# Self-Management & Establishing a Voluntary Following

Please join us for an exciting presentation given by Richard Branton from Advanced Strategies, Inc.

This course will cover a variety of topics related to self-management and leading yourself and others. Participants will learn the importance of self-awareness and self-leadership. They will develop an understanding of self-management in an emotional intelligence context and learn techniques for managing ones’ responses to difficult situations. They will also learn that ‘you’ are your most important follower and that you must effectively lead yourself if you are to effectively lead others.

**Date:** Thursday, May 18, 2017

**Times:**
- 8:00 - 8:30 am — Registration & Full Breakfast
- 8:30 am - 12:30 pm — Program

**Location:** Wolferts Roost Country Club
120 Van Rensselaer Blvd
Albany, NY 12204

**Number of CPEs:** 4

**See Course Topics & Objectives:** [http://www.cvent.com/d/95qm0j](http://www.cvent.com/d/95qm0j)

**Registration Deadline:** May 12, 2017

<table>
<thead>
<tr>
<th>Category</th>
<th>Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td>IIA, AGA, ACFE &amp; ISACA Members</td>
<td>$60</td>
</tr>
<tr>
<td>Non-members</td>
<td>$70</td>
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Only 10 Seats Left
Secure your spot Today!

To register: [http://www.cvent.com/d/95qm0j/4W](http://www.cvent.com/d/95qm0j/4W)

Richard Branton is the Founding Partner and Vice President of Advanced Strategies, Inc. He is a speaker, executive coach, facilitator, methodologist, and trainer with more than 30 years of experience in enterprise transformation, including strategic planning, business reengineering, change management, portfolio management, governance, and leadership development.

Richard has presented at numerous leadership workshops and executive forums. Richard has been the Lead Architect and Instructor for the State Of New York Leadership Development Programs provided through GOER, DCJS, and the Office of the State Comptroller.
President’s Message
By Elizabeth Bogdanowicz, CGAP

Happy May! It is hard to believe we are approaching the end of another Chapter year. As I prepare to “pass the baton” to our incoming President, Cristina Hourigan, I would like to thank our fabulous volunteers for their dedication to making the Albany Chapter the best it can be. It was a wonderful experience to serve as Chapter President, and I look forward to staying involved in other roles in the future.

Back by popular demand, the Albany Chapter hosted a Mystery Fraud Breakfast on April 25th at Wolferts Roost. Participants were treated to a fun and interactive morning of fraud finding (see the pictures below). I would like to thank our brave Chapter volunteers for lending their time and talent as actors.

Our final program of the year is scheduled for May 18th at Wolferts Roost. Richard Branton from Advanced Strategies, Inc. is giving a talk about Self-management and Establishing a Voluntary Following. I had the pleasure of attending training with Richard in the past, and his stories and experiences are second to none. Please consider registering for this program today!

Finally, the Chapter’s annual planning session is scheduled for May 9th at the NYS Forum (24 Aviation Road, Suite 206, Albany 12205). This is the perfect opportunity to meet our Board members and learn about our plans for the upcoming year. If you have any ideas for programs you would like to see offered next year, please consider attending or contacting me @ (EBogdanowicz@osc.state.ny.us) or Cristina Hourigan @ (CHourigan@osc.state.ny.us).

Best Regards,
Elizabeth

IIA Webinars

The IIA offers free informational programs to its members on a variety of topics. Click here to obtain additional information and to register.

A Blueprint: Strategizing Your Anti-Fraud Approach

May 16, 2017
1:00 – 2:00 PM

An organization using its investigative team as their anti-fraud strategy may be doing too little too late to mitigate fraud losses suffered by the organizations. An anti-fraud strategy will allow the organization to build a strong foundation to detect and monitor for fraud with the goal of preventing any losses the organization to build a strong foundation to detect and monitor for fraud with the goal of preventing any losses to the organization. This session will provide attendees with a blueprint, tools, and best practices to assist organizations in developing and implementing an effective anti-fraud strategy, an academic scorecard documenting gaps.
IIA Webinars (Cont.)

Learning Objectives
In this session, participants will:

⇒ Define the five (5) main components of an anti-fraud strategy.
⇒ Understand how COSO supports the need for an anti-fraud strategy.
⇒ Use a fraud risk management process to drive down the losses due to fraud.
⇒ Learn best practices for monitoring, detecting, and preventing fraud.

Strengthening an Organization’s Risk Culture: IA Can Play a Critical Role

June 27, 2017
1:00 – 2:00 PM

Definitions abound as to what culture means. Put simply, companies see it as “the way we do things around here” — that is, the embedded norms and patterns of behavior. It is heavily influenced by the company’s overall organizational culture and each employee’s own ethical values.

Risk culture is the behavior in an organization that influences the management of risk. It connects the broader culture with its risk-taking and control activities. Regulators globally are moving risk culture up on their agendas as they address what they perceive to be conduct and control failures that could have an impact if not addressed properly.

