Inside This Issue:

- Annual Holiday Luncheon 1
- President’s Message 2
- IIA Webinars 3
- Membership Corner 4
- CAP Corner 5
- Schedule of Events 6

Annual Holiday Luncheon

Celebrate the Holiday Season!

Date:
Tuesday, December 8, 2015

Times:
11:30 am - 12:00 pm - Registration
12:00 pm - 2:00 pm - Lunch and Speaker Presentation

Speaker:
Barbara Bartoletti
Legislative Director of the League of Women Voters

Location:
Wolferts Roost
120 Van Rensselaer Blvd
Albany, NY 12204

Barbara Bartoletti has been a member of the League of Women Voters since 1978 and the Legislative Director for the League of Women Voters of New York State since 1988. Barbara oversees all legislation of interest to the League in the state legislature. She lectures statewide on advocacy issues and topics such as informed and active participation in government. In addition, she offers political commentary for local television outlets in the Capital District. She advocates on good government issues, as well as other League issues, to statewide editorial boards. Barbara will be speaking about her life experiences as a League member such as working to assist election process in Armenia and Russia.

Barbara has worked with the League of Women Voters of the US and with the USAID (United States Agency for International Development) to help NGO's in the former Soviet Satellite countries to develop democratic skills in advocacy, media relations and coalition building. Russia and Armenia were her principal areas of focus.

Barbara also gives numerous workshops for not-for-profit advocacy organizations to help them impact state government. She is a member of the Regents Advisory Commission for Accreditation of Proprietary Colleges and the Federal Election Assistance Commission in Washington, DC. In July 2013, Barbara was also appointed by Governor Andrew Cuomo as a special adviser to the Moreland Commission to Investigate Public Corruption.

Cost:
$10 for AGA/ACFE/IIA/ISACA Members
$20 for Others

Raffle: There will be an opportunity to purchase raffle tickets to benefit:
The League of Women Voters of New York State Education Foundation

Lunch Choices:
- Flat Iron Steak with Garlic Butter
- Asian Chicken Salad tossed with Lo Mein in a Sesame-Ginger Vinaigrette
- Baked Haddock with Cracker Crumbs
- Vegetarian choice - Pasta Primavera

Registration: Online at www.aganyca.org
December is a busy month: holidays, school breaks, and getting the snow blower ready. But make sure to take some time out to connect with your fellow IIA members, as well as ISACA, ACFE, and AGA members at the Annual Holiday Luncheon. There will be a great speaker, Barbara Bartoletti, who is the Legislative Director for the League of Women Voters. She regularly speaks on advocacy issues and topics such as informed and active participation in government. To register, follow this link.

I also want to take the time to recognize our chapter volunteers, this month the spotlight is on Layli Nazirova. Layli is on the Chapter Board of Governors and each month produces this beautiful newsletter as the editor. Let’s get to know Layli a little better:

Tell us about yourself.

I came to United States from Uzbekistan about twelve years ago. For those of you unfamiliar with my home country, it was part of the former Soviet Union and is now an independent country located in Central Asia.

I have a Bachelor’s degree in International Business from one of Uzbekistan’s top universities. Shortly after earning my degree, my husband, Alex, and I got married. We came to Albany, NY so my husband could pursue his career as a Cell Biologist at the Albany Medical Center. A couple of years later we earned our US citizenship and have decided to make Albany our permanent home.

Our journey to the United States has been fascinating, yet challenging to learn a new language and adjust to completely different culture, fashion, food, values, and customs. In time, I found employment, made new friends, and found a great church, which helped me adapt to US quicker. Now I can’t imagine living anywhere else. America is truly the Land of Opportunities! If you work hard, dedicate yourself, and never give up, anything is possible!

Since coming to US, I had an opportunity to gain experience working in various industries, while earning my Master’s degree in Accounting from the College of Saint Rose. These experiences helped shape my career and eventually led to my employment as an Auditor with the New York State Comptroller’s Office (OSC). I have found my work at OSC to be rewarding in that we can truly make a difference in detecting and preventing fraud, waste, and abuse in state government. Once we identify issues, we work with the auditee and recommend ways to improve their processes, and in some cases will even offer customized training to better equip the auditee to do so. I worked on different teams and audits - some involving high-profile criminal investigations leading to felony convictions and incarceration of the perpetrators. I’ve learned and gained so much from every experience I had.

When I was fairly new at my job at OSC, I decided to invest in my career and pursue the CIA certification to help broaden my knowledge base, improve my audit skills, and demonstrate credibility in the auditing field. Although it was a long and challenging process, I finally earned my CIA certification! I would encourage everyone to invest in yourself by getting certified.

