The New York Capital Chapter of the Association of Government Accountants, The Albany Chapter of The Institute of Internal Auditors, and the Hudson Valley Chapter of ISACA are proud to host The Conference That Counts (TCTC) 2019 with a special theme—"Passport to Learning".

Please join us for an outstanding three-day continuing education event offering challenging, informative, and exciting sessions designed to help participants keep pace with changes in information technology, auditing, fraud, and leadership skills. TCTC 2019 promises to be an excellent learning and networking opportunity for both new and experienced financial managers, auditors, and accountability professionals. The program has been developed to ensure that TCTC 2019 delivers up to the minute, quality educational content that meets your high standards and professional needs. Up to 21 CPE hours can be earned by attending all three days.

This is the 24th TCTC and it has become so popular over the years that you must register early to ensure you get in. See the registration page for details.

TCTC 2019
PASSPORT TO LEARNING
March 18 - 20, 2019
The Red Lion, Albany

We have planned an outstanding conference program that will give you the chance to:

⇒ Customize your registration to fit your schedule and interests. Choose one, two, or three days
⇒ Earn up to 21 CPE hours at outstanding educational sessions
⇒ Discover innovative solutions and gain the tools you need to be more effective
⇒ Learn leadership secrets from nationally recognized industry leaders
⇒ Network with colleagues from across the region
⇒ Explore the latest technological advances in financial management
⇒ Stay up to date on issues that affect your career
⇒ Improve your knowledge, skills and abilities, and increase your promotional potential

See the TCTC Brochure for details.

Click here to Register

Dates: March 18—20, 2019

Times: 7:30 am—8:30 am — Registration & Breakfast
8:30 am—4:30 pm — Training

Location: The Red Lion Hotel
205 Wolf Rd
Albany, New York 12205

Cost:

<table>
<thead>
<tr>
<th>Fee Schedule</th>
<th>Registration Fees Postmarked</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>By February 22, 2019</td>
</tr>
<tr>
<td></td>
<td>Member*</td>
</tr>
<tr>
<td>One Day</td>
<td>$160</td>
</tr>
<tr>
<td>Two Days</td>
<td>$285</td>
</tr>
<tr>
<td>Three Days</td>
<td>$360</td>
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</tbody>
</table>
The Albany Chapter IIA will be holding a two-day CIA Part III Review Course

This premier CIA Exam preparation program is based on the IIA’s CIA Learning System, and delivers the global CIA exam syllabus in a blended learning format of customized workbooks and web-based learning software. Our experienced instructor will lead you through Part III of the CIA exam syllabus in a structured and interactive live classroom environment to ensure that you understand and can apply the critical CIA topics.

Prepare to pass the Part III of the CIA Exam and arm yourself with critical tools and knowledge to excel in your internal audit career. Provided IIA Learning System materials include:

- Easy-to-understand print modules that correspond to Part III of the CIA exam
- Extensive content organized by section so you can tailor your studies to meet your needs
- A pre-test to assess initial level of knowledge and develop a customized study plan
- Section-specific questions to reinforce concepts
- A post-test to gauge what you have learned and identify the areas requiring further study
- A final review test encompassing all review questions for additional exam practice
- Materials teach Part III of the CIA exam syllabus, updated to align with the 2017 IPPF

Dates: April 6 & 7, 2019

Times: 8:00 - 8:30 am—Registration
8:30 - 4:30 pm—Information Session

Meals: Lunch will be provided

Location: Red Lion Hotel
205 Wolf Rd
Albany, NY 12205

Number of CPEs: 16

Registration: [http://www.eiseverywhere.com/408160](http://www.eiseverywhere.com/408160)

This event is limited to 30 participants, please register today to secure your spot!

Cost:

<table>
<thead>
<tr>
<th>Event Fee Schedule</th>
<th>Before 3/8/19</th>
<th>After 3/8/19</th>
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</thead>
<tbody>
<tr>
<td>IIA Member – Course &amp; CIA Learning Materials</td>
<td>$500</td>
<td>$600</td>
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<tr>
<td>Non-Member – Course &amp; CIA Learning Materials</td>
<td>$675</td>
<td>$775</td>
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</tbody>
</table>

Note: Version 6.0 of the IIA’s CIA Learning System’s Part 3 is a required material for this course and is included with course registration. If you have any questions, please contact Sandy Meadows @ Sandra.Meadows@gaming.ny.gov
Welcome to the month of March!

