The Albany Chapter of the Institute of Internal Auditors is proud to offer its first session of The Albany IIA Internal Audit Academy!

This intensive four and a half day course is designed for auditors with three or less years of internal audit experience. The course is based on IIA’s International Professional Practices Framework (Red Book) and will cover the essential elements of internal auditing, such as:

- Modern Internal Audit Concepts
- Risk Universe
- COSO
- Research & Audit Interviewing
- Fraud
- Audit Programs
- Audit Evidence & Testing
- Data Analytics
- Auditing IT
- Workpaper Documentation
- Report Writing
- Developing as an Internal Auditor

The topics will be covered by 12 different volunteer local internal audit practitioners with decades of combined experience. The format will include lectures, exercises, Q&A, and self-assessment quizzes, all of which will help reinforce the concepts presented. This program should not be missed! Registration is limited, so secure your spot today!

Who is this program ideal for?

- Recent college graduates entering an internal audit position
- Internal auditors with some experience, but who want to reinforce the basics
- Professionals with operational experience, but are new to a role in internal audit

Date: Monday, June 6, 2016 - Friday, June 10, 2016
Times: 8:00 am - 8:30 am - Registration & Continental Breakfast
6/6-6/9: 8:30 am - 4:00 pm - Information Session
6/10: 8:30 am - 12:00 pm - Information Session

Location:
SUNY College of Nanoscale Science and Engineering
257 Fuller Road
Albany, NY 12203
518-458-7250

Number of CPEs: 33
Cost: $200
The price includes breakfast and lunch on June 6-9, and breakfast on June 10. In addition, each attendee will receive a free Red Book.

Or follow the link below:
http://www.event.com/d/ffqxsb
President's Message
By David Robertson, CIA, CFE, CGFM, CGAP

Spring is in the air! Of course, the last month felt like summer, spring, and winter, depending on the day.

I hope everyone got a chance to attend at least some of the TCTC this year. There were a lot of great speakers, and we had near record attendance!

I am also pleased to announce that we will be offering new auditor training – the Albany Internal Audit Academy. This will be a four and half day training that will cover the foundations of the professional practice of internal auditing. Our instructors are leading practitioners from our Chapter who have decades and decades of combined experience. Reserve your spot today; there may not be many left!

I also want to take the time to recognize our chapter volunteers, this month the spotlight is on Dani Bridger. Dani is currently a Secretary to the Chapter Board of Governors and also helps organize chapter events. Dani keeps all the minutes for the board meetings, keeps track of resolutions, and makes sure we have agendas and action items for our meetings. Let’s get to know Dani a little better.

Tell us about yourself.

I am Danielle (Dani) Bridger and I am what they like to call “a bit of a fast talker.” I was a private sector employee since the ripe old age of 16. After my couple hundred years of working, I decided I should probably go to college and get a degree. I started off with my AAS in Computer Information Systems, but, like most college students and young adults, I had no idea what I wanted to be when I grew up. After completing work with the Y2K computer glitch (and don’t you know it - the world didn’t end) I decided that camping out in a server room on New Year’s Eve wasn’t my cup of tea, and I pursued another career path. I decided that I wanted to be an accountant and was lucky enough to have the managing partner at the law firm I worked at afford me the opportunity to try out accounting (under the tutelage of their CPA), and I was hooked from that point on. Since I had two young children at the time, I knew I wouldn’t be able to sit in a classroom at night, so I decided to try going to college on-line and received my B.A in Accounting from Everest University on April 10, 2010, and my MBA with a concentration in Accounting on January 13, 2013. Fortunately, my two children, Cheyanne who is 21 and Damon who is 18, decided to go to college right after high school. My daughter now holds a Bachelor of Science degree from SUNY Cortland, and is currently furthering her education to become a Physical Therapist. My son is currently a student at Clarkson, and is working on his Bachelor of Science degree in cyber security.

On January 17, 2013, I began my career at the Office of the New York State Comptroller as a State Program Examiner (Fiscal Auditor) after a 20-year career in the private sector. Since beginning my career with the Comptroller’s Office, I have held a few different positions, including State Program Examiner for the Bureau of State Expenditures, Senior Accountant in the Bureau of Payroll - Deductions and Tax Withholdings, and currently working under the Internal Control Officer in a six-month rotational position with the Inspector General’s Office.

