Annual Technology Conference
Expanding Your IT Audit Horizons

The ISACA Hudson Valley and IIA Albany Chapters are holding our annual January 2018 Tech Event, Expanding Your IT Audit Horizons, on January 29, 2018, with a great speaker John Gallo. This year’s event will focus on IT Audit topics and attendees will earn 7 CPEs. John will be presenting on four great topics:

I. Crisis and Change Management – Internal Audit Involvement

This session will review the implications and impacts of crisis management and organizational change management and what the internal auditor may need to do.

II. Auditing Disaster Recovery / Business Resumption Planning

This presentation will discuss the various components of a disaster recovery plan, the ten critical things the auditor should be considering when reviewing the plan, the different types of DR exercise approaches the auditor may encounter, and the detailed steps to follow when doing this type of audit.

III. Auditor’s Role in System Development

This session focuses on the need for the auditor to be involved in the various phase of the SDLC process, the different types of review that can be done based on timing and staffing, the risks the audit involvement should help to avoid.

IV. BYOD (Bring Your Own Device)

More companies are trying to reduce their internal expenses for company owned devices by allowing employees to use their smart phone, iPads, etc. to access company data. This poses significant risks or data loss and security breaches. The auditor must be aware of this and be able to comment upon the strategy being proposed.

Date: Monday, January 29, 2018
Times: 7:30 am—Registration & Breakfast
8:00 am—9:45 am—Crisis & Change Management
9:45 am—10:00 am—Break
10:00 am—12:00 pm—Auditor’s Role in System Development
12:00 - 12:45—Lunch
12:45 - 2:45 Auditing Disaster Recovery / Business Resumption Planning
2:45 pm - 3:00 pm—Break
3:00 - 4:30 BYOD

Location: University at Albany (BB 151)
Massry Center for Business
1400 Washington Avenue
Albany, NY

Cost:

<table>
<thead>
<tr>
<th>Category</th>
<th>Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td>ISACA and IIA members</td>
<td>$100</td>
</tr>
<tr>
<td>Non-members</td>
<td>$150</td>
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CPES: This event is eligible for 7 CPEs.

Capacity: This event is limited to 45 attendees.
On behalf of the Albany IIA, I wish you and your loved ones a happy, healthy holiday season!

I hope you were able to attend the Holiday Luncheon on December 6th at Wolferts Roost Country Club. Attendees enjoyed a delicious lunch and an inspirational presentation from Steve Simons from The Leadership Edge/Launch-Your-Life.com. The raffle benefitted the Mohawk-Hudson Humane Society and the Albany IIA, along with our sister organizations, ACFE, AGA, ISACA, and NYSICA, were able to donate $1,000 to this cause!

We have many great programs in store for 2018. We will continue to share information about them through email, monthly newsletters, our website, and our Facebook page.

As always, please contact me anytime at CHourigan@osc.state.ny.us to discuss volunteer opportunities with the Chapter. In addition, please consider joining us for coffee, bagels, and lively discussion at our monthly Board meetings. Our next meeting will be on December 13th at 7:45am at the Office of the State Comptroller, Rensselaer Technology Park, 200 Jordan Road, Troy NY 12180.

We hope to see you there!

Happy Holidays,
Cristina

IIA Webinars

The IIA offers free informational programs to its members on a variety of topics. Click here to obtain additional information and to register.

Realistic Security: Counter Advanced Threats With Basic Controls
January 16, 2018
1:00 – 2:30 PM

Organizations direct immense effort into advanced security solutions, only to be undone time and again by basic attacks. Why do organizations struggle, and usually fail, to secure themselves when the market is flooded with highly sophisticated tools all contending to be “the solution to advanced threats”? The answer is straightforward but unpleasant. Most organizations simply are not ready for “advanced solutions” because they have not properly implemented basic solutions. In many cases the boring, grinding, annoying basic solutions will do more good for an organization, but it is just so much easier to write a check and pretend that you can buy security. In this session, we will walk through real-world data breaches from the last few years and discuss what simple (and elementary) solutions would have prevented these attacks.

Learning Objectives

In this session, participants will learn:

⇒ The standard flow of how attackers work.
⇒ How removing simple attacks significantly reduces the population of attackers that are skilled enough to actually breach your organization.
⇒ The most common basic controls that, when implemented correctly, give you the best “bang for your buck” for protecting your network.
Winter is Coming!
Oh wait – winter is already here. Change is coming! That’s what I meant to say. More specifically, changes to the CIA exam are coming in exactly one year. In January 2019 the CIA exam syllabi will get a complete overhaul.

It is possible that you already know this. You may have received an email from the IIA informing you of the upcoming changes. But on the off chance that you received the email but ignored it (gasp of horror) (let’s pretend that I did NOT do that myself), here’s the early scoop.

First, let’s talk about what’s not changing. The exam will still be in three parts and will cost the same as it does now. It will still be online-based and offered through Pearson Vue. You will still have four years from the time of application to pass all three parts and meet the other requirements, and you will not need to retake any parts that you have passed prior to January 2019.

There are significant changes coming to the three parts themselves.

The first part will cover the Foundations of Internal Audit and will align more closely with the Attribute Standards. The largest domain is Governance, Risk Management, and Control (currently tested on Part 3).

The second part will cover the Practice of Internal Audit and will align more closely with the Performance Standards. The largest domain is Performing the Engagement. The third part is Business Knowledge for Internal Audit and will cover four domains: Business Acumen, Information Security, Information Technology, and Financial Management, with the largest focus on business acumen.