With all this snow around, it’s so hard to believe that spring is almost here, but very soon we’ll enjoy a beautiful warm weather!

In February, the Albany Chapter coordinated with our sister organizations for the Annual Certification Breakfast at Wolferts Roost. I hope those who attended this event not only enjoyed a delicious breakfast, but also learned about the available certifications, and most importantly left greatly inspired to get certified.

As we turn our attention to March, our annual TCTC is fast approaching with a special theme – Passport to Learning. This 24th annual conference will be held on March 18 through 20 at the Red Lion Hotel in Albany. We hope you will join us for this outstanding three-day conference that really counts! In addition, don’t miss out on a great opportunity to get a designation that counts! The IIA is waiving the Certified Internal Auditor (CIA) exam application fee (up to $230 value!) if you register any time during March 2019. Plus, on April 6-7, 2019, the Albany Chapter is offering a two-day CIA Part III Review Course at the Red Lion Hotel. This event is limited to only 30 participants, so sign up now to secure your spot and save $100 if you register by March 8.

Events like these are not possible without our dedicated, talented, and hard-working volunteers. If you would like to join our team, expand your network, and make a difference in our chapter, please contact me at Layli.nazirova@health.ny.gov and consider attending a monthly Board meeting with coffee and bagels! Meetings are held on the second Tuesday of every month at 7:45 am at the New York State Teachers’ Retirement System (10 Corporate Woods Drive, Albany, NY 12211). I hope to see you there!

Best regards,

Layli

IIA Webinars

The IIA offers free informational programs to its members on a variety of topics. Click here to obtain additional information and to register.

Audit and Culture
March 19, 2019
1:00 – 2:00 PM

Join the author of the recently published book – A journey into Auditing Culture to explore how an audit of culture can really add value to the business. Should you undertake a culture audit, and if so how do you go about it? Where do you start? What documents should you request and how can you look at expected controls in this area? How can you look at design effectiveness – never mind operational effectiveness. Learn the answers to all of this and more by joining our webinar.

Sue Jex uses structured approaches that begin with your organization’s own strategy and establish the embeddedness of culture across all aspects of the

President’s Message
By Layli Nazirova, CIA, CGAP
IIA Webinars (Continued)

Learning Objectives

Delegates will understand how to approach a culture audit, how to undertake a risk assessment of culture, which documents to request, what questions to ask leaders and employees and how to structure the resultant audit report.

Topics / Key Words will include:

⇒ Auditing culture
⇒ Embedding vision and values
⇒ Behavioural risk

Certification News

By Katherine Hogan, CPA, CIA, CFE, CRMA, CCSA

What’s better than applying to take the CIA Exam? How about applying for FREE? For the month of March, the IIA is waving the exam application fee for the CIA exam, which means a savings of $65 to $230 depending on your membership/school status. Please note - you still have to pay for each section of the exam when you take them, this is just a waiver of the application fee.

Are you already in the process of passing the CIA Exam? Need a little extra push on Part 3? The Albany Chapter of the IIA is offering a CIA Part III Review Course next month. Be sure to check out the flyer on page 2 of this newsletter for details. Think about it even if you aren’t on Part 3 yet; you can take the sections of the exam out of order.

Interested in the certificate programs that replaced the CGAP and CFSA certifications in January? As soon as I know more, you’ll know more, too! More information on the assessment-based certificate programs will be available this year, so stay tuned!

