The Annual Professional Certification Breakfast and Information Seminar are co-sponsored by the local chapters of the AGA, ACFE, IIA, & ISACA.

Enjoy a delicious, FREE breakfast and learn about:

⇒ Various certifications available to accounting, auditing, finance, government, IT, and fraud professionals
⇒ Benefits of obtaining one or more professional certifications
⇒ Requirements for applying and earning professional certifications
⇒ Exam details
⇒ Maintenance/Continuing Education requirements

The seminar will give you a quick overview of the following certifications:

⇒ Certified Government Financial Manager (CGFM)
⇒ Certified Internal Auditor (CIA)
⇒ Certified Public Accountant (CPA)
⇒ Certified Information Systems Auditor (CISA)
⇒ Certified Fraud Examiner (CFE)
⇒ Certification in Control Self-Assessment (CCSA)
⇒ Certified Government Auditing Professional (CGAP)
⇒ Certified Financial Services Auditor (CFSA)
⇒ Certified Information Security Manager (CISM)
⇒ Certification in Risk Management Assurance (CRMA)
⇒ Certification in the Governance of Enterprise IT (CGEIT)
⇒ Certification in Risk and Information Systems Control (CRISC)

There will be presentations from each of the organizations about the benefits of obtaining professional certifications and the career paths you can take. Written information on each of the certifications will be provided to each participant.

Please register online at http://www.eiseverywhere.com/2018certificationbreakfast

Date: Wednesday, February 13, 2018
Times: 8:00 am—8:30 am—Registration & Breakfast
       8:30 am—10:00 am—Information Session
Location: Wolferts Roost Country Club
          120 Van Rensselaer Blvd
          Albany, NY 12204
Cost: FREE! (You must Pre-Register)
CPEs: None
Registration: Online or contact Sandy Meadows for more information
             Sandra.Meadows@gaming.ny.gov or (518) 388-3344.

***The registration deadline is 2/9/2018***
Welcome to February! The IIA will again be collaborating with the local chapters of the AGA, ACFE, and ISACA to sponsor the annual Certification Breakfast on February 13, 2018 at Wolfert’s Roost. This is the perfect first step for those who have been thinking about pursuing a professional certification. Come enjoy a free breakfast and learn about the various certifications that can positively impact your career. Our very own Board Member, Katherine Hogan, recently earned a Certificate of Excellence Award for outstanding performance on the Certified Internal Auditor (CIA) exam. Please see Certification News for more information. Congratulations, Katherine!

February also means that TCTC will soon be here! Registration is open for the 23rd annual Conference That Counts. Early bird registration ends on February 16th, so don’t delay! This year’s charity is the Capital City Rescue Mission, which provides food, shelter and clothing to the most needy in our area. Not only will we have our usual great raffle items, but we will also be collecting donations for the charity during all three days of the conference.

As always, please contact me anytime at CHourigan@osc.state.ny.us to discuss volunteer opportunities with the Chapter. In addition, please consider joining us for coffee, bagels, and lively discussion at our monthly Board meetings. Our next meeting will be on February 14th at 7:45am at the Office of the State Comptroller, Rensselaer Technology Park, 200 Jordan Road, Troy NY 12180. We hope to see you there!

Best regards,
Cristina

IIA Webinars

The IIA offers free informational programs to its members on a variety of topics. Click here to obtain additional information and to register.

EHSAC Webinar: Integrating Sustainability and Enterprise Risk through the Audit Function
February 6, 2018
2:00 – 3:00 PM

The increasing awareness of potential business impacts from environmental, social and governance issues such as climate change, natural resource constraints, human rights violations, corruption and ethics mismanagement, is leading to a reevaluation of business risk. Looking at sustainability from a business perspective requires a systems approach, focused on strategic, operational, regulatory and reputational risk. Aligning risk management practices to adequately address sustainability risks involves more than just verifying the accuracy of external non-financial disclosures. Auditors need to also assess governance structure effectiveness; strategic alignment; risk management processes; and the appropriateness of selected metrics and targets.

Learning Objectives

⇒ Review sustainability trends impacting business risk and driving the integration of sustainability and enterprise risk. Understand importance of external context and the selection of appropriate criteria, processes, and frameworks used to measure sustainability.

⇒ Identify opportunities to expand review of environmental, social and governance issues to cross functional segments of the organization, e.g., facilities management, supply chain, product stewardship, public and government relations, and human resources.
IIA Webinars (Continued)

⇒ Learn how to frame board-relevant sustainability audit findings, in terms of the organization’s ability to meet strategic objectives and protect and enhance tangible and intangible assets.

Preparing for the Future: What Internal Auditors Can Expect in 2018
February 20, 2018
1:00 – 2:00 PM

IIA President and CEO Richard F. Chambers continues his tradition of looking at the year ahead and offering insight that will help internal auditors meet the ever-expanding demands and challenges facing the global profession.

Learning Objectives
Topics will include:
⇒ Addressing stakeholder expectations and internal audit agility.
⇒ Proving internal audit is a trusted advisor to management and boards.
⇒ Advancing internal audit’s role in good corporate governance.

