Annual Technology Conference
Auditing Cloud Computing Security

ISACA Hudson Valley Chapter and IIA Albany Chapter members are invited to join the Chapters for an all-day training event provided by CPEinteractive on Auditing Cloud Computing Security.

Cloud Computing is here to stay, and has been described as "outsourcing on steroids". It introduces some compelling advantages, but also exposes the enterprise to new risks.

This outsource model has been around for decades. However, the various "flavors" of cloud computing introduces risks associated with the Internet, web applications, external management of enterprise data, contractual issues, and basic loss of controls.

This seminar will provide you with an understanding of the cloud models, the security risks, differences between traditional IT Security and Cloud, and how to control it.

Learning Objectives:
• Identify Cloud environment and architecture
• Understand the security advantages and disadvantages
• Identify the top security risks
• Describe the common controls to secure the cloud
• Describe benefits and corresponding risks associated with each Cloud Computing model
• Identify issues to be included in the contract
• Address the Cloud CIAA (Confidentiality, Integrity, Availability and Accountability)
• Define the ongoing risk assessment process in a Cloud environment

For additional information on this event, view the detailed course description Auditing Cloud Computing Security.

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Date: Friday, February 10, 2017
Times: 7:30 am—Registration & Breakfast
       8:00 am—5:00 pm—Training
Registration: Currently Full.
Please add yourself to Waitlist
http://www.event.com/d/0vqvqq

Location: NYS Teachers’ Retirement System
          10 Corporate Woods Drive
          Albany, New York 12211

Meal: Breakfast and Lunch will be provided.

Cost:

<table>
<thead>
<tr>
<th>Category</th>
<th>Cost</th>
</tr>
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<tbody>
<tr>
<td>ISACA and IIA members</td>
<td>$150</td>
</tr>
<tr>
<td>Non members</td>
<td>$250</td>
</tr>
</tbody>
</table>

CPEs: This event is eligible for 8 CPEs.
Professional Certification Breakfast & Information Seminar

The Annual Professional Certification Breakfast and Information Seminar are co-sponsored by the local chapters of the AGA, ACFE, IIA, & ISACA.

Enjoy a delicious, FREE breakfast and learn about:

⇒ Various certifications available to accounting, auditing, finance, government, IT, and fraud professionals
⇒ Benefits of obtaining one or more professional certifications
⇒ Requirements for applying and earning professional certifications
⇒ Exam details
⇒ Maintenance/Continuing Education requirements

The seminar will give you a quick overview of the following certifications:
⇒ Certified Government Financial Manager (CGFM)
⇒ Certified Internal Auditor (CIA)
⇒ Certified Public Accountant (CPA)
⇒ Certified Information Systems Auditor (CISA)
⇒ Certified Fraud Examiner (CFE)
⇒ Certification in Control Self-Assessment (CCSA)
⇒ Certified Government Auditing Professional (CGAP)
⇒ Certified Financial Services Auditor (CFSA)
⇒ Certified Information Security Manager (CISM)
⇒ Certification in Risk Management Assurance (CRMA)
⇒ Certification in the Governance of Enterprise IT (CGEIT)
⇒ Certification in Risk and Information Systems Control (CRISC)

There will be presentations from each of the organizations about the benefits of obtaining professional certifications and the career paths you can take. Written information on each of the certifications will be provided to each participant.

Please register online at www.aganycap.org

Date: Wednesday, February 15, 2017
Times: 8:00 am—8:30 am—Registration & Breakfast
8:30 am—10:00 am—Information Session
Location: Wolferts Roost Country Club
120 Van Rensselaer Blvd
Albany, NY 12204
Cost: FREE! (You must Pre-Register)
CPEs: None
Registration: Online at www.aganycap.org or contact Sandy Meadows for more information Sandra.Meadows@gaming.ny.gov or (518) 388-3344.

***The registration deadline is 2/13/2017***
President’s Message
By Elizabeth Bogdanowicz, CGAP

Welcome to the month of February! The IIA is happy to partner with the local chapters of the AGA, ACFE, and ISACA to sponsor the annual Certification Breakfast on February 15, 2017. This is the perfect first step for those who have been thinking about pursuing a professional certification. Come enjoy a free breakfast and learn about the various certifications that can positively impact your career.

