim CIA Review

The Albany Chapter has partnered with Gleim CIA Review to ensure your success on the CIA exam!

Gleim CIA Review is the most widely used CIA prep course. More CIAs have used Gleim to pass the CIA Exam than all other prep courses combined. The exceptional content now includes over 40 hours of in-depth video lectures and comprehensive question test banks that cover all of the topics tested and are presented in a format that replicates your exam-day experience. In addition, CIA candidates enjoy a no-hassle Access Until You Pass® Guarantee and customized support from a team of CIA exam prep experts.

As a member of the chapter, you are eligible for a 10-20% discount on Gleim CIA Review Systems.

To take advantage of the reduced prices and to place your order, log into the Members Only section of the Chapter website.

Please contact our Gleim representative, Ryan Bergh (email: ryan.bergh@gleim.com or phone: (800) 874-5346 (Ext 438) with any questions regarding the Gleim materials or discounts available.
Membership Corner
By Christopher Herald, CGAP

Please join me in welcoming the following individuals to the IIABerkeley Chapter:

Aaron Ball  
Jessi Close

The IIA is a great resource for your career, and I urge everyone to take advantage of the resources the IIA provides to its members. Whether you decide to attend a local training the Albany Chapter provides at a discounted rate to its members, browse through articles in the IIA Bookstore (https://na.theiia.org/periodicals/Pages/Periodicals.aspx), or capitalize on any of the other numerous resources offered, the choice is entirely yours!

As always, if anyone has questions about their membership, or has a co-worker that would like more information about how to join the IIABerkeley Chapter, please contact me and I will be happy to assist.

Chris Herald  
Membership Chair  
cherald@osc.ny.gov

CAP Corner  
By Layli Nazirova, CIA, CGAP

The Chapter Achievement Program (CAP) is an achievement and recognition program for chapters that uses points to recognize chapter activities that align with the North American Strategic Plan. The program helps to set minimum standards of performance for service to members and to the profession. It also provides a means to evaluate chapter success. A chapter must earn the minimum CAP points annually in each of the 3 service categories to participate in the CAP program. The 3 service categories are:

1) Service to Members,  
2) Professionalism, and  
3) Chapter Health

Once the Chapter has met or exceeded the minimum CAP requirements in the 3 categories, the Chapter will have reached the BRONZE performance level. A chapter can advance to SILVER or GOLD levels by accumulating the points noted below made up of any combination of CAP points from the 3 service levels (while also meeting the minimums below).

Chapter Targets

- Bronze: 685
- Silver: 1,060
- Gold: 1,560

<table>
<thead>
<tr>
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<tr>
<td>Service to Members</td>
<td>1,076</td>
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<tr>
<td>Professionalism</td>
<td>75</td>
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<tr>
<td>Chapter Health</td>
<td>0</td>
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<tr>
<td>Total CAP Points Earned</td>
<td>1,151</td>
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### SCHEDULE OF EVENTS
2019 — 2020

Albany Chapter – Institute of Internal Auditors

<table>
<thead>
<tr>
<th>SEPTEMBER</th>
<th>OCTOBER</th>
<th>NOVEMBER</th>
<th>DECEMBER</th>
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<tbody>
<tr>
<td><strong>October 18, 2019</strong>&lt;br&gt;<strong>Fall CAE Roundtable</strong>&lt;br&gt;No CPEs</td>
<td><strong>November 14–15, 2019</strong>&lt;br&gt;<strong>CIA Part I Review</strong>&lt;br&gt;8 CPEs</td>
<td><strong>November 25–26, 2019</strong>&lt;br&gt;<strong>Annual Fraud Conference</strong>&lt;br&gt;7 to 14 CPEs</td>
<td><strong>December 12-13, 2019</strong>&lt;br&gt;<strong>CIA Part II Review</strong>&lt;br&gt;8 CPEs</td>
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<td><strong>December 5, 2019</strong>&lt;br&gt;<strong>Annual Holiday Luncheon</strong>&lt;br&gt;1 CPE</td>
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<tr>
<td>JANUARY</td>
<td>FEBRUARY</td>
<td>MARCH</td>
<td>APRIL</td>
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<tr>
<td><strong>January 21, 2020</strong>&lt;br&gt;<strong>Technology Conference</strong>&lt;br&gt;8 CPEs</td>
<td><strong>February 11, 2020</strong>&lt;br&gt;<strong>Certification Breakfast</strong>&lt;br&gt;No CPEs</td>
<td><strong>March 16-18, 2020</strong>&lt;br&gt;<strong>TCTC</strong>&lt;br&gt;7 to 21 CPEs</td>
<td><strong>TBD</strong>&lt;br&gt;<strong>Spring CAE Roundtable</strong>&lt;br&gt;No CPEs</td>
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<tr>
<td>MAY</td>
<td>JUNE</td>
<td>JULY</td>
<td>AUGUST</td>
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<tr>
<td><strong>TBD</strong>&lt;br&gt;<strong>Awards Ceremony</strong>&lt;br&gt;No CPEs</td>
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It’s your turn **to speak**

Don’t forget to report your speaking hours to

**Cristina Shord**

Email: cshord@osc.ny.gov
Phone: (518) 402-2511