The Albany Chapter IIA proudly presents:

The Everyday Ethicist

Speaker: Amanda "Jo" Erven
Location: Online - Zoom link provided upon registration
Date: Thursday, October 15, 2020
Program: 12:00 pm - 4:00 pm

Number of CPEs: 4
NYS: Specialized Knowledge; IIA: Ethics
Please note this session does not meet the NYS Ethics requirement for NYS CPAs

<table>
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<tr>
<th>Event Fee</th>
<th>By 10/14/2020</th>
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<tbody>
<tr>
<td>IIA, AGA, ACFE, ISACA Members</td>
<td>$15</td>
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<tr>
<td>Non-Members</td>
<td>$30</td>
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Please join us for an exciting presentation given by Amanda “Jo” Erven, CPA, CIA, CFE, President and Founder of Audit. Consulting. Education. LLC.

You will learn about how ethics plays a role in society and recent scandals in the news!

Registration Link: https://na.eventscloud.com/everydayethicist

Click Here to Register Online

Amanda “Jo” Erven, CPA, CIA, CFE, CTQA, has experience in external audit, internal audit, and various accounting and teaching roles. Jo started her career in Public Accounting at one of the big four firms, experienced a traditional Controller role at an oilfield services company, and directed an Internal Audit function at a financial services company prior to her journey as an entrepreneur.

Jo is now the President and Founder of Audit. Consulting. Education. LLC – a firm that provides progressive Internal Audit strategies and process and control improvements for companies within almost every industry. Her firm is also registered with the NASBA as a sponsor of continuing professional education (CPE), and delivering CPE training to professionals, both live and virtually is her favorite “wing” of her business.

Jo pushes the envelope of traditional auditing practices. She believes auditors should no longer be “reactive” and should focus on “proactive,” real value-add activities and ensure the utmost ethical behavior is occurring at organizations. Her trademarked approach to Internal Audit, Total Quality Auditing, was published in both book and workbook format early in 2019. Her second book, entitled Our Choices on the Road of Life, was published in the fall of 2019, and she is thrilled the associated workbook and training programs are already in high demand.

Jo’s biggest honor in 2019 was receiving a “Woman to Watch” Leader of Note award by the Colorado Society of CPAs (COCPA) in conjunction with the AICPA for her work in the Accounting/Auditing profession, as well as her community support efforts and mentorship of others to achieve their personal and professional goals.
President’s Message  
By Sandy Meadows, CIA, CGAP

It’s been quite a year already. We’ve worked from home, and some of us continue to do so. We’ve had our personal and professional plans cancelled. We’ve tried to make the best of the uncertainty, and that extends to planning for the Chapter year. We have been hard at work behind the scenes, looking for ways to bring you low-cost, virtual training. If you missed out on our survey earlier this summer, let us know what kind of training you’d like to see.

We are continuing our monthly board meetings online, the second Tuesday of the month at 7:45 am. Let us know if you’re interested in seeing how the Board runs and we will send you the WebEx meeting link.

We are always looking for volunteers – we have committee positions for academic relations, advocacy, certifications, public relations, and more. Get your feet wet in as a committee member, then serve as a governor or officer. It’s a great way to network with your peers and future managers, and as well as a great opportunity to learn and practice leadership skills before you need them for your career.

Best regards,  
Sandy Meadows  
President

IIA Webinars

The IIA offers free informational programs to its members on a variety of topics. Click here to obtain additional information and to register.

Governance Over AI and Robotics  
October 19, 2020  
11:30 am – 12:30 PM

Financial organizations have spent millions of dollars to secure software, train and hire exceptional personnel, and establish strong operational programs in their lines of business. But how do you examine machine learning and institute a well-equipped audit program for robotics to ensure full coverage and mitigate the risks of these emerging technologies? As the business continues to evolve its processes and enter into more unconventional endeavors to drive revenue and keep pace with competitors, internal audit also needs to be innovative and cutting-edge to provide assurance to the organization.

Learning Objectives

In this session, participants will be able to:

⇒ Define what artificial intelligence
IIA Webinars (Cont.)

and robotics mean in the context of financial services.