Certification News
By Sandy Meadows, CIA, CGAP

This is my third year chairing the Certification Committee. During that time, I have had the pleasure of congratulating many candidates that have achieved various certifications. It’s hard work, and I rejoice each time that a new certification comes across my desk. Well, OK, across my computer screen, but that’s much less picturesque.

This is the first time, however, in my tenure, that I have had the distinct pleasure of congratulating one of our chapter members for this outstanding achievement: one of our members has passed each part of the exam on her first try with one of the highest scores of the year. Please join me in congratulating Katherine Hogan, of New York State Teachers’ Retirement System, Chapter Board Governor, Finance Committee member, and all around good person, for her impressive achievement!

Look for recognition of Katherine on the IIA Global and North America websites and in an upcoming issue of IA Magazine.

If you would like to take a crack at earning this distinction for yourself, come to this year’s Certification Breakfast, February 13 at Wolfert’s Roost, to learn more about IIA certifications. It’s FREE! You can register online at the Chapter website.

Feel free to contact me if you have any other questions related to certification at Sandra.Meadows@gaming.ny.gov.
Please join me in welcoming the following individuals to the IIA Albany Chapter:

Matthew Bullett
Teri Tompkins

The IIA is a great resource for your career, and I urge everyone to take advantage of the resources the IIA provides to its members. Whether you decide to attend a local training the Albany Chapter provides at a discounted rate to its members, browse through articles in the IIA Bookstore (https://na.theiia.org/periodicals/Pages/Periodicals.aspx), or capitalize on any of the other numerous resources offered, the choice is entirely yours!

If anyone has questions about their membership, or has a co-worker that would like more information about how to join the IIA Albany Chapter, please contact me and I will be happy to assist.

Chris Herald
Membership Chair
cherald@osc.state.ny.us

The Chapter Achievement Program is designed to recognize activities that promote the strategic objectives of The IIA. This program provides minimum standards of performance for providing service to our Chapter members, providing service to the internal auditing profession and for administering the activities of the Chapter. The Program emphasizes the basic mission of the Chapter, encourages Chapters to perform that mission, and provides Chapters with a means to evaluate their success in accomplishing that mission.

<table>
<thead>
<tr>
<th>Targets</th>
<th>Bronze</th>
<th>Silver</th>
<th>Gold</th>
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<tbody>
<tr>
<td></td>
<td>685</td>
<td>1,060</td>
<td>1,560</td>
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<table>
<thead>
<tr>
<th>Category</th>
<th>Minimum for Bronze</th>
<th>Chapter Points</th>
<th>% Achieved</th>
<th>Balance to Minimum</th>
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<tbody>
<tr>
<td>I. Service to Members</td>
<td>325</td>
<td>1,221</td>
<td>376%</td>
<td>Achieved!</td>
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<tr>
<td>II. Service to Profession</td>
<td>200</td>
<td>728</td>
<td>364%</td>
<td>Achieved!</td>
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<tr>
<td>III. Chapter Administration</td>
<td>160</td>
<td>171</td>
<td>107%</td>
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<td>Totals</td>
<td>685</td>
<td>2,120</td>
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Current CAP Status: Gold (Platinum)
## SCHEDULE OF EVENTS
### 2017 — 2018

### Albany Chapter – Institute of Internal Auditors

<table>
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<tr>
<th>SEPTEMBER</th>
<th>OCTOBER</th>
<th>NOVEMBER</th>
<th>DECEMBER</th>
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<tbody>
<tr>
<td>September 19, 2017</td>
<td>October 18, 2017</td>
<td>November 20–21, 2017</td>
<td>December 6, 2017</td>
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<tr>
<td>Interviewing Skills 6 CPEs</td>
<td>Fraud in the World Today 3 CPEs</td>
<td>Annual Fraud Conference 7 to 14 CPEs</td>
<td>Annual Holiday Luncheon No CPEs</td>
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<td>September 25, 2017</td>
<td>October 23-25, 2017</td>
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<td>TCTC Fall Event 7 CPEs</td>
<td>COSO Certificate Course</td>
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<tr>
<th>JANUARY</th>
<th>FEBRUARY</th>
<th>MARCH</th>
<th>APRIL</th>
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<td>January 29, 2018</td>
<td>February 13, 2018</td>
<td>March 19-21, 2018</td>
<td>April, 2018</td>
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<tr>
<td>Technology Conference 7 CPEs</td>
<td>Certification Breakfast No CPEs</td>
<td>TCTC 7 to 21 CPEs</td>
<td>Spring CAE Roundtable No CPEs</td>
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<tr>
<th>MAY</th>
<th>JUNE</th>
<th>JULY</th>
<th>AUGUST</th>
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<tr>
<td>May, 2018</td>
<td>June, 2018</td>
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<tr>
<td>Internal Audit Academy 29 CPEs</td>
<td>Awards Ceremony No CPEs</td>
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**It’s your turn to speak**

Don’t forget to report your speaking hours to

**Danielle Bridger**

Email: DBridger@osc.state.ny.us
Phone: (518) 408-4865