INTERNAL AUDIT ACADEMY: FUNDAMENTALS OF INTERNAL AUDITING

The Albany Chapter of the Institute of Internal Auditors is proud to offer its third session of The Albany IIA Internal Audit Academy: Fundamentals of Internal Auditing!

This intensive four-day course is designed for auditors with three or fewer years of audit experience. The course is based on IIA’s International Professional Practices Framework (Red Book) and will cover the essential elements of internal auditing, such as:

- Modern Internal Audit Concepts
- Risk Universe
- COSO
- Research & Audit Interviewing
- Fraud
- Audit Programs
- Audit Evidence & Testing
- Data Analytics
- Auditing IT
- Workpaper Documentation
- Report Writing
- Developing as an Internal Auditor

These topics will be covered by 12 volunteer internal audit practitioners with decades of combined experience. The format will include lectures, exercises, Q&A, and self-assessment quizzes, all of which will help reinforce the concepts presented. This program should not be missed! Registration is limited to 35 participants, so secure your spot today!

Who is this program ideal for?

⇒ Recent college graduates entering an internal audit position
⇒ Internal auditors with some experience but who want to reinforce the basics
⇒ Professionals with operational experience but are new to a role in internal audit

Date: Monday, May 21, 2018 - Thursday, May 24, 2018
Times: 8:00 am - 8:30 am — Registration & Continental Breakfast
       8:30 am - 4:15 pm — Information Session
Location: The Century House
         997 New Loudon Road
         Latham, NY 12110
Cost:

<table>
<thead>
<tr>
<th>Category</th>
<th>Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td>IIA members</td>
<td>$375</td>
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<tr>
<td>Non-members</td>
<td>$425</td>
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The price includes breakfast and lunch. In addition, each attendee will receive a free Red Book.

Number of CPEs: 29.5
Registration Deadline: 4/30/2018

Click Here To Register
Or follow the link below:
President’s Message

By Cristina Hourigan

Spring is almost here and the weather is getting warmer! Thank you to everyone who attended this year’s TCTC. As usual, it was three days of quality speakers, great giveaways, and a delicious menu. We also had record attendance this year; TCTC just keeps getting bigger and better each year! Please be sure to complete the survey since feedback is always taken into consideration when planning next year’s event.

There are still a few spots left in our very popular Internal Audit Academy event in May. We are proud to offer such a great program that has had such high demand. The Academy is a four-day course designed for auditors with three or less years of audit experience.

As always, please contact me anytime at CHourigan@osc.ny.gov to discuss volunteer opportunities with the Chapter. In addition, please consider joining us for coffee, bagels, and lively discussion at our monthly Board meetings. Our next meeting will be on April 11th at 7:45am at the Office of the State Comptroller, Rensselaer Technology Park, 200 Jordan Road, Troy NY 12180. We hope to see you there!

Best regards,
Cristina

IIA Webinars

The IIA offers free informational programs to its members on a variety of topics. Click here to obtain additional information and to register.

EHSAC Webinar: Auditing Reimagined – Innovating the Auditing Practice through Frontier Technologies

April 3, 2018
2:00 – 3:00 PM

Auditing efficiency, accuracy and consistency are highlighted by Environmental, Health and Safety (EHS) auditors as areas to innovate and improve. As a practice, auditing had seen little by way of technological innovation until the use of mobile devices came on the scene about a decade ago. Since then, advances in Artificial Intelligence and the application of smart glasses are redefining what is possible within the practice.

This presentation will share industry best practices for enabling standardization, accuracy, and real-time efficiency within the auditing practice through the use of Cloud-based mobile applications as well as Gensuite’s recent pilots with "Frontier Technologies", including beacons, AI-enabled access to regulatory insights/guidance, and ‘remote auditing’ through connected smart glasses.

For the past 20 years, Gensuite has worked with auditing teams and EHS professionals across several industries to develop best practices and to redefine what is possible through continuous improvement and practical innovation. Please join us to learn more about how you and your auditing teams can re-imagine the auditing practice within your own organization through Frontier Technologies.

Learning Objectives
IIA Webinars (Continued)

⇒ Learn how to enable standardization, accuracy, consistency, and real-time efficiency within your auditing practice.

⇒ Understand how the use of mobile devices, smart glasses, artificial intelligence, and other technological advances can re-define EHS auditors' engagements.

Discover technology best practices specifically for designed for EHS practitioners.

Turning Ethical Challenges into Strategic Opportunities

April 17, 2018
1:00 – 2:00 PM

This presentation explores both ethical challenges in the contemporary business environment and the strategic opportunities created by corporate social responsibility. By conducting a stakeholder analysis, applying ethical principles, and considering alternatives, we will attempt to answer the question “Can business do good and also do well?” tools.

Learning Objectives
After this webinar, attendees will be able to:

⇒ Determine best practice methods used to identify, describe, and explain various ethical issues faced by organizations today.

⇒ Develop a decision-making framework for critical thinking and analysis to make ethically defensible decisions.