To help CIA candidates keep current on the changes, the IIA has created a dedicated page at [www.theiia.org/globalupdatecia](http://www.theiia.org/globalupdatecia) which has FAQs and a detailed description of the changes to the syllabi.

Feel free to contact me if you have any other questions related to certification at Sandra.Meadows@gaming.ny.gov

Best of luck!

Sandy

Gleim CIA Review

The Albany Chapter has partnered with Gleim CIA Review to ensure your success on the CIA exam! Gleim CIA Review is the most widely used CIA prep course. More CIAs have used Gleim to pass the CIA Exam than all other prep courses combined. The exceptional content now includes over 40 hours of in-depth video lectures and comprehensive question test banks that cover all of the topics tested and are presented in a format that replicates your exam-day experience. In addition, CIA candidates enjoy a no-hassle Access Until You Pass® Guarantee and customized support from a team of CIA exam prep experts.

As a member of the chapter, you are eligible for a 10-20% discount on Gleim CIA Review Systems. To take advantage of the reduced prices and to place your order, log into the Members Only section of the Chapter website.

Please contact our Gleim representative, Melissa Leonard, with any questions regarding the Gleim materials or discounts available. Call her at 800.874.5346 or via email IIAChapters@gleim.com.
Membership Corner

By Christopher Herald, CGAP

Please join me in welcoming the following individuals to the IIA Albany Chapter:

Cori Bilka
Melissa Bouchard
Michelle Contreras
Lori Conway
Caitlyn Denton
Jenilee Flinton
Panika Gupta
Donald Massonne
Amy Richardson
Amy Weitz

Do you have some free time you’re looking to fill? If so, we always welcome additional assistance from our members. If you have thought about helping out our chapter but have been hesitant to do so, send me an email letting me know what you are interested in doing and we can see if it is something that will fit into your schedule.

Chris Herald
Membership Chair
cherald@osc.state.ny.us

CAP Corner

By Elizabeth Bogdanowicz, CGAP

The Chapter Achievement Program is designed to recognize activities that promote the strategic objectives of The IIA. This program provides minimum standards of performance for providing service to our Chapter members, providing service to the internal auditing profession and for administering the activities of the Chapter. The Program emphasizes the basic mission of the Chapter, encourages Chapters to perform that mission, and provides Chapters with a means to evaluate their success in accomplishing that mission.

<table>
<thead>
<tr>
<th>Category</th>
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<th>Chapter Points</th>
<th>% Achieved</th>
<th>Balance to Minimum</th>
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<tr>
<td>I. Service to Members</td>
<td>325</td>
<td>1,168</td>
<td>359%</td>
<td>Achieved!</td>
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<tr>
<td>II. Service to Profession</td>
<td>200</td>
<td>697</td>
<td>349%</td>
<td>Achieved!</td>
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<tr>
<td>III. Chapter Administration</td>
<td>160</td>
<td>140</td>
<td>101%</td>
<td>Achieved!</td>
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<td>Totals</td>
<td>685</td>
<td>1,958</td>
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As of: 12/27/2017

Current CAP Status: Gold (Platinum)
### SCHEDULE OF EVENTS

#### 2017 — 2018

**Albany Chapter – Institute of Internal Auditors**

<table>
<thead>
<tr>
<th>SEPTEMBER</th>
<th>OCTOBER</th>
<th>NOVEMBER</th>
<th>DECEMBER</th>
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<tbody>
<tr>
<td>September 19, 2017</td>
<td>October 18, 2017</td>
<td>November 20–21, 2017</td>
<td>December 6, 2017</td>
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<tr>
<td><strong>Interviewing Skills</strong> 6 CPEs</td>
<td><strong>Fraud in the World Today</strong> 3 CPEs</td>
<td><strong>Annual Fraud Conference</strong> 7 to 14 CPEs</td>
<td><strong>Annual Holiday Luncheon</strong> No CPEs</td>
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<td>September 25, 2017</td>
<td>October 23-25, 2017</td>
<td><strong>COSO Certificate Course</strong> No CPEs</td>
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<td><strong>TCTC Fall Event</strong> 7 CPEs</td>
<td><strong>Fall CAE Roundtable</strong> No CPEs</td>
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<tr>
<th>JANUARY</th>
<th>FEBRUARY</th>
<th>MARCH</th>
<th>APRIL</th>
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<tbody>
<tr>
<td>January 29, 2018</td>
<td>February, 2018</td>
<td>March 19-21, 2018</td>
<td>April, 2018</td>
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<tr>
<td><strong>Technology Conference</strong> 7 CPEs</td>
<td><strong>Certification Breakfast</strong> No CPEs</td>
<td><strong>TCTC</strong> 7 to 21 CPEs</td>
<td><strong>April Program</strong> No CPEs</td>
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<tr>
<th>MAY</th>
<th>JUNE</th>
<th>JULY</th>
<th>AUGUST</th>
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<tr>
<td>May, 2018</td>
<td>June, 2018</td>
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<tr>
<td><strong>Internal Audit Academy</strong> 29 CPEs</td>
<td><strong>Awards Ceremony</strong> No CPEs</td>
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**It’s your turn to speak**

Don’t forget to report your speaking hours to

**Danielle Bridger**

Email: DBridger@osc.state.ny.us

Phone: (518) 408-4865