THE ROLE OF INTERNAL CONTROL IN MAINTAINING THE PUBLIC TRUST

Please join us for an exciting presentation given by Stephen Hamilton, Inspector General for the New York State Office of the State Comptroller (OSC) and Stephen R. Hillerman, CIA, CRMA, CIGA, Chief Audit Executive of OSC Internal Audit.

Trust in the public sector is at an all-time low. This presentation will look at some of the reasons why trust is low and discuss the role that Internal Control plays in maintaining the public trust. The presentation will also focus on case studies.

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<tr>
<th>Category</th>
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<tr>
<td>IIA, AGA, ACFE, ISACA Member</td>
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<td>Non-Member</td>
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Date: December 16, 2020
Time: 1:00 pm to 3:00 pm
Platform: Zoom
CPEs: 2
Speakers:
Stephen Hamilton, Inspector General for the New York State Office of the State Comptroller (OSC)
Stephen R. Hillerman, CIA, CRMA, CIGA, Chief Audit Executive of OSC Internal Audit

Or follow the link below:
https://na.eventscloud.com/internalcontrol2020
President’s Message
By Sandy Meadows, CIA, CGAP

Happy December! Wishing you and yours a happy holiday season. We have canceled our popular holiday luncheon due to the ongoing pandemic, but the sponsoring organizations will still be making a donation to organizations that support the less fortunate this holiday season.

We also have an opportunity this month to get two more CPEs before the end of the year – see the first page of this newsletter for more information and for a link to sign up.

Don’t forget that the board meets the second Tuesday of every month at 7:45 am on WebEx. If you’re interested in joining us, or seeing what meetings are like, let us know and we will send you the WebEx meeting link.

Best regards,
Sandy Meadows
President

IIA Webinars

The IIA offers free informational programs to its members on a variety of topics. Click here to obtain additional information and to register.

December 15, 2020
1:00 - 2:00 pm
OnRisk 2021 not only digs deeper during these unprecedented times, but also exposes emerging risk brought about by the COVID-19 pandemic and expanding reliance on technology. Additionally, it provides actionable guidance on how to bring all parties’ perceptions of 11 critical risks facing organizations into closer alignment.

Learning Objectives

In this session, participants will be able to:

- Get an overview of the second annual report on risk from The IIA, OnRisk 2021, A Guide to Understanding, Aligning, and Optimizing Risks
- Understand the key risks for 2021 and beyond that are top-of-mind for internal auditors and their stakeholders
- Learn about key findings from surveys and interviews of board members, executive management, and internal audit leaders

- Realize the value of alignment on what risks matter most for organizations

Partner Webinar: Enhancing Skepticism to Fight Fraud
December 16, 2020
1:00 - 2:00 pm
Failure to exercise skepticism can result in significant consequences, including fraud. An appropriate level of skepticism can help members of the financial reporting supply chain and other relevant stakeholders enhance their effectiveness in mitigating the risk of fraud and misconduct. They can use skepticism to identify red flags and early warning signs that might otherwise be overlooked.
IIA Webinars Continued

Learning Objectives

In this session, participants will be able to:

- Address how to use skepticism to mitigate fraud risk
- Understand how biases threaten skepticism
- Explore considerations for the risks of emerging technologies
- Learn the importance of skepticism during a crisis
- Know who should strive to exercise skepticism

Certification News

By Katherine Hogan, CPA, CIA, CFE, CRMA, CCSA

Happy December, everybody! I hope you have recovered from your Thanksgiving food comas and are ready to talk certifications! As some of you are aware, the IIA recently had an attempted ransomware attack that caused them to bring down certain parts of their website. This unfortunately impacted testing. If you were registered for an exam during the outage period, someone should have contacted you to reschedule. If you were scheduled to take an exam during this period and haven’t heard from anyone to reschedule yet, please contact Pearson VUE at www.pearsonvue.com/iia/contact or let me know if I can be of assistance.

I would like to recognize the following people who have attained certifications since the program year began in June. This is through the end of October; we are on a slight lag as the IIA works on updating their websites.

Gregory Maisenbacher - CRMA
Joanne Pinheiro - CRMA
Deborah Schultz - CGAP
Gregory Maisenbacher - CIA
Shelly Taleporos - CIA
Christy Rittershausen - CIA
Danielle Dudley - CIA
Deborah Schultz - IAP
Bridget McCarthy - IAP

Congratulations on your achievements!

As always, if you have any questions about information in this article, or about IIA certifications in general, please feel free to contact me at Katherine.Hogan@nystrs.org

Best regards,
Katherine

Membership Corner

By Christopher Herald, CIA, CGAP

The IIA is a great resource for your career, and I urge everyone to take advantage of the resources the IIA provides to its members. Whether you decide to attend a virtual training the Albany Chapter provides at a discounted rate to its members, browse through hundreds of books, training materials and publications at the IIA Bookstore and Internal Audit Magazine (https://na.theiia.org/periodicals/Pages/Periodicals.aspx), or capitalize on any of the other numerous resources offered, the choice is entirely yours!

As always, if anyone has questions about their membership, or has a co-worker that would like more information about how to join the IIA Albany Chapter, please contact me and I will be happy to assist.

Chris Herald, Chapter87@iiachapters.org
### SCHEDULE OF EVENTS

#### 2020 — 2021

**Albany Chapter – Institute of Internal Auditors**

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<th></th>
<th>SEPTEMBER</th>
<th>OCTOBER</th>
<th>NOVEMBER</th>
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<td><strong>SEPTEMBER</strong></td>
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<td><strong>The Everyday Ethicist</strong>&lt;br&gt;October 15, 2020&lt;br&gt;4 CPEs</td>
<td><strong>Annual Fraud Conference</strong>&lt;br&gt;November 17, 2020&lt;br&gt;November 23, 2020&lt;br&gt;November 24, 2020&lt;br&gt;4, 8, or 12 CPEs</td>
<td><strong>The role of internal control in maintaining the public trust</strong>&lt;br&gt;December 16, 2020&lt;br&gt;2 CPEs</td>
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It’s your turn to speak

Don’t forget to report your speaking hours to

**Cristina Shord**

Email: CShord@osc.ny.gov