Annual Holiday Luncheon
FINETUNING YOUR MINDSET – FOR FUN AND PROFIT!

Join your colleagues on December 6, 2017 to enjoy a delicious lunch, hear an uplifting speaker and exchange holiday greetings. The annual Holiday Luncheon is jointly sponsored by the New York Capital Chapter of the Association of Government Accountants, the Albany Chapter of the Institute of Internal Auditors, the Albany Chapter of the Association of Certified Fraud Examiners, the Hudson Valley Chapter of ISACA, and the New York State Internal Control Association (our newest co-sponsor).

This year, we have Steve Simons from The Leadership EDGE/Launch-Your-Life.com. Steve is an educational psychologist by training, former district psychologist and director of special education. Since then, he has spent two decades as a business performance coach, guiding and developing executives and managers across the U.S. and Europe, including three years as an integral part of a Total Quality Management initiative in a 12,000 employee organization. The revelations of managers he has coached regarding their employees’ deficits led to the basis for Launch Your Life which is an energizing, inspiring success coaching program which guides teens to define and focus on THEIR life. It’s inspirational, always positive, encouraging and solution-finding. More information regarding Launch Your Life may be found at: http://www.launch-your-life.com/.

Please join us for this enjoyable get-together.

### Category | Cost
---|---
AGA, ACFE, IIA, ISACA, NYSICA Members | $10
Others | $20

**Dates:** Wednesday, December 6, 2017

**Registration:** 11:30 am – 12:00 pm
**Lunch and presentation:** 12:00 pm– 2:00 pm

**Location:** Wolferts Roost
120 Van Rensselaer Boulevard
Albany, NY 12204

**Speaker:** Steve Simons, the Leadership Edge

**Number of CPEs:** 0

**Entrée Choices:**
- Chicken Reggiano
- Pesto Grilled Salmon
- Grilled NY Steak
- Pasta Primavera

**Registration:**
Registration is closed now. We cannot accommodate the walk-ins.

**Raffle:**
There will be an opportunity to purchase raffle tickets to benefit:
Mohawk-Hudson Humane Society
Greetings and welcome to the start of the holiday season! The Albany IIA hopes you enjoyed your Thanksgiving gatherings and that you were able to spend some down time with loved ones.

On November 20th and 21st the Chapter co-sponsored the 2017 Fraud Conference with the Albany Chapter of the ACFE and the NY Capital Chapter of the AGA. The conference kicked off on Monday with a full day of exciting topics by Steven Patterson, CFE, from the West Virginia Department of Military Affairs and Public Safety. Tuesday was just as exciting with speakers Dr. Richard Hurley, PHD., JD, MS, CPA, CFE, from the University of Connecticut, William J Kresse, JD, CPA, CFE, from the Governors State University, Catherine Leahy Scott, JD, from the NYS Inspector General, and KPMG representatives. Our Chapters were also able to present a check for $1,000 to the Regional Food Bank of Northeastern New York thanks to our generous attendees.

Please plan to join us on December 6th at Wolferts Roost for the 2017 Holiday Luncheon. Enjoy a wonderful lunch and an inspirational presentation by Steve Simons from The Leadership EDGE/Launch-Your-Life.COM. We will also have a raffle benefiting the Mohawk Hudson Humane Society. Registration closes on December 4th, so don’t delay!

As always, please contact me anytime at CHourigan@osc.state.ny.us to discuss volunteer opportunities with the Chapter. In addition, please consider joining us for coffee, bagels, and lively discussion at our monthly Board meetings. Our next meeting will be on December 13th at 7:45am at the Office of the State Comptroller, Rensselaer Technology Park, 200 Jordan Road, Troy NY 12180.

We hope to see you there!

Happy Holidays,
Cristina

IIA Webinars

The IIA offers free informational programs to its members on a variety of topics. Click here to obtain additional information and to register.

Partner Webinar: Oversight of Corporate Culture: A Core Asset in Driving Performance and Deterring Fraud

December 13, 2017
1:00 – 2:30 PM

IIA members can sign up for the latest complimentary Anti-Fraud Collaboration webinar, Oversight of Corporate Culture: A Core Asset in Driving Performance and Deterring Fraud, that will highlight leading practices on assessing and strengthening a company’s corporate culture.

A panel of experts will provide both practical and proven strategies for organizations to utilize in order to deter misconduct and fraud. Additionally, the group of panelists will discuss oversight responsibilities of internal auditors, company management, and audit committee members.

Learning Objectives

In this session, participants will:

⇒ Be better able to define corporate culture.
⇒ Learn how to leverage your culture as a core asset to drive performance and deter fraud inside and outside of your organization.
IIA Webinars (Cont.)

⇒ Understand key oversight responsibilities of financial reporting supply chain members in assessing and strengthening an ethical corporate culture.

2017 Year-end Legislative Review
With Arnold & Porter Kaye Scholer

December 20, 2017
1:00 – 2:00 PM

The new Trump administration has shaken up Washington since its arrival. Join the Arnold & Porter Kaye Scholer Legislative and Public Policy team (The IIA’s federal lobby team) to understand how the Trump administration has tackled its policy priorities including taxes, Dodd-Frank, and health care reform with and without the help of Congress. Additionally, with the mid-term elections on the horizon and a potential change of party control at stake, Arnold & Porter will forecast the 2018 policy priorities for Capitol Hill and the Executive Branch as well as the political dynamics at play to give internal auditors a better sense of what (not) to expect.

