The New York Capital Chapter of the Association of Government Accountants, The Albany Chapter of The Institute of Internal Auditors, and the Hudson Valley Chapter of ISACA are proud to host the 25th annual The Conference That Counts (TCTC) 2020 with a special theme - "Vision 20/20".

Please join us for an outstanding three-day continuing education event offering challenging, informative, and exciting sessions designed to help participants keep pace with changes in information technology, auditing, fraud, and leadership skills. TCTC 2020 promises to be an excellent learning and networking opportunity for both new and experienced financial managers, auditors, and accountability professionals. The program has been developed to ensure that TCTC 2020 delivers up to the minute, quality educational content that meets your high standards and professional needs. Up to 21 CPE hours can be earned by attending all three days.

This is the 25th TCTC and it has become so popular over the years that you must register early to ensure you get in. See the registration page for details.

Dates: March 16—18, 2020

Times: 7:30 am—8:30 am — Registration & Breakfast
8:30 am—4:30 pm — Training

Location: The Red Lion Hotel
205 Wolf Rd
Albany, New York 12205

CPEs: 7/day

We have planned an outstanding conference program that will give you the chance to:

⇒ Customize your registration to fit your schedule and interests. Choose one, two, or three days
⇒ Earn up to 21 CPE hours at outstanding educational sessions
⇒ Discover innovative solutions and gain the tools you need to be more effective
⇒ Learn leadership secrets from nationally recognized industry leaders
⇒ Network with colleagues from across the region
⇒ Explore the latest technological advances in financial management
⇒ Stay up to date on issues that affect your career
⇒ Improve your knowledge, skills and abilities, and increase your promotional potential

See the TCTC Brochure for details.

Click here to Register
President’s Message

By Adam Roberts

March is a big month for the Albany Chapter of the IIA!

First, we have the 25th anniversary of our biggest program, The Conference That Counts, or TCTC. Three days of national speakers in three educational tracks designed to help attendees keep pace with changes in IT, fraud, auditing, and leadership skills. The conference is hosted by the local chapters of the IIA, AGA, and ISACA. Join us for up to 21 CPE hours plus networking opportunities galore on March 16-18, 2020.

March is also election month for the Albany Chapter of the IIA. Read on to find the slate of officers and board governors seeking election or re-election to positions in the Chapter, along with candidate bios and pictures. We’ve saved you from a relentless election cycle, so in return we’re asking for your vote. If you are attending TCTC, stop by our booth to fill out a ballot. Can’t make it to TCTC? Watch your email for an electronic ballot.

Speaking of elections, we have a position open for a Board Governor to serve for three years. Being a Governor is a great way to get involved with the Chapter, to help ensure the Chapter is representing your best interests, to network with peers, and to gain leadership experience. Want to try before you buy? Come to a meeting! Board meetings are open to all Chapter members on the 2nd Tuesday of every month at 7:45 AM at NYS Teachers Retirement Center at 20 Corporate Woods Blvd. Sure it’s early, but we provide coffee, bagels, and other snacks, along with lively conversations, so come check us out!

Best regards,
Adam

2020-21 Albany Chapter Slate of Officers

Sandy Meadows

Sandy is the Director of Internal Audit at the NYS Gaming Commission. Sandy has a degree in Accounting from Houghton College and has been a volunteer with the Albany Chapter of the IIA since 2014, first on the Certifications Committee, then as Certification Committee Chair, and for the past two years has been a Chapter Officer. She is a CIA and a CGAP.

Chris Herald

Chris Herald is an Audit Supervisor with the Office of the State Comptroller-Division of State Government Accountability. Chris has been an active member of the IIA since 2013 as the Albany Chapter’s Membership chair. In more recent years, Chris has taken on a Board member role and assisted in providing various training events directed toward auditors in the Capital District. He has also taken on Finance Committee responsibilities, helping to ensure the Chapter’s fiscal stability. Along with those responsibilities, he is the 2nd Vice President and seeking the role of Vice President. Chris received a Bachelor of Science in Accounting from Sage College of Albany, and holds the CIA and CGAP certifications.

CHAPTER PRESIDENT

1ST VICE PRESIDENT
2020-21 Albany Chapter Slate of Officers (Cont.)

Sarah Matrose

Sarah Matrose is the Internal Auditor at Capital District Transportation Authority. Since graduating from the University of Maine with a degree in Business Administration, she has been a practicing auditor and a member of the IIA since 2008, holding positions in both private and public sector industries. Sarah has been a non-voting member of the IIA Albany Chapter Board since 2017, and looks forward to continuing to serve in the 2nd Vice President position.