⇒ Understand how internal audit can add value to an organization’s artificial intelligence and robotics programs.

⇒ Determine whether artificial intelligence is a “black box” internal audit can break open.

⇒ Discuss auditing algorithms and the effect of regulatory scrutiny on complex mathematical models.

⇒ Learn the level of technical competence needed to overcome the audit hurdles.

⇒ Discuss how artificial intelligence and robotics can help with internal audit speed and quality.

The Start Smart Advantage: New IIA Member Webinar
October 21, 2020, 2:00-3:00 PM

Your new member experience should be valuable and meaningful, and we look forward to helping you engage at a higher level through a variety of relevant resources to enhance how you audit and provide impact to your organization.

Learn how to take advantage of all your benefits. To get started the smart way, please join us for a new member webinar and let us help you navigate The IIA’s many programs, products, and services.

We’ll provide you with an overview of relevant resources and your full benefits, which include connections to your local chapter and global network of peers, standards, guidance, certifications, training, events, savings… and we’ll highlight why your IIA membership means more.

Certification News
By Katherine Hogan, CPA, CIA, CFE, CRMA, CCSA

With the end of 2020 rapidly approaching (even though it still seems like March, right?!) it is time to think about CPE reporting and meeting your CPE requirements. If you still need your required ethics training for the year, you are in luck! The Albany Chapter of the IIA is presenting a socially distant, online ethics training that will not only help you meet your CPE requirements for the year, but will also provide four hours of entertainment for a very affordable price! Registration information can be found here: https://na.eventscloud.com/everydayethicist

This event is taking place on October 15, so register soon!

As a reminder, Pearson Vue and The IIA are still offering online testing options for the CIA and CRMA exams. Please visit the Online Proctoring website for more information.

As always, if you have any questions about information in this article, or about IIA certifications in general, please feel free to contact me at Katherine.Hogan@nystrs.org
Membership Corner
By Christopher Herald, CIA, CGAP

Please join me in welcoming the following individuals to the IIA Albany Chapter:

Italyia Circelli
Brian Coville
Eric Gray
Melissa Staib
Mary Taverne-Sykes
Lindsay Telisky

The IIA is a great resource for your career, and I urge everyone to take advantage of the resources the IIA provides to its members. Whether you decide to attend a local training the Albany Chapter provides at a discounted rate to its members, browse through articles in the IIA Bookstore (https://na.theiia.org/periodicals/Pages/Periodicals.aspx), or capitalize on any of the other numerous resources offered, the choice is entirely yours!

As always, if anyone has questions about their membership, or has a co-worker that would like more information about how to join the IIA Albany Chapter, please contact me and I will be happy to assist.

Chris Herald
Membership Chair
Chapter87@iiachapters.org
# SCHEDULE OF EVENTS

**2020 — 2021**

## Albany Chapter – Institute of Internal Auditors

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<tr>
<th>SEPTEMBER</th>
<th>OCTOBER</th>
<th>NOVEMBER</th>
<th>DECEMBER</th>
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<tr>
<td></td>
<td><strong>The Everyday Ethicist</strong>&lt;br&gt;October 15, 2020&lt;br&gt;4 CPEs</td>
<td><strong>Annual Fraud Conference</strong>&lt;br&gt;November 17, 2020&lt;br&gt;November 23, 2020&lt;br&gt;November 24, 2020&lt;br&gt;4, 8, or 12 CPEs</td>
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<th>JANUARY</th>
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<th>MARCH</th>
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<tr>
<td>TBD</td>
<td><strong>Certification Breakfast</strong>&lt;br&gt;No CPEs</td>
<td><strong>TCTC</strong>&lt;br&gt;7 to 21 CPEs</td>
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<td>Technology Conference&lt;br&gt;7 CPEs</td>
<td><strong>Spring CAE Roundtable</strong>&lt;br&gt;No CPEs</td>
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<td><strong>Awards Ceremony</strong>&lt;br&gt;No CPEs</td>
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**It’s your turn to speak**

Don’t forget to report your speaking hours to

**Cristina Hourigan**  
Email: CHourigan@osc.ny.gov