Learning Objectives

In this session, participants will:
⇒ Understand how the Trump administration has tackled its policy priorities.
⇒ Get a forecast for the 2018 policy priorities Congress and the Executive Branch may take on.
⇒ Find out how the political dynamics of the mid-term elections may impact the 2018 policy priorities.

Certification News

By Sandy Meadows, CIA, CGAP

Continuing Professional Education (CPE)

With the holiday season in full swing, it is easy to forget to report your CPE credits to the IIA. It’s a quick and easy process – just log in to CCMS and fill out the form for each certification.

If you are still short credits, you can complete magazine quizzes (3 CPE for each quiz up to 18 CPE for the year) or attend free IIA webinars or low-cost online seminars. Hours must be reported through CCMS by December 31. If you don’t report, your certification will be moved to an inactive status with a 6-month grace period to complete your CPE requirements. After failure to report for more than 12 months, you will be moved to an inactive status.

Not certified yet but considering taking the plunge – or working your way through the CIA but struggling? The local chapter is considering running CIA review classes for parts 1 and 3 in 2018.

Contact me or any other board member to let us know you are interested in attending a review class.

Feel free to contact me if you have any other questions related to certification or to CPE at Sandra.Meadows@gaming.ny.gov

Best of luck!
Sandy

Don’t Forget to Earn & Report Your EOY CPEs.
Membership Corner
By Christopher Herald, CGAP

Please join me in welcoming the following individuals to the IIA Albany Chapter:

Julie Hughes
Kyle Kriegbaum
Cameron Martin
Paul Rothberg
Jennifer Scully
Joseph Tompkins

Do you have some free time you’re looking to fill? If so, we always welcome additional assistance from our members. If you have thought about helping out our chapter but have been hesitant to do so, send me an email letting me know what you are interested in doing and we can see if it is something that will fit into your schedule.

Chris Herald
Membership Chair
cherald@osc.state.ny.us

CAP Corner
By Elizabeth Bogdanowicz, CGAP

The Chapter Achievement Program is designed to recognize activities that promote the strategic objectives of The IIA. This program provides minimum standards of performance for providing service to our Chapter members, providing service to the internal auditing profession and for administrating the activities of the Chapter. The Program emphasizes the basic mission of the Chapter, encourages Chapters to perform that mission, and provides Chapters with a means to evaluate their success in accomplishing that mission.

Targets
Bronze 685
Silver 1,060
Gold 1,560

As of: 11/30/2017

<table>
<thead>
<tr>
<th>Category</th>
<th>Minimum for Bronze</th>
<th>Chapter Points</th>
<th>% Achieved</th>
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<tr>
<td>I. Service to Members</td>
<td>325</td>
<td>1,168</td>
<td>359%</td>
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<tr>
<td>II. Service to Profession</td>
<td>200</td>
<td>650</td>
<td>325%</td>
<td>Achieved!</td>
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<tr>
<td>III. Chapter Administration</td>
<td>160</td>
<td>140</td>
<td>88%</td>
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<td>Totals</td>
<td>685</td>
<td>1,958</td>
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# SCHEDULE OF EVENTS

## 2017 — 2018

### Albany Chapter – Institute of Internal Auditors

<table>
<thead>
<tr>
<th>SEPTEMBER</th>
<th>OCTOBER</th>
<th>NOVEMBER</th>
<th>DECEMBER</th>
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<tbody>
<tr>
<td>September 19, 2017</td>
<td>October 18, 2017</td>
<td>November 20–21, 2017</td>
<td>December 6, 2017</td>
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<tr>
<td><strong>Interviewing Skills</strong>&lt;br&gt; 6 CPEs</td>
<td><strong>Fraud in the World Today</strong>&lt;br&gt; 3 CPEs</td>
<td><strong>Annual Fraud Conference</strong>&lt;br&gt; 7 to 14 CPEs</td>
<td><strong>Annual Holiday Luncheon</strong>&lt;br&gt; No CPEs</td>
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<td>September 25, 2017</td>
<td>October 23-25, 2017</td>
<td><strong>COSO Certificate Course</strong>&lt;br&gt; Fall CAE Roundtable&lt;br&gt; No CPEs</td>
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<td><strong>TCTC Fall Event</strong>&lt;br&gt; 7 CPEs</td>
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<tr>
<th>JANUARY</th>
<th>FEBRUARY</th>
<th>MARCH</th>
<th>APRIL</th>
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<tr>
<td>January, 2018</td>
<td>February, 2018</td>
<td>March 19-21, 2018</td>
<td>April, 2018</td>
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<tr>
<td><strong>Technology Conference</strong>&lt;br&gt; 8 CPEs</td>
<td><strong>Certification Breakfast</strong>&lt;br&gt; No CPEs</td>
<td><strong>TCTC</strong>&lt;br&gt; 7 to 21 CPEs</td>
<td><strong>GAGAS</strong>&lt;br&gt; Spring CAE Roundtable&lt;br&gt; No CPEs</td>
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<th>JUNE</th>
<th>JULY</th>
<th>AUGUST</th>
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<td>May, 2018</td>
<td>June, 2018</td>
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<tr>
<td><strong>Internal Audit Academy</strong>&lt;br&gt; 29 CPEs</td>
<td><strong>Awards Ceremony</strong>&lt;br&gt; No CPEs</td>
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**It’s your turn to speak**

Don’t forget to report your speaking hours to

Danielle Bridger

Email: DBridger@osc.state.ny.us

Phone: (518) 408-4865