Carim Cervantes

Carim Cervantes is an Internal Auditor for The Office of State Comptroller. He has eight years of audit experience, five of which have been with Internal Audit. He is a member of the Institute of Internal Auditors (IIA) and Association of Inspectors General. He serves as a committee member for The Conference That Counts and as an Officer for the IIA Albany Chapter. He graduated from the College of Saint Rose with a Master of Science in Accounting and is a member of: Delta Epsilon Iota, Delta Mu Delta, National Academic Honor Society, and International Business Academic Honor Society, and holds the CIA and CIGA certifications.

Maria Pizzino

Maria Pizzino is an auditor at the NYS Office of the State Comptroller, Bureau of State Expenditures. Maria joined the IIA in the Fall 2016, served as the Assistant Treasurer for Chapter Year 2017-18, and is currently the Board Treasurer. Prior to state service, she was in retail banking and obtained her degree in Finance from The College at Brockport, SUNY.

Katherine Hogan

Katherine Hogan is a Senior Accountant for the New York State Teachers’ Retirement System. She is a CPA, CIA, CFE, and holds the CCSA and CRMA designations. Katherine is the Certifications Chair for the Albany Chapter IIA and is going on her third year of being on the Finance Committee.

Katherine Wall

Katherine Wall works for the Office of the State Comptroller’s Bureau of State Expenditures, where she supervises a team that reviews State credit card
payments on a pre- and post-audit basis. Katherine has been a member of the Albany Chapter of the IIA since 2014, and has served as the Chapter’s Academic Relations Committee Chairperson since 2015. Prior to joining OSC, Katherine obtained her degree in Business Administration with an emphasis in Finance and two minors in Accounting and Economics from Marist College and her MBA from the College of St. Rose.

Katherine has been a member of the Albany Chapter of the IIA since 2014, and has served as the Chapter’s Academic Relations Committee Chairperson since 2015. Prior to joining OSC, Katherine obtained her degree in Business Administration with an emphasis in Finance and two minors in Accounting and Economics from Marist College and her MBA from the College of St. Rose.

Christina Teator
Christina Teator works for the Office of the State Comptroller’s Bureau of State Expenditures where she supervises a team that reviews State payments on a pre- and post-audit basis. She is a Certified Public Accountant and a Certified Fraud Examiner. Christina has been a member of the Albany Chapter of the IIA since 2012. She served as the Chapter’s Treasurer for several years and is currently the Finance Committee Chairperson. Prior to joining OSC, Christina obtained her M.S./B.S. in Accounting from the College of St. Rose.

Jenna Hanzel
After graduating with a math degree, Jenna has spent the past three years working as an auditor in the Bureau of State Expenditures at the NYS Comptroller’s Office. Shortly after joining the IIA, Jenna began volunteering on the Program committee, a position she still holds. She looks forward to learning more about all the opportunities offered through the IIA!

OPEN BOARD GOVERNOR SPOT

Picture yourself here! Board Governors serve for three-year terms. If you are interested, please contact Sandy Meadows at Sandra.Meadows@gaming.ny.gov or 518-388-3344.

IIA Webinars

A Plan for Regulatory Change
March 17, 2020
1:00–2:00 p.m.

Internal auditors have a responsibility, under the International Standards for the Professional Practice of Internal Auditing, to help ensure their organizations are addressing and managing regulatory change risk effectively.

Using a top-down framework based on guidance from the U.S. Federal Sentencing Guidelines, internal auditors
can assess the organization’s approach to compliance. The guidance has been emulated by governments of non-U.S. countries when outlining steps to ensure compliance with major laws and regulations. It can guide auditors, step by step, through a structure review of what’s coming down the pike.

Learning Objectives
To give internal auditors a framework for assessing whether their organizations are addressing and managing regulatory

Certification News
By Katherine Hogan, CPA, CIA, CFE, CRMA, CCSA

Happy almost Spring, folks! The days are getting longer, slowly but surely, and soon everything will be in bloom, making it a lovely time of year to talk about certifications!

Thank you to everyone who attended the Certification Breakfast last month. Hopefully we gave you all something to think about in terms of available certifications and how they relate to your jobs, no matter what your line of work is.

If you are working on your CIA designation or even haven’t started yet, keep in mind that the CIA Exam Part 3 Review Course is going to be held at the end of April. You don’t have to have taken parts 1 or 2 to take part 3, so I would encourage anyone working on their CIA to attend.

One important matter to note – CCMS will be down from March 8 – March 15th. If you have any exam fees to pay or any forms to submit, please do so prior to March 8th or you will have to wait for the system to be brought back online on March 16th.

Another item of note is that the Internal Audit Practitioner program launch has been delayed until Q3/4 2020. This program allows new and rotational auditors a designation after completing part 1 of the CIA exam showing that they have a foundational knowledge of internal auditing. I will have more information as we get closer to launch.

As always, if you have any questions about information in this article, or about IIA certifications in general, please feel free to contact me at Katherine.Hogan@nystrs.org

Best Regards,
Katherine

Gleim CIA Review

The Albany Chapter has partnered with Gleim CIA Review to ensure your success on the CIA exam!