⇒ Define corporate social responsibility for your organization and its competitive advantage in the context of shareholder value.

⇒ Apply various tools to help guide business affairs effectively and ethically.

Certification News: Continuing Professional Education

By Sandy Meadows, CIA, CGAP

So, you’ve gotten a certification – congratulations! Next up: continuing education. To keep your certification active, you must get a certain number of education credits each year. With the speed of change in the business world and in technology, there’s always something to learn. If you are stuck in a rut with your continuing education credits, there are options to consider:

⇒ Get involved in a related professional organization and tangential audit field

⇒ Study for the CISA or take some tech-related continuing education courses through ISACA

⇒ Become a CFE and learn how to investigate for fraud

⇒ Take a college course

⇒ Write exam questions

⇒ Join a local IIA committee - you get CPE credit, and we are always looking for volunteers.

There are so many options beyond webinars and magazine articles!

For more information, check out the updated IIA guidance on continuing education, published in November 2017 at this link: https://na.theiia.org/certification/Public%20Documents/CPE-Policy.pdf.

And as always, you can reach me at Sandra.meadows@gaming.ny.gov with any of your certification questions.

Best regards,
Sandy
Membership Corner
By Christopher Herald, CGAP

Please join me in welcoming the following individuals to the IIA Albany Chapter:

Keri Freiler
William Peterson

The IIA is a great resource for your career, and I urge everyone to take advantage of the resources the IIA provides to its members. Whether you decide to attend a local training the Albany Chapter provides at a discounted rate to its members, browse through articles in the IIA Bookstore (https://na.theiia.org/periodicals/Pages/), or capitalize on any of the other numerous resources offered, the choice is entirely yours!

If anyone has questions about their membership, or has a co-worker that would like more information about how to join the IIA Albany Chapter, please contact me and I will be happy to assist.

Chris Herald
Membership Chair
cherald@osc.state.ny.us

CAP Corner
By Elizabeth Bogdanowicz, CGAP

The Chapter Achievement Program is designed to recognize activities that promote the strategic objectives of The IIA. This program provides minimum standards of performance for providing service to our Chapter members, providing service to the internal auditing profession and for administering the activities of the Chapter. The Program emphasizes the basic mission of the Chapter, encourages Chapters to perform that mission, and provides Chapters with a means to evaluate their success in accomplishing that mission.

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<thead>
<tr>
<th>Category</th>
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<th>Chapter Points</th>
<th>% Achieved</th>
<th>Balance to Minimum</th>
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<tr>
<td>I. Service to Members</td>
<td>325</td>
<td>3,212</td>
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<td>II. Service to Profession</td>
<td>200</td>
<td>1,472</td>
<td>736%</td>
<td>Achieved!</td>
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<tr>
<td>III. Chapter Administration</td>
<td>160</td>
<td>171</td>
<td>107%</td>
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<td>Totals</td>
<td>685</td>
<td>4,855</td>
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As of: 4/01/2018

Current CAP Status: Gold (Platinum)
### SCHEDULE OF EVENTS
#### 2017 — 2018

**Albany Chapter – Institute of Internal Auditors**

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<thead>
<tr>
<th>MONTH</th>
<th>DATE/DETAILS</th>
<th>CPEs</th>
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<tbody>
<tr>
<td>SEPTEMBER</td>
<td>September 19, 2017</td>
<td></td>
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<tr>
<td></td>
<td>Interviewing Skills</td>
<td>6 CPEs</td>
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<td></td>
<td>September 25, 2017</td>
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<td></td>
<td>TCTC Fall Event</td>
<td>7 CPEs</td>
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<td>OCTOBER</td>
<td>October 18, 2017</td>
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<td>Fraud in the World Today</td>
<td>3 CPEs</td>
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<td>October 23-25, 2017</td>
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<td></td>
<td>COSO Certificate Course</td>
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<td>Fall CAE Roundtable</td>
<td>No CPEs</td>
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<td>NOVEMBER</td>
<td>November 20–21, 2017</td>
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<td></td>
<td>Annual Fraud Conference</td>
<td>7 to 14 CPEs</td>
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<td>DECEMBER</td>
<td>December 6, 2017</td>
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<td>Annual Holiday Luncheon</td>
<td>No CPEs</td>
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<td>JANUARY</td>
<td>January 29, 2018</td>
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<td></td>
<td>Technology Conference</td>
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<td>FEBRUARY</td>
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<td>Certification Breakfast</td>
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<td>MARCH</td>
<td>March 19-21, 2018</td>
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<td>TCTC</td>
<td>7 to 21 CPEs</td>
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<td>APRIL</td>
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<td>Spring CAE Roundtable</td>
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<td>MAY</td>
<td>May 21—May 24, 2018</td>
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<td></td>
<td>Internal Audit Academy</td>
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<td>JUNE</td>
<td>June, 2018</td>
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<td></td>
<td>Awards Ceremony</td>
<td>No CPEs</td>
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<tr>
<td>JULY</td>
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<tr>
<td>AUGUST</td>
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