I also have my own small accounting practice, where I spend most of my spare time; mainly on the IRS website in an attempt to keep my various clients honest and law abiding. Tax season makes me rethink some of my career choices, but all kidding aside, I am truly blessed and fortunate to have done so much in my career.
IIA Webinars

The IIA offers free informational programs to its members on a variety of topics. Click here to obtain additional information and to register.

The Evolution of Internal Auditing
April 19, 2016
1:00–2:00 pm

It’s been stated many times before – the rapidly changing landscape of today presents many challenges for internal auditors who are expected to do more with fewer resources. Innovation and efficiency are the bedrock of meeting these demands, and many internal audit departments are just beginning to adapt and build up their capabilities.

Bold leaders are focused on evolving their departments enabling them to deliver insight and foresight above and beyond the expected assurance services — to become trusted advisors to the business and build capabilities, which allow them to anticipate risks far into the future.

Referencing results of a current global Deloitte Touche Tohmatsu survey of chief audit executives, this webinar will address observations of current and future trends in the internal audit profession. After this webinar, you will be able to:

• Benchmark your internal audit department’s current state to your peers based on research data from a global survey by Deloitte Touche Tohmatsu.
• Identify skillset gaps in your internal audit department that will be necessary to stay competitive in the future.
• Identify innovative audit techniques and strategic imperatives for your internal audit department to adopt (i.e., building an analytics capability, developing a cyber risk assessment plan with the board, evaluate strategic risks).

President's Message Cont.

When I finally do find the time to unwind and relax, I spend my time with my two dogs (soon to be three) and my four cats.

How long have you been a member and how long have you been a chapter volunteer?

I became a member of the IIA in 2014 and have been a volunteer in the chapter since joining.

What motivates you to volunteer your time for the Albany Chapter?

I have always wanted to be the person to help drive others’ success. Being a volunteer has afforded me the opportunity to coordinate programs that provide continuing education for those who attend. It is also a great way to learn from others and help make our Chapter more appealing for future prospects.

We always have great chapter events planned specifically with our members in mind, so please keep an eye on the website and the email notifications from Jeremy Dudley. All members are also invited to attend our monthly Board meetings held the second Wednesday of the month at 7:45AM at the office of the New York State Teachers’ Retirement System, 10 Corporate Woods Drive, Albany, NY 12211. It’s a great way to understand how the chapter works and how you can get involved. I hope to see you there.

Kind Regards,

Dave
Updates to Specialty Certifications
By Sandy Meadows, CIA, CGAP

Certified Government Auditing Professional (CGAP)
The CGAP exam syllabus has undergone review, and changes are coming! The IIA is anticipating rolling out the new exam syllabus in the 3rd or 4th quarter of this year. The requirement to pass CIA Part 1 to obtain certification will go into effect in 2017. If you are considering the CGAP certification, there’s no better time than now.

Additionally, the education requirements have been changed for the CGAP. Applicants need to have any combination of the following: 4-year degree OR 2-year degree and 5 years internal audit experience OR 7 years of internal audit experience.

Click the link for more details about the CGAP: [https://na.theiia.org/certification/CGAP-Certification/Pages/CGAP-Certification.aspx](https://na.theiia.org/certification/CGAP-Certification/Pages/CGAP-Certification.aspx)

Certified Financial Services Auditor (CFSA)
The CFSA exam syllabus has also undergone a review, and there are changes coming here as well. The precursor to the syllabus update, the job survey analysis survey, was completed in March 2016. The IIA anticipates the new syllabus arriving in the first quarter of 2017. As with the CGAP, the time to pursue the CFSA is now. As an added bonus, the application fee is waived in the month of April. Save up to $200!

As with the CGAP, the education requirements have been similarly modified: Applicants need a 4-year degree OR 2-year degree and 5 years internal audit experience OR 7 years of internal audit experience.

No word yet as to requirements to pass Part 1 of the CIA exam.