Gleim CIA Review is the most widely used CIA prep course. More CIAs have used Gleim to pass the CIA Exam than all other prep courses combined. The exceptional content now includes over 40 hours of in-depth video lectures and comprehensive question test banks that cover all of the topics tested and are presented in a format that replicates your exam-day experience. In addition, CIA candidates enjoy a no-hassle Access Until You Pass® Guarantee and customized support from a team of CIA exam prep experts.

As a member of the chapter, you are eligible for a 10-20% discount on Gleim CIA Review Systems. To take advantage of the reduced prices and to place your order, log into the Members Only section of the Chapter website.

Please contact our Gleim representative, Ryan Bergh (email: ryan.bergh@gleim.com or phone: (800) 874-5346 Ext 438) with any questions regarding the Gleim materials or discounts available.
Membership Corner
By Christopher Herald, CGAP

Please join me in welcoming the following individuals to the IIA Albany Chapter:

   Remy Feeley  
   Max Morse  
   Samuel Spagnola

The IIA is a great resource for your career, and I urge everyone to take advantage of the resources the IIA provides to its members. Whether you decide to attend a local training the Albany Chapter provides at a discounted rate to its members, browse through articles in the IIA Bookstore (https://na.theiia.org/periodicals/Pages/Periodicals.aspx), or capitalize on any of the other numerous resources offered, the choice is entirely yours!

As always, if anyone has questions about their membership please contact me and I will be happy to assist.

Chris Herald  
Membership Chair  
cherald@osc.ny.gov

CAP Corner
By Layli Nazirova, CIA, CGAP

The Chapter Achievement Program (CAP) is an achievement and recognition program for chapters that uses points to recognize chapter activities that align with the North American Strategic Plan. The program helps to set minimum standards of performance for service to members and to the profession. It also provides a means to evaluate chapter success.

A chapter must earn the minimum CAP points annually in each of the 3 service categories to participate in the CAP program. The 3 service categories are:

1) Service to Members,  
2) Professionalism, and  
3) Chapter Health

Once the Chapter has met or exceeded the minimum CAP requirements in the 3 categories, the Chapter will have reached the BRONZE performance level. A chapter can advance to SILVER or GOLD levels by accumulating the points noted below made up of any combination of CAP points from the 3 service levels (while also meeting the minimums below).

### Chapter Targets

<table>
<thead>
<tr>
<th>Category</th>
<th>Chapter Points</th>
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<tbody>
<tr>
<td>Service to Members</td>
<td>1,276</td>
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<tr>
<td>Professionalism</td>
<td>75</td>
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<tr>
<td>Chapter Health</td>
<td>0</td>
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<tr>
<td>Total CAP Points Earned</td>
<td>1,351</td>
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### Chapter Achievements

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<th>Category</th>
<th>As of 3/1/2020</th>
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<tr>
<td>Balance to Gold</td>
<td>209</td>
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<tr>
<td>Current Status</td>
<td>SILVER</td>
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## SCHEDULE OF EVENTS
### 2019 — 2020

### Albany Chapter – Institute of Internal Auditors

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<th>SEPTEMBER</th>
<th>OCTOBER</th>
<th>NOVEMBER</th>
<th>DECEMBER</th>
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<tr>
<td></td>
<td>October 18, 2019</td>
<td>November 14–15, 2019</td>
<td>November 25–26, 2019</td>
<td>December 12-13, 2019</td>
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<td>Fall CAE Roundtable No CPEs</td>
<td>CIA Part I Review 8 CPEs</td>
<td>Annual Fraud Conference 7 to 14 CPEs</td>
<td>CIA Part II Review 8 CPEs</td>
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<td>December 5, 2019</td>
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<td>Annual Holiday Luncheon 1 CPE</td>
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### JANUARY
- January 21, 2020
  - Technology Conference 8 CPEs

### FEBRUARY
- February 11, 2020
  - Certification Breakfast No CPEs

### MARCH
- March 16-18, 2020
  - TCTC 7 to 21 CPEs

### APRIL
- April 2, 2020
  - The Everyday Ethicist 4 CPEs
- April 30, 2020
  - CIA Part III Review 8 CPEs
  - Spring CAE Roundtable No CPEs

### MAY
- May 1, 2020
  - CIA Part III Review 8 CPEs

### JUNE
- TBD
  - Awards Ceremony No CPEs

### JULY
- TBD
  - Annual Fraud Conference 7 to 14 CPEs

### AUGUST
- TBD
  - CIA Part II Review 8 CPEs

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**It’s your turn to speak**

Don’t forget to report your speaking hours to

**Cristina Shord**

Email: cshord@osc.ny.gov

Phone: (518) 402-2511