The month of February also signals TCTC is rapidly approaching. Registration is open for the 22nd annual Conference That Counts. The early bird registration ends on February 17th, so please do not delay! I would also like to note this year’s charity is the Capital Region Miracle League. Jaime M. Adams Field is the first multi-sport facility in the United States designed specifically for special needs athletes. The field officially opened for use on May 16, 2009, and plays host to baseball, football, soccer, and Track & Field, providing a full-service athletic home for the Capital Region's special needs-athletes.

Finally, if you have been thinking about volunteering your time and talents, now is the perfect time to speak up! Our Nominations Committee Chair, Cristina Hourigan, is working to fill vacancies for the upcoming Chapter Year. Please do not hesitate to contact me (EBogdanowicz@osc.state.ny.us) or Cristina (CHourigan@osc.state.ny.us) to discuss your interests and availability.

In addition, please consider joining us for coffee, bagels, and lively discussion at our monthly Board meetings. Meetings are held on the second Wednesday of the month, at 7:45 am at the New York State Teachers’ Retirement System (10 Corporate Woods Drive, Albany, NY 12211).

Best Regards,
Elizabeth

IIA Webinars

The IIA offers free informational programs to its members on a variety of topics. Click here to obtain additional information and to register.

EHS Webinar: Risk Proofing Your Organization And Probably Your Career

February 7, 2017
2:00 – 3:00 PM
Crisis is the ultimate business interruption. Victims are created whose emotions drive management decision making, trigger and sustain legacy and "new" media attention, redefine reputation, often relying on employees for inside information. Your company spokespersons, managers, and employees need to know exactly what to do, what to say, when to say it, and when to do it -- while the whole world is watching and many are commenting! But, do your crisis management and readiness plans to assure the right response at the right time? Let Jim Lukaszewski, America's Crisis Guru® be your guide to preparing for a crisis the explosive visibility and reputation risks crisis so often cause and how to reduce risk as you get ready to manage adversity. All businesses have lots of problems but very few crises. One thing we know for sure is that every crisis is a serious business problem. Let's be ready.

Learning Objectives
- Understand how crisis creates victims – and how to help leaders manage the victim dimension - a topic rarely covered in traditional communications and operation response planning.
- Learn how to overcome the abusive, intrusive, and coercive behavior of the media, activists, bloggers, bloviators, bellyachers, bullies and critics.
IIA Webinars (Cont.)

- Develop a plan that avoids the reputational toxicity of silence.
- Learn the critical value of empathy, compassion, especially apology, the atomic energy of empathy.
- Recognize that bad news ripens badly; things get worse before they get better, and that speedy decision-making and action manage the vulnerability and added risks of crisis better than smart thinking and solutions. In crisis: speed beats smart every time.

The Intersection of Audit and Compliance: A Symbiotic Relationship That Will Improve Your Compliance Program

February 21, 2017
1:00 – 2:00 PM

One of the important elements of an effective compliance program is “auditing and monitoring.” With this in mind, how does an organization satisfy this requirement? This webinar will address the unique yet symbiotic relationship that internal audit and compliance must have to ensure an organization has an effective compliance program. We will address:

The compliance roles and scope of internal audit and compliance:
- Audit versus program strategy
- Annual audit plans
- Business process improvement

What controls, KPIs or measurements can/should internal audit examine?
- Policy management
- Certifications
- Policy compliance
- Helpline incident management

New compliance challenges for 2017 and how internal audit can address them.

Learning Objectives

Topics will include:
- You will get a better understanding of the interrelated roles of the audit and compliance functions
- You will learn the role of audit in an effective compliance program
- You will see how internal audit can measure and help improve compliance programs, with particular focus on policy management.

Certification Panel & Certification News

By Sandy Meadows, CIA, CGAP

It is February... So that means it is time for the most eagerly anticipated event in the chapter year – the Certification Panel (you know it’s your favorite). This year we have lined up speakers, certification holders, from the IIA, the AGA, and the ACFE to fascinate you with scintillating descriptions of “a day in the life” of a certification holder. I can see that you are ready to sign up. But just in case just that description doesn’t have you clicking on the registration link, let me mention that it also comes with a FREE breakfast. That’s right. Learn about certifications – and how they will positively impact your career – AND enjoy breakfast on us. Seriously, what could be better than that? Mark your calendars and sign up to join us at Wolfert’s Roost on February 15.

