FBI Stories of White Collar Fraud

Speakers: FBI Special Agents & Financial Analyst

Two Federal Bureau of Investigation (FBI) Special Agents and one FBI Financial Analyst will share their real-life experiences investigating white collar fraud.

Location: The College of Saint Rose
Saint Joseph’s Auditorium
985 Madison Avenue, Albany, NY 12203

Date: Tuesday, October 6, 2015

Registration and Continental Breakfast: 8:30 – 9:00 a.m.
Program: 9:00 a.m. – 12:00 p.m.
Number of CPEs: 3

Pricing:
✓ IIA, AGA, ACFE, and ISACA members = $30
✓ Non-members = $40

To Register:
✓ Professionals: http://www.cvent.com/d/vrg6at
✓ Students: email Katherine Wall@WallK731@strose.edu

Note: We are reserving 50 seats for walk-ins
For college students with valid Student ID FREE!

Note: Street parking is limited. Attendees may use the College’s Commuter Parking Lot which is located on the left at the light at 1000 Madison Avenue.
President's Message
By David Robertson, CIA, CFE, CGFM, CGAP

As the chill of October starts to set in, we are putting away our grills and patio furniture, breaking out our sweaters, and indulging in pumpkin flavored everything – it’s a great time of the year!

It also means that the year is close to the end, so you better get your CPEs by December 31st. Short on CPE? No problem! The Albany Chapter has your back with the following upcoming programs on fraud related topics, which are promised to be not only informative, but also very interesting:

• “FBI Stories of White Collar Fraud” held on October 6, 2015, which offers 3 CPEs.

• “Fraud Conference” held on November 21st & 22nd, which offers between 7 and 14 CPEs.

Make sure to sign-up early to reserve your spot and get the early bird pricing.

I encourage you to visit the Chapter’s website for more events and news. All members are also invited to attend our monthly Board meetings held every second Wednesday of the month at 7:45AM at the office of the New York State Teachers’ Retirement System, 10 Corporate Woods Drive, Albany, NY 12211. It’s a great way to understand how the Chapter works and how you can get involved. I hope to see you there.

Kind Regards,

-Dave

IIA Webinars
The IIA offers free informational programs to its members on a variety of topics. Click here to obtain additional information and to register.

Financial Statement Fraud
October 20, 2015
1:00–2:00 pm
This session focuses on internal audit’s role in detecting financial statement fraud and how to develop a sustainable process to identify potentially fraudulent schemes. The session will explore elements of common financial misstatements and how to address them with effective risk assessment techniques based on key industry metrics and applicable use of Benford’s Law.
IIA Webinars (Cont.)

You will learn to:

• Understand changing patterns of financial statement fraud.
• Identify and evaluate financial statement fraud risk factors and industry norms.
• Design internal audit steps to help detect common financial statements and fraud schemes.
• Apply Benford’s Law to identify patterns that may indicate fictitious or missing documents.

IIA Introduces a New Designation

By Sandy Meadows, CIA, CGAP

In May of this year, the IIA announced a new designation, the Internal Auditor Practitioner (IAP). It is intended for new auditors as well as for individuals in an audit rotation. Requirements include passing the CIA Part 1 exam, six months of experience, and a character reference. Upon qualifying for the IAP designation, the individual receives a social media badge, which can be displayed to demonstrate the recipient’s knowledge of internal audit.

The designation expires after three years. The IAP designation can be used towards earning the CIA or specialty designations, such as the Certification in Risk Management Assurance (CRMA).

Already passed Part One of the CIA exam? If you passed within the past 24 months, you qualify for the IAP designation. Submit an application and once it is approved you will be granted the designation. You will then receive instructions (within 7-10 days) on how to claim your social media badge.

The application fee for IIA members is $100 ($200 for non-members), with an exam registration fee of $250. For those who’ve passed the exam and would like to obtain the designation, the registration fee is $50.
Visit the IIA website for full details, the application, and a list of FAQ and answers. [https://na.theiia.org/certification/CIA-Certification/Pages/Internal-Audit-Practitioner.aspx](https://na.theiia.org/certification/CIA-Certification/Pages/Internal-Audit-Practitioner.aspx)

For more details on the social media badging program, check out the article in the September 2015 issue of the Chapter newsletter.

Also note that there will be a CGAP application fee waiver running this month (October). This is up to a $200 savings! [https://global.theiia.org/certification/CGAP-Certification/Pages/CGAP-Certification.aspx](https://global.theiia.org/certification/CGAP-Certification/Pages/CGAP-Certification.aspx)

Membership Corner

By Christopher Herald, CGAP

Please join me in welcoming the following individuals to the IIA Albany Chapter:

- Kevin Burke
- Olga Cross
- Omar Diaz
- Tara Dooley
- Courtenay Harms
- Taiwo Osipitan
- Bridget Shoop
- Lia Simone
- Marisa Sutliff

The IIA is a great resource for your career, and I urge everyone to take advantage of the resources the IIA provides to its members. Whether you decide to attend a local training the Albany Chapter provides at a discounted rate to its members, browse through articles in the IIA Bookstore ([https://na.theiia.org/periodicals/Pages/Periodicals.aspx](https://na.theiia.org/periodicals/Pages/Periodicals.aspx)), or capitalize on any of the other numerous resources offered, the choice is entirely yours!

If anyone has questions about their membership, or has a co-worker that would like more information about how to join the IIA Albany Chapter please contact me and I will be happy to assist.

Chris Herald- Membership Chair

cherald@osc.state.ny.us
The Chapter Achievement Program is designed to recognize activities that promote the strategic objectives of The IIA. This program provides minimum standards of performance for providing service to our Chapter members, providing service to the internal auditing profession and for administering the activities of the Chapter.

The Program emphasizes the basic mission of the Chapter, encourages Chapters to perform that mission, and provides Chapters with a means to evaluate their success in accomplishing that mission.

Congratulations to Albany Chapter for earning a Ruby status! 🪔

**Targets:**
- **Bronze** 685
- **Silver** 1,060
- **Gold** 1,560

<table>
<thead>
<tr>
<th>Category</th>
<th>Minimum for Bronze</th>
<th>Chapter Points</th>
<th>% Achieved</th>
<th>Balance to Minimum</th>
</tr>
</thead>
<tbody>
<tr>
<td>I. Service to Members</td>
<td>325</td>
<td>35</td>
<td>11%</td>
<td>290</td>
</tr>
<tr>
<td>II. Service to Profession</td>
<td>200</td>
<td>269</td>
<td>134%</td>
<td>Achieved!</td>
</tr>
<tr>
<td>III. Chapter Administration</td>
<td>160</td>
<td>81</td>
<td>51%</td>
<td>79</td>
</tr>
<tr>
<td>Totals</td>
<td>685</td>
<td>385</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Don’t forget to report your speaking hours to Joel Biederman!

**JBiederman@OSC.state.ny.us**

Or Phone: (518) 402-2487
Schedule of Events

September
September 22, 2015
Mystery Fraud Training
3 CPEs

October
October 6, 2015
FBI Stories of White Collar Fraud
3 CPEs

November
November 23–24, 2015
Fraud Conference
7 to 14 CPEs

December
Holiday Luncheon
No CPEs

January
Technology Conference

February
Certification Roundtable

March
March 14–16, 2016
TCTC
7 to 21 CPEs

April

May
Planning Session

June
Awards Ceremony