Well, I didn’t just grow professionally... Our family grew too in the process – we now have three girls (my husband is...
We always have great chapter events planned specifically with our members in mind, so please keep an eye on the website and the email notifications from Jeremy Dudley. All members are also invited to attend our monthly Board meetings held the second Wednesday of the month at 7:45AM at the office of the New York State Teachers’ Retirement System, 10 Corporate Woods Drive, Albany, NY 12211. It’s a great way to understand how that chapter works and how you can get involved. I hope to see you there.

Kind Regards,

Dave

I've been an IIA member for nearly four years and a chapter volunteer for three. I began volunteering in 2012, and since then have served as the Chapter Auditor, Newsletter Editor, TCTC Committee member, and board member. In 2014, I

received the Martin Ives award for outstanding service to the Albany Chapter.

What motivates you to volunteer your time for the Albany Chapter?

Although I love my job as an auditor, deep down I really wanted to be an artist. When I came to US, I had a choice between the art and business major. Fearing with art major I’d be facing long-term unemployment, I chose business and accounting. So I get my kicks out of being the newsletter editor and designing flyers and brochures for various chapter events. I’m enjoying it very much, therefore it is easier to volunteer my time for the chapter. Being part of the TCTC committee is also fun and rewarding. The first time I went to the TCTC, I was amazed to see how very few volunteers can plan and deliver such a remarkable conference for hundreds of people – it truly is The Conference That Counts! I am proud to be part of a team that makes an impact in developing and giving back to the audit profession.

I would encourage everyone to volunteer for the Albany Chapter IIA. It’s a great way to connect, collaborate with other audit professionals, and make a difference in fighting fraud, waste, and abuse.

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Kind Regards,

Dave

IIA Webinars

The IIA offers free informational programs to its members on a variety of topics. Click here to obtain additional information and to register.

The Evolving Role of the CAE

December 15, 2015
1:00-2:00 pm

Chief audit executives (CAEs) occupy a unique position within their organizations. The role requires them to have a comprehensive understanding of the risks and
controls associated with core business processes while at the same time maintaining a wider view of their company’s long-term strategy, its industry, and the regulatory environment. This knowledge and experience make the CAE an attractive candidate to assume additional organizational responsibilities beyond traditional internal auditing, which can provide a variety of benefits to the organization, the internal audit department, and the CAE personally, but it can also have drawbacks.

Learning Objectives:
◆ Discuss responsibilities CAEs are taking on outside of their traditional internal audit role.
◆ Explore why some organizations have expanded the responsibilities of the CAE.
◆ Debate whether the role of the CAE varies by sector or industry type.
◆ Identify perceived benefits and challenges associated with expanding the CAE’s role.

IIA Webinars (Cont.)

Please join me in welcoming the following individuals to the IIA Albany Chapter:

    Daniel Atkins  
    Alicia Demarco  
    Eamon Murphy

Do you have some free time you are looking to fill? If so, we always welcome additional assistance from our members. If you have thought about helping out our chapter but have been hesitant to do so, send me an email letting me know what you are interested in doing and we can see if it is something that will fit into your schedule.

If anyone has questions about their membership, or has a co-worker that would like more information about how to join the IIA Albany Chapter please contact me and I will be happy to assist.

Chris Herald- Membership Chair  
cherald@osc.state.ny.us
The Chapter Achievement Program is designed to recognize activities that promote the strategic objectives of The IIA. This program provides minimum standards of performance for providing service to our Chapter members, providing service to the internal auditing profession and for administering the activities of the Chapter. The Program emphasizes the basic mission of the Chapter, encourages Chapters to perform that mission, and provides Chapters with a means to evaluate their success in accomplishing that mission.

Congratulations to Albany Chapter for earning a Ruby status!

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Chapters earn Platinum status by achieving Gold for 10 consecutive years.
Chapters earn Ruby status by achieving Platinum for 10 consecutive years.
Chapters earn Sapphire status by achieving Platinum for 15 consecutive years.
Chapters earn Diamond status by achieving Platinum for 25 consecutive years.

Don’t forget to report your speaking hours to Joel Biederman!
Jbiederman@osc.state.ny.us
Or
Phone: (518) 402-2487
Schedule of Events

2015 - 2016

September
September 22, 2015
Mystery Fraud Training
3 CPEs

October
October 6, 2015
FBI Stories of White Collar Fraud
3 CPEs

November
November 23–24, 2015
Fraud Conference
7 to 14 CPEs

December
December 8, 2015
Holiday Luncheon
No CPEs

January

February
February 11, 2016
Certification Breakfast

March
March 14–16, 2016
TCTC
7 to 21 CPEs

April

May
Planning Session

June
Awards Ceremony