Annual Certification Breakfast & Information Seminar

This event is co-sponsored by the local chapters of:

The Annual Professional Certification Breakfast and Information Seminar is co-sponsored by the local chapters of the AGA, ACFE, IIA, ISACA & NYSSCPA. Enjoy a delicious, FREE breakfast and learn about:

- Various certifications available to government, finance, accounting, auditing, IT and fraud auditing professions
- Benefits of obtaining one or more professional certifications
- Requirements for applying and earning the professional certifications
- Exam details
- Maintenance/Continuing Education requirements

The seminar will give you an overview of the following certifications:

- Certified Government Financial Manager (CGFM)
- Certified Fraud Examiner (CFE)
- Certified Information Systems Auditor (CISA)
- Certified Internal Auditor (CIA)
- Certified Management Accountant (CMA)
- Certified Public Accountant (CPA)
- Certified Government Auditing Professional (CGAP)
- Certified Financial Services Auditor (CFSA)
- Certification in Control Self Assessment (CCSA)
- Certification in Risk Management Assurance (CRMA)
- Certified Information Security Manager (CISM)

Attendees can visit with representatives, ask questions and obtain written information and publications regarding each certification.

You may register online at: www.aganycap.org

***This event's registration deadline is 2/9/2016***

Date:
Thursday, February 11, 2016
8:00 - 8:30 am - Breakfast Buffet
8:30 - 10:30 am - Information Session

Location:
Wolfert's Roost
120 Van Rensselaer Blvd.
Albany, NY 12205

Cost: Free! You must pre-register
CPEs: None

Register:
http://www.aganycap.org/registration.asp?event=405
President's Message
By David Robertson, CIA, CFE, CGFM, CGAP

We are in the home stretch of winter; let's keep our fingers-crossed for no snow in February either! Since it is a new year, I wanted to talk about resolutions, particularly professional resolutions. Have you set any for the year? If you need help finding a good one, I'd like to offer a suggestion – how about obtaining an IIA certification? The IIA offers many to choose from, from the flagship CIA, to specifically ones in risk management, government, and financial services. It is a great way to give yourself a heads up in your career and display your internal audit expertise. If you are interested in learning more about certifications, please attend our Annual Certification Breakfast on February 11th at Wolferts Roost. It will give you an opportunity to learn about IIA certifications, as well as other accounting/audit/IT certifications – best of all it's free!

Hope to see you there.

I also want to take the time to recognize our chapter volunteers, this month the spotlight is on Nicole Kedzior. Nicole is our Chapter Treasurer, which is one of the busiest jobs in the Chapter. She does the accounting, disbursements, and financial reporting to the Board, so we can stay on track and fiscally healthy. Let's get to know Nicole a little better.

Tell us about yourself.

After graduating with my Master's Degree in Accounting from the College of Saint Rose, I started my career working for Internal Audit at the Office of the State Comptroller. Soon after, I obtained my Certification in Control Self-Assessment (CCSA) and, eventually, my CPA license. I spent three years working for the Gaming Commission as the Indian Gaming Auditor. Currently, I am working for the Office of Information Technology Services, Internal Control Office. Outside of work, I enjoy traveling, reading, and spending the summers on our boat at the Great Sacandaga Lake.

How long have you been a member and how long have you been a chapter volunteer?

I've been a member of the IIA Albany Chapter since 2009. This May will mark two years serving in my role as Treasurer.

What motivates you to volunteer your time for the Albany Chapter?

After working with IIA volunteers and attending events, I learned the Chapter relies on volunteers to provide members with affordable quality training. When I was approached about volunteering, I was hesitant at first, but realized it would provide me with an opportunity to network with other audit professionals. Volunteering as Treasurer has been a rewarding experience that I highly recommend to other members who are interested in getting involved.

We always have great chapter events planned specifically with our members in mind, so please keep an eye on the website and the email notifications from Jeremy Dudley. All members are also invited to attend our monthly Board meetings held the second Wednesday of the month at 7:45AM at the office of the New York State Teachers' Retirement System, 10 Corporate Woods Drive, Albany, NY 12211. It’s a great way to understand how the chapter works and how you can get involved. I hope to see you there.

Kind Regards,
Dave

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IIA Webinars

The IIA offers free informational programs to its members on a variety of topics. Click here to obtain additional information and to register.

Getting to Know the Proposed Changes to the Standards
February 11, 2016
1:00–2:00 pm

In an effort to meet the challenges of today’s business environment, The IIA’s International Internal Audit Standards Board has proposed changes to the International Standards for the Professional Practice of Internal Auditing (Standards). The proposed changes will be exposed for public comment between Feb. 1 and April 30. As we embark on the next phase of the new IPPF, we encourage you to take the opportunity to participate in the survey and provide feedback that will help shape these key changes.

Learning Objectives
This webinar will help you:

• Learn why the Standards are changing and what it means for you.
• Find out the details of the proposed changes to the Standards.
• Discover how you can participate in the exposure process and comment on the proposed Standards changes.

Filling the Void: Addressing Today’s Skills Gaps in Internal Audit
February 11, 2016
1:00–2:00 pm

Building and managing a high-performing internal audit team is difficult enough today, with managers facing talent shortages and heightened retention issues. But research from CBOK identified another challenge for internal audit executives: skills deficiencies.

In this webinar, Paul McDonald from Robert Half and an internal audit executive will examine where skills gaps frequently occur and steps internal audit leaders can take to fill them.