As always, if you have any questions about information in this article, or about IIA certifications in general, please feel free to contact me at Katherine.Hogan@nystrs.org

Best regards,
Katherine

Membership Corner

By Christopher Herald, CIA, CGAP

Please join me in welcoming the following individuals to the

IIA Albany Chapter:

John Falcon
Andrew Fischler
Nick Forchilli
Michael Grosso

Kevin Maloney
Nadeem Mann
Matt McGuire
Brett Perez
Rick Podagrosi
Katherine Rizzo
Maureen Smith
Joseph Wargo
Membership Corner (Continued)
By Christopher Herald, CIA, CGAP

The IIA is a great resource for your career, and I urge everyone to take advantage of the resources the IIA provides to its members. Whether you decide to attend a local training the Albany Chapter provides at a discounted rate to its members, browse through articles in the IIA Bookstore (https://na.theiia.org/periodicals/Pages/Periodicals.aspx), or capitalize on any of the other numerous resources offered, the choice is entirely yours!

If anyone has questions about their membership, or has a co-worker that would like more information about how to join the IIA Albany Chapter, please contact me and I will be happy to assist.

Chris Herald
Membership Chair
cherald@osc.state.ny.us

CAP Corner
By Cristina Hourigan

The Chapter Achievement Program (CAP) is an achievement and recognition program for chapters that uses points to recognize chapter activities that align with the North American Strategic Plan. The program helps to set minimum standards of performance for service to members and to the profession. It also provides a means to evaluate chapter success.

A chapter must earn the minimum CAP points annually in each of the 3 service categories to participate in the CAP program. The 3 service categories are:
1) Service to Members,
2) Professionalism, and
3) Chapter Health

Once the Chapter has met or exceeded the minimum CAP requirements in the 3 categories, the Chapter will have reached the BRONZE performance level. A chapter can advance to SILVER or GOLD levels by accumulating the points noted below made up of any combination of CAP points from the 3 service levels (while also meeting the minimums below).

<table>
<thead>
<tr>
<th>Category</th>
<th>Chapter Points</th>
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<tbody>
<tr>
<td>Service to Members</td>
<td>1,236</td>
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<tr>
<td>Professionalism</td>
<td>100</td>
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<tr>
<td>Chapter Health</td>
<td>0</td>
</tr>
<tr>
<td>Total CAP Points Earned</td>
<td>1,336</td>
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Chapter Targets
- Bronze 685
- Silver 1,060
- Gold 1,560

Chapter Achievements
- Balance to Gold 224
- Current Status Silver
### SCHEDULE OF EVENTS
#### 2018 — 2019

**Albany Chapter – Institute of Internal Auditors**

<table>
<thead>
<tr>
<th>SEPTEMBER</th>
<th>OCTOBER</th>
<th>NOVEMBER</th>
<th>DECEMBER</th>
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<tbody>
<tr>
<td>September 25, 2018</td>
<td>October 22-24, 2018 <strong>COSO Certificate Course</strong></td>
<td>November 19–20, 2018 <strong>Annual Fraud Conference</strong> 7 to 14 CPEs</td>
<td>December 6, 2018 <strong>Annual Holiday Luncheon</strong> No CPEs</td>
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<tr>
<td>Mystery Fraud Training 3.5 CPEs</td>
<td>October 26, 2018 Fall CAE Roundtable No CPEs</td>
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<tr>
<th>JANUARY</th>
<th>FEBRUARY</th>
<th>MARCH</th>
<th>APRIL</th>
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<tbody>
<tr>
<td>January 28, 2019</td>
<td>February 12, 2019 <strong>Certification Breakfast</strong> No CPEs</td>
<td>March 18-20, 2019 <strong>TCTC</strong> 7 to 21 CPEs</td>
<td>April 6-7, 2019 <strong>CIA Part III Review</strong> 16 CPEs</td>
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<tr>
<td>Technology Conference 8 CPEs</td>
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<td>Spring CAE Roundtable No CPEs</td>
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<tr>
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<th>JUNE</th>
<th>JULY</th>
<th>AUGUST</th>
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<tr>
<td>May 15, 2019</td>
<td>June, 2019</td>
<td></td>
<td></td>
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<tr>
<td>Value Added Internal Audits 7 CPEs</td>
<td>Awards Ceremony No CPEs</td>
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It’s your turn **to speak**

Don’t forget to report your speaking hours to

**Cristina Hourigan**

Email: CHourigan@osc.ny.gov

Phone: (518) 402-2511