Click the link for more details about the CFSA: [https://na.theiia.org/certification/CFSA-Certification/Pages/CFSA-Certification.aspx](https://na.theiia.org/certification/CFSA-Certification/Pages/CFSA-Certification.aspx)

Feel free to contact me with any further questions. Sandra.Meadows@gaming.ny.gov

Membership Corner
By Christopher Herald, CGAP

Please join me in welcoming the following individuals to the IIA Albany Chapter:

- Michael Hinchey
- Jason Lenavitt
- Kevin Maniero
- Michelle Normington
- Karla Ravida
- Jenn Rossano
- Linda Shaw
- Jim VanDemark

And welcome back:

- Julie Pailey

Spring is here! As everything enters a new season, do you find yourself with some newfound energy you’re looking to exert? If so, we always welcome additional assistance from our members. If you have thought about helping out our chapter, but have been hesitant to do so, send me an email. Let me know what you are interested in doing, and we can work to see if it is something that will fit into your schedule.

As always, If anyone has questions about their membership, or has a co-worker that would like more information about how to join the IIA Albany Chapter, please contact me and I will be happy to assist.

Chris Herald - Membership Chair
cherald@osc.state.ny.us
The Chapter Achievement Program is designed to recognize activities that promote the strategic objectives of The IIA. This program provides minimum standards of performance for providing service to our Chapter members, providing service to the internal auditing profession and for administering the activities of the Chapter. The Program emphasizes the basic mission of the Chapter, encourages Chapters to perform that mission, and provides Chapters with a means to evaluate their success in accomplishing that mission.

Congratulations to Albany Chapter for earning a Ruby status!

<table>
<thead>
<tr>
<th>Category</th>
<th>Minimum for Bronze</th>
<th>Chapter Points</th>
<th>% Achieved</th>
<th>Balance to Minimum</th>
</tr>
</thead>
<tbody>
<tr>
<td>I. Service to Members</td>
<td>325</td>
<td>2,403</td>
<td>739%</td>
<td>Achieved!</td>
</tr>
<tr>
<td>II. Service to Profession</td>
<td>200</td>
<td>1,833</td>
<td>916%</td>
<td>Achieved!</td>
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<tr>
<td>III. Chapter Administration</td>
<td>160</td>
<td>239</td>
<td>149%</td>
<td>Achieved!</td>
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<tr>
<td>Totals</td>
<td>685</td>
<td>4,474</td>
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Chapters earn Platinum status by achieving Gold for 10 consecutive years.
Chapters earn Ruby status by achieving Platinum for 10 consecutive years.
Chapters earn Sapphire status by achieving Platinum for 15 consecutive years.
Chapters earn Diamond status by achieving Platinum for 25 consecutive years.

Don’t forget to report your speaking hours to

Joel Biederman
Jbiederman@osc.state.ny.us
Phone: (518) 402-2487
## Schedule of Events

### 2015 - 2016

<table>
<thead>
<tr>
<th>Month</th>
<th>Date</th>
<th>Event Description</th>
<th>CPEs</th>
</tr>
</thead>
<tbody>
<tr>
<td>September</td>
<td>September 22, 2015</td>
<td>Mystery Fraud Training</td>
<td>3 CPEs</td>
</tr>
<tr>
<td>October</td>
<td>October 6, 2015</td>
<td>FBI Stories of White Collar Fraud</td>
<td>3 CPEs</td>
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<tr>
<td>November</td>
<td>November 23–24, 2015</td>
<td>Fraud Conference</td>
<td>7 to 14 CPEs</td>
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<tr>
<td>December</td>
<td>December 8, 2015</td>
<td>Holiday Luncheon</td>
<td>No CPEs</td>
</tr>
<tr>
<td>January</td>
<td>January 25, 2016</td>
<td>Technology Conference</td>
<td>8 CPEs</td>
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<td>February</td>
<td>February 11, 2016</td>
<td>Certification Breakfast</td>
<td>No CPEs</td>
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<tr>
<td>March</td>
<td>March 14–16, 2016</td>
<td>TCTC</td>
<td>7 to 21 CPEs</td>
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<td>April</td>
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<tr>
<td>May</td>
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<td>Planning Session</td>
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<tr>
<td>June</td>
<td>June 6–10, 2016</td>
<td>Internal Audit Academy</td>
<td>33 CPEs</td>
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<tr>
<td></td>
<td></td>
<td>Awards Ceremony</td>
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