Learning Objectives

• You will learn the areas where executives around the world think internal auditors need the most improvement
• Find out how you as a manager can identify weaknesses in your internal audit function and help your team build their skills in these areas
• You’ll hear about proven professional development strategies you can begin implementing today
• You’ll learn more about outside resources, including subject matter experts, that can boost your team’s capabilities

Spotlight on the Certified Internal Auditor (CIA)
By Sandy Meadows, CIA, CGAP

This month we start a series highlighting the certifications offered by the IIA, starting with the Certified Internal Auditor (CIA). The CIA is the IIA’s flagship designation, and earning it demonstrates the depth of your internal audit knowledge and experience.

There are three parts to the CIA exam; you must pass all three parts, have two years of experience, and have the required educational background to qualify. CIA candidates must also receive a character reference and agree to abide by the IIA Code of Ethics.

The first part of the exam focuses on the International Professional Practices Framework (the IPPF), including the definition of Internal Auditing, the Standards, and the Code of Ethics (future exams will include the updated IPPF with the core principles of internal auditing). It also encompasses risk and control concepts. This is not an exercise in memorization and regurgitation, but rather a demonstration that you know how to apply the Standards to the practice of internal audit.
The second part tests your knowledge of the practice of internal audit, including management of the internal audit function, setting risk-based audit plans, and planning and managing individual engagements. This section is designed to demonstrate your knowledge of the practice of internal audit, with the expectation that the exam taker will be proficient in the topics to be tested.

The third section tests applicants on their knowledge of the environment within which internal audit takes place. It covers topics such as organizational structure, information technology, management and leadership principles and the global business environment. It is not enough the internal auditor understand how to manage an audit, but must also have the understanding of how businesses run, in order to be effective. Topics on this section are tested generally at an awareness level.

The IIA website provides detailed exam syllabi that cover each topic as well as the percent of representation on the exam (https://na.theiia.org/certification/CIA-Certification/Pages/Exam-Syllabus.aspx), as well as a limited number of practice questions. You may also sign up and pay a fee to take a practice test for an individual section. Practice tests are graded on a pass/fail basis – a failing score will highlight areas of focus to guide your future studying.

As a reminder, anyone who achieves the CIA will be awarded a $150 scholarship from the Albany Chapter of the IIA. Contact me with any questions you have about the CIA exam, about obtaining a certification, or about the scholarships available at sandra.meadows@gaming.ny.gov.

Membership Corner
By Christopher Herald, CGAP

Please join me in welcoming the following individuals to the IIA Albany Chapter:

Paul Asamoah
Kyle Baldani
Aminata Diouf-Ba
Rhonda McElroy

Now that the cold weather is here, and you’re stuck indoors, are you becoming restless and looking for something to keep you busy? If so, we always welcome additional assistance from our members. If you have thought about helping out our chapter but have been hesitant to do so, send me an email letting me know what you are interested in doing, and we can see if it is something that will fit into your schedule.

If anyone has questions about their membership, or has a co-worker that would like more information about how to join the IIA Albany Chapter, please contact me and I will be happy to assist.

Chris Herald- Membership Chair
cherald@osc.state.ny.us

Become a Volunteer
The Chapter Achievement Program is designed to recognize activities that promote the strategic objectives of The IIA. This program provides minimum standards of performance for providing service to our Chapter members, providing service to the internal auditing profession and for administering the activities of the Chapter. The Program emphasizes the basic mission of the Chapter, encourages Chapters to perform that mission, and provides Chapters with a means to evaluate their success in accomplishing that mission.

Congratulations to Albany Chapter for earning a Ruby status!

<table>
<thead>
<tr>
<th>Category</th>
<th>Minimum for Bronze</th>
<th>Chapter Points</th>
<th>% Achieved</th>
<th>Balance to Minimum</th>
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<tbody>
<tr>
<td>I. Service to Members</td>
<td>325</td>
<td>645</td>
<td>198%</td>
<td>Achieved!</td>
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<tr>
<td>II. Service to Profession</td>
<td>200</td>
<td>953</td>
<td>476%</td>
<td>Achieved!</td>
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<tr>
<td>III. Chapter Administration</td>
<td>160</td>
<td>207</td>
<td>129%</td>
<td>Achieved!</td>
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<td>Totals</td>
<td>685</td>
<td>1,805</td>
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Chapters earn Platinum status by achieving Gold for 10 consecutive years. Chapters earn Ruby status by achieving Platinum for 10 consecutive years. Chapters earn Sapphire status by achieving Platinum for 15 consecutive years. Chapters earn Diamond status by achieving Platinum for 25 consecutive years.

Don’t forget to report your speaking hours to Joel Biederman!

JBiederman@OSC.state.ny.us

Or

Phone: (518) 402-2487
## Schedule of Events

### 2015 - 2016

<table>
<thead>
<tr>
<th>Month</th>
<th>Date</th>
<th>Event</th>
<th>CPEs</th>
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<tbody>
<tr>
<td>September</td>
<td>September 22, 2015</td>
<td>Mystery Fraud Training</td>
<td>3 CPEs</td>
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<tr>
<td>November</td>
<td>November 23-24, 2015</td>
<td>Fraud Conference</td>
<td>7 to 14 CPEs</td>
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<tr>
<td>December</td>
<td>December 8, 2015</td>
<td>Holiday Luncheon</td>
<td>No CPEs</td>
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<tr>
<td>January</td>
<td>January 25, 2016</td>
<td>Technology Conference</td>
<td>8 CPEs</td>
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<tr>
<td>February</td>
<td>February 11, 2016</td>
<td>Certification Breakfast</td>
<td>No CPEs</td>
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<td>March</td>
<td>March 14–16, 2016</td>
<td>TCTC</td>
<td>7 to 21 CPEs</td>
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<td>April</td>
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<td>May</td>
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<td>Planning Session</td>
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<td>June</td>
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<td>Awards Ceremony</td>
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