Join us for this information packed annual two-day fraud seminar. You can choose to attend one or both days, and we offer a $25 discount if you register early.

On Day 1, Dennis Dycus will provide two in-depth half-day sessions on Auditing for Fraud and Contract and Procurement Fraud. Day 2 will also be packed with outstanding sessions. Convicted fraudster - Nathan Mueller - will present a half-day session on how he embezzled $8.5 million from his employer. Joe Morrissey will discuss the auditor's role in fraud investigations and prosecutions. Completing the agenda, Professors Hurley and Kresse will give us an entertaining and informative session on Deep Dish Fraud: Chicago Style.

You won’t want to miss this year’s fraud seminar. Earn 7 or 14 continuing education credit hours by attending the seminar for one or two days, respectively. In addition, we will be raffling many prizes with the proceeds benefiting the Regional Food Bank of Northeastern New York.

**Location:** Radisson Hotel (formerly Holiday Inn)  
205 Wolf Rd, Albany, NY 12203

**Date:** Monday/Tuesday, November 23-24, 2015

**Times:**  
7:30 am - 8:30 am - Registration and Continental Breakfast  
8:30 am - 4:30 pm - Seminar  
12:00 pm - 1:00 pm - Lunch will be provided

**CPEs:** 7 per day  
CPE Field: Accounting/Auditing  
NYS License Number: 000329

**Pricing:**

<table>
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<tr>
<th>Cost of Training Seminar for:</th>
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<th>Two Days</th>
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<tr>
<td>AGA, ACFE, IIA Members</td>
<td>$150</td>
<td>$225</td>
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<tr>
<td>Fully Retired AGA, ACFE, IIA Members</td>
<td>$75</td>
<td>$125</td>
</tr>
<tr>
<td>Full Time Students</td>
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<td>$125</td>
</tr>
<tr>
<td>Others</td>
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<td>$300</td>
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**Registration:** Online at [http://www.cvent.com/d/8rqgff/](http://www.cvent.com/d/8rqgff/)

**Speakers:**

- Dennis F. Dycus, CFE, CGFM, CPA, former Director of Municipal Audits, Tennessee  
- Nathan Mueller, Convicted Embezzler  
- Joseph Morrissey, CRMA, CGAP, Internal Audit Director, NYS Dept. Ag. And Markets  
- Richard Hurley, PhD., JD, CPA, CFE, CFF, University of Connecticut  
- William Kresse (“Professor Fraud”), CFE, CPA, University of Chicago
President's Message
By David Robertson, CIA, CFE, CGFM, CGAP

November is one of those months that doesn’t start off that cold, but ends with quite a bite in the air. I find, however, nothing warms the body and soul quite like fraud training; so why not attend the annual Fraud Conference November 23rd & 24th? We have an excellent line-up of speakers, including a former fraudster, two university professors with fraud expertise, and our very own Joe Morrissey. Early bird pricing is in effect until November 16th, so don’t delay.

Volunteer Spotlight

I also want to take the time to recognize our chapter volunteers, so I will feature a “volunteer spotlight” each month to introduce you to one of our Chapter’s hard working volunteers. This month the spotlight is on Chris Herald. Chris is on the Chapter Board of Governors as well as the Chair of the Membership Committee. Let’s get to know Chris a little better:

Tell us about yourself.

For my professional life, I like to think that I’m all business but I’m really not. I simply want to help taxpayers get the best value for their dollar. I work for the Office of the State Comptroller’s Division of State Government Accountability. In a nut shell, our goal is to make recommendations that will improve government functions. When I’m not doing that or spending my time volunteering for the IIA, I spend the majority of my time with my wife and 18-month-old son. My son is a blast and I tell everyone about him and the things he does every chance I get. He gives me plenty of stories to tell. I love how he can get excited at the smallest things. I’m often curious at what he’s thinking. In the colder months, I do concentrate a little more on my career when I’m home and have obtained the CGAP certification this last year. I also have passed parts 1 & 2 of the CIA. Even after all of that, I somehow still find more time and stay very active around the house. Whether it’s remodeling a room in the house, or overhauling something on one of my cars, my hands are always into something. If you want to know what my passion is, ask me a question about one of my cars and you will quickly find out.

How long have you been a member and how long have you been a chapter volunteer?

I became a member in February 2013, and by June 2014 I took on the Membership chair position.

What motivates you to volunteer your time for the Albany Chapter?

Having the ability to help people in our profession is my prime motivator. By attending the board meetings, I gain first-hand knowledge of upcoming events and can make suggestions to help the event planners.
I encourage you to visit the Chapter’s website for more events and news. All members are also invited to attend our monthly Board meetings held the second Wednesday of the month at 7:45 am at the offices of the New York State Teachers’ Retirement System, 10 Corporate Woods Drive, Albany, NY 12211. It’s a great way to understand how the chapter works and how you can get involved. I hope to see you there.