Internal auditors have a central role to play in addressing culture. We routinely experience behavioral and cultural issues in our ongoing audit work, such as management’s responsiveness or its proactive focus on controls, but traditionally it has not explicitly evaluated or commented on them. This is beginning to change. New approaches are being implemented to allow internal audit to influence culture and help engender a strong risk and control environment.

Certification News
By Sandy Meadows, CIA, CGAP

Happy Internal Audit Awareness month!

I know you’ve all got big plans to celebrate. Well, here’s another reason to celebrate: CIA savings! In honor of Internal Audit Awareness Month, the IIA is waiving the CIA application fee. That’s up to $230 in savings. But that’s not all. The IIA is also offering a 10% on its CIA Learning System (discount code MAY10), as well as 10% off CIA Exam Practice Questions (discount code CIA0517). Side note: the practice questions were key to my success in passing the CIA exam, so if you take advantage of anything, this is a must!

Click this link for more information: https://na.theiia.org/certification/Pages/Drive-Your-Career-Forward-as-a-Certified-Internal-Auditor.aspx

Now get out there and celebrate (and by celebrate, I mean study!)

Questions? Reach me at Sandra.Meadows@gaming.ny.gov

May is International Internal Audit Awareness Month
Please join me in welcoming the following individuals to the IIA Albany Chapter:

- Ali Alsheef
- Elman Azizov
- Valeria Agosta
- Michael Dearborn
- Sierra Genier-Metivier
- Robin Masih
- Joe Naughton
- Melanie Pollay
- Stephen Rozario
- Alex Rusate

Spring is finally here, and as everything enters a new season, do you find yourself with some newfound energy you’re looking to exert? If so, we always welcome additional assistance from our members. If you have thought about helping out our Chapter but have been hesitant to do so, send me an email. Let me know what you are interested in doing, and we can work to see if it is something that will fit into your schedule.

As always, if anyone has questions about their membership, or has a co-worker that would like more information about how to join the IIA Albany Chapter, please contact me and I will be happy to assist.

Chris Herald - Membership Chair
cherald@osc.state.ny.us

By David Robertson, CIA, CFE, CGFM, CGAP

The Chapter Achievement Program is designed to recognize activities that promote the strategic objectives of The IIA. This program provides minimum standards of performance for providing service to our Chapter members, providing service to the internal auditing profession and for administering the activities of the Chapter. The Program emphasizes the basic mission of the Chapter, encourages Chapters to perform that mission, and provides Chapters with a means to evaluate their success in accomplishing that mission.

<table>
<thead>
<tr>
<th>Category</th>
<th>Minimum for Bronze</th>
<th>Chapter Points</th>
<th>% Achieved</th>
<th>Balance to Minimum</th>
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<tbody>
<tr>
<td>I. Service to Members</td>
<td>325</td>
<td>1,277</td>
<td>393%</td>
<td>Achieved!</td>
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<td>II. Service to Profession</td>
<td>200</td>
<td>1076</td>
<td>538%</td>
<td>Achieved!</td>
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<tr>
<td>III. Chapter Administration</td>
<td>160</td>
<td>233</td>
<td>146%</td>
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<tr>
<td>Totals</td>
<td>685</td>
<td>2,586</td>
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As of: 4/30/2017

Targets
- Bronze 685
- Silver 1,060
- Gold 1,560
## SCHEDULE OF EVENTS
### 2016 — 2017

### Albany Chapter – Institute of Internal Auditors

<table>
<thead>
<tr>
<th>SEPTEMBER</th>
<th>OCTOBER</th>
<th>NOVEMBER</th>
<th>DECEMBER</th>
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<tbody>
<tr>
<td>Fall CAE Roundtable</td>
<td>Internal Audit Academy</td>
<td>Auditing for Impact &amp; How Auditing Can Advance Your Career</td>
<td>Holiday Luncheon</td>
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<tr>
<td>No CPEs</td>
<td>29 CPEs</td>
<td>3 CPEs</td>
<td>No CPEs</td>
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<tr>
<td>No CPEs</td>
<td>No CPEs</td>
<td>November 21–22, 2016 Fraud Conference</td>
<td>7 or 14 CPEs</td>
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<tr>
<th>JANUARY</th>
<th>FEBRUARY</th>
<th>MARCH</th>
<th>APRIL</th>
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<tr>
<td>Technology Conference</td>
<td>Certification Breakfast</td>
<td>TCTC</td>
<td>Fraud Mystery Event</td>
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<tr>
<td>8 CPEs</td>
<td>up to 21 CPEs</td>
<td>Up to 21 CPEs</td>
<td>3 CPEs</td>
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<th>JUNE</th>
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<th>AUGUST</th>
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<tr>
<td>May 9th, 2017</td>
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<td>Awards Ceremony</td>
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<td>Planning Session</td>
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<td>May 18, 2017 Self-Management</td>
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<td>8 CPEs</td>
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It’s your turn to speak

Don’t forget to report your speaking hours to Cristina Hourigan

Email: CHourigan@osc.state.ny.us
Phone: (518) 402-2511