It would be remiss of me if I did not also note that now is the best time to register for an IIA certification. Why? Because after April 1 application and exam fees are going up. The IIA lists several reasons for the hike, including corresponding rate increases at Pearson Vue (the vendor who administers the exams), new certifications without corresponding funding increases, continuing improvements in the rigor and quality of the exams, and current under-market pricing. Application fees will go up as will exam registration fees, as shown in the chart (Continued on next page.)
Certification Panel & Certification News (Cont.)
By Sandy Meadows, CIA, CGAP

<table>
<thead>
<tr>
<th>Product</th>
<th>Member Current</th>
<th>Member 2017 Fee</th>
<th>Non-Member Current</th>
<th>Non-Member 2017 Fee</th>
<th>Student/Professor Current</th>
<th>Student/Professor 2017 Fee</th>
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<td>Specialty Exams</td>
<td>US $350</td>
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<td>US $450</td>
<td>US $495</td>
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Whether you are actively thinking about certification or idly considering it, then you know what you need to do:

1. Register for the Certification Panel (with free breakfast) on February 15.

2. Apply for the certification of your choice.

Feel free to contact me if you have any questions at Sandra.Meadows@gaming.ny.gov.

Membership Corner
By Christopher Herald, CGAP

Welcome New Members

Nicole Barrett
Mukta Deshpande
Lisa Joyner
Amanda Larkin
Jamie McNamara
Cathy Miranda
Alexa Schaefer
Evan Twibell

Now that the cold weather is here, and you’re stuck indoors, are you becoming restless and looking for something to keep you busy? If so, we always welcome additional assistance from our members. If you have thought about helping out our chapter but have been hesitant to do so, send me an email letting me know what you are interested in doing, and let’s see if we can get you involved.

As always, if anyone has questions about their membership, or has a co-worker that would like more information about how to join the IIA Albany Chapter, please contact me and I will be happy to assist.

Chris Herald- Membership Chair
cherald@osc.state.ny.us
By David Robertson, CIA, CFE, CGFM, CGAP

The Chapter Achievement Program is designed to recognize activities that promote the strategic objectives of The IIA. This program provides minimum standards of performance for providing service to our Chapter members, providing service to the internal auditing profession and for administering the activities of the Chapter. The Program emphasizes the basic mission of the Chapter, encourages Chapters to perform that mission, and provides Chapters with a means to evaluate their success in accomplishing that mission.

<table>
<thead>
<tr>
<th>Category</th>
<th>Minimum for Bronze</th>
<th>Chapter Points</th>
<th>% Achieved</th>
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<tr>
<td>I. Service to Members</td>
<td>325</td>
<td>956</td>
<td>294%</td>
<td>Achieved!</td>
</tr>
<tr>
<td>II. Service to Profession</td>
<td>200</td>
<td>1002</td>
<td>501%</td>
<td>Achieved!</td>
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<td>III. Chapter Administration</td>
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<td>145</td>
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<td>Totals</td>
<td>685</td>
<td>2,103</td>
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As of: 1/31/2017

Don’t forget to report your speaking hours to

Email: KMassaconi@osc.state.ny.us
Phone: (518) 486-6494
# SCHEDULE OF EVENTS

## 2016 — 2017

**Albany Chapter – Institute of Internal Auditors**

<table>
<thead>
<tr>
<th>Month</th>
<th>Event Details</th>
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| **SEPTEMBER** | September 30, 2016  
Fall CAE Roundtable  
No CPEs          |
| **OCTOBER**  | October 17-20, 2016  
Internal Audit Academy  
29 CPEs          |
| **NOVEMBER** | November 16, 2016  
Auditing for Impact & How Auditing Can Advance Your Career  
3 CPEs          |
|              | November 21–22, 2016  
Fraud Conference  
7 or 14 CPEs    |
| **DECEMBER** | December 13, 2016  
Holiday Luncheon  
No CPEs          |
| **JANUARY**  | February 10, 2017  
Technology Conference  
8 CPEs          |
|              | February 15, 2017  
Certification Breakfast  
No CPEs          |
| **FEBRUARY** | March 13–15, 2017  
TCTC  
Up to 21 CPEs    |
| **MARCH**    | April 1—15, 2017  
TBD  
Fraud Mystery Event  
Spring CAE Roundtable  
No CPEs          |
| **MAY**      | TBD  
Training CAEs          |
| **JUNE**     | TBD  
Awards Ceremony/Planning Session          |
| **JULY**     | TBD  
Awards Ceremony/Planning Session          |
| **AUGUST**   | TBD  
Awards Ceremony/Planning Session          |