Kind Regards,

-Dave

IIA Webinars

The IIA offers free informational programs to its members on a variety of topics. Click here to obtain additional information and to register.

Measuring IA Value and Performance

November 17, 2015
1:00–2:00 pm

There is no doubt that Internal Audit (IA) can provide a great deal of value to an organization. But how value is perceived in an organization depends on the company’s culture and IA’s mandate as defined by the Audit committee and executive management. When determining what to measure, Internal Auditors should begin with an IA strategic plan that addresses its mandate and establishes objectives that will demonstrate its value to the organization.

• How to define value in your organization and why it matters
• How to measure both value and performance
• What to measure-leading practices and challenges
• How to communicate IA value and performance results.

Certification Scholarships

By Sandy Meadows, CIA, CGAP

In October 2015, the IIA published the results of a compensation survey, which noted that internal audit practitioners who hold certifications, such as the Certified Internal Auditor (CIA), earn on average 43% more than their colleagues not holding certifications. The study went on to say that the highest median salary is for internal auditors with the newer Certification in Risk Management Assurance (CRMA). These figures are a strong reason for
Please join me in welcoming the following individuals to the IIA Albany Chapter:

Angela Dunster
Sarah O'Neilan
Mike Heim
Daniel Pelner
Ruby Kashyap
Daniel Saullo

Welcome back:
Chris Schall
Thalia Melendez

Do you have some free time you are looking to fill? If so, we always welcome additional assistance from our members. If you have thought about helping out our chapter but have been hesitant to do so, send me an email letting me know what you are interested in doing and we can see if it is something that will fit into your schedule.

If anyone has questions about their membership, or has a co-worker that would like more information about how to join the IIA Albany Chapter please contact me and I will be happy to assist.

Chris Herald- Membership Chair
cherald@osc.state.ny.us

the IIA's flagship certification, the CIA, or one of its specialty certifications.

This is not an inexpensive proposition, however, when you factor in application fees, exam fees, and study materials. This is where your local chapter of the IIA comes into play. Starting this year, the Certification Committee will offer scholarships to successful certification candidates. When the committee is notified of a candidate’s certification, that candidate will be rewarded with a gift card of $150 for a CIA and $75 for any of the specialty certifications.

Earning a certification is hard work but can lead to financial reward, both in the long term with higher salaries, and in the short term with a reward for becoming certified. For more information, please contact Certification Committee chair, Sandy Meadows at sandra.meadows@gaming.ny.gov.
The Chapter Achievement Program is designed to recognize activities that promote the strategic objectives of The IIA. This program provides minimum standards of performance for providing service to our Chapter members, providing service to the internal auditing profession and for administering the activities of the Chapter. The Program emphasizes the basic mission of the Chapter, encourages Chapters to perform that mission, and provides Chapters with a means to evaluate their success in accomplishing that mission.

_Congratulations to Albany Chapter for earning a Ruby status! 🦄_

<table>
<thead>
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<th>Category</th>
<th>Minimum for Bronze</th>
<th>Chapter Points</th>
<th>% Achieved</th>
<th>Balance to Minimum</th>
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<tr>
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<td>66</td>
<td>20%</td>
<td>259</td>
</tr>
<tr>
<td>II. Service to Profession</td>
<td>200</td>
<td>308</td>
<td>154%</td>
<td>Achieved!</td>
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<tr>
<td>III. Chapter Administration</td>
<td>160</td>
<td>137</td>
<td>86%</td>
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<td><strong>Totals</strong></td>
<td><strong>685</strong></td>
<td><strong>512</strong></td>
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Chapters earn **Platinum** status by achieving Gold for 10 consecutive years.
Chapters earn **Ruby** status by achieving Platinum for 10 consecutive years.
Chapters earn **Sapphire** status by achieving Platinum for 15 consecutive years.
Chapters earn **Diamond** status by achieving Platinum for 25 consecutive years.

Don't forget to report your speaking hours to Joel Biederman!
JBiederman@OSC.state.ny.us
Or Phone: (518) 402-2487
Schedule of Events

2015 - 2016

September
September 22, 2015
Mystery Fraud Training
3 CPEs

October
October 6, 2015
FBI Stories of White Collar Fraud
3 CPEs

November
November 23–24, 2015
Fraud Conference
7 to 14 CPEs

December
December 8, 2015
Holiday Luncheon
No CPEs

January
Technology Conference

February
February 11, 2016
Certification Breakfast

March
March 14–16, 2016
TCTC
7 to 21 CPEs

April

May
Planning Session

June
Awards Ceremony

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