Mystery Fraud Training

Attendees will sit down to a meal to find they have been hired by a fictitious auditing and fraud examining firm. They will participate in evaluating evidence, interviewing suspects, and working on a live case to resolution. Training objectives include interviewing skills, data analysis, planning and scoping, team building, and general fraud examination skills.

Speaker:
Tim Hungerford, CPA, CFE, CIA, CMA, CISA, CGAP, CGMA

Tim is Managing Partner and President of Hungerford Vinton, LLC, a specialized auditing firm located in Rochester, NY. The organization is a NYS peer-reviewed CPA firm that has been in existence since 1997. He is very active in the Rochester Chapters of the Institute of Internal Auditors and Institute of Management Accountants. Tim serves on several accounting advisory boards, and serves as an adjunct professor of the SUNY Brockport’s Master’s in Forensic Accounting program. He received his BBA in Accounting from Niagara University and his MBA in Finance from the Rochester Institute of Technology.

Venue:
Normanside Country Club
150 Salisbury Rd.
Delmar, NY 12054

Event Time:
September 22, 2015; 9:00 am – Noon

RSVP Date:
September 20, 2015

Registration:
8:30 am – 9:00 am

Meal:
Assorted pastries, danish, croissants, orange juice, coffee, and hot tea

Number of CPEs:
3

Prices:
$35 for IIA, AGA, ACFE, and ISACA members;
$40 for non-members.

Registration:
http://www.cvent.com/d/1rqgv3/1Q
President's Message
By David Robertson, CIA, CFE, CGFM, CGAP

It’s hard to believe that summer is already over…The good news is, when summer is over the Albany Chapter’s program of events gets into full swing! Our chapter volunteers are working hard to put on quality educational events that not only provide CPEs, but are also interesting and beneficial. In fact, this month the Chapter will be presenting the Mystery Fraud Training, which is a fun and interactive training that will hone your fraud examination skills. I encourage all of you to sign up; it will be a very informative and fun event.

In other news, the Chapter was recently awarded Platinum status (highest available) by the IIA in recognition of the contributions the Chapter has made to the profession and to the membership in 2014-2015. This award is a testament to the dedication of our volunteers, the support of our members, and the dedication of the Immediate Past President - Tina Massaconi.

Are you interested in volunteering in the Chapter or understanding how it operates? All members are cordially invited to attend the monthly Board meetings held the second Wednesday of every month at 7:30 am. The meetings are held at the offices of the New York State Teachers’ Retirement System, 10 Corporate Woods Drive, Albany, NY 12211. Hope to see you there!

Kind Regards,
-Dave

IIA Webinars
The IIA offers free informational programs to its members on a variety of topics. Click here to obtain additional information and to register.

**Cybersecurity: The Potential Impact of Pending Legislative and Regulatory Action**
September 15, 2015

1:00–2:00 pm
Internal auditors must understand the impact — both in terms of risks and benefits — that cybersecurity policy and the need for regulatory compliance can have on those entities being audited.
IIA Webinars (Cont.)

In this session, participants will:

◆ Review the current U.S. legal framework governing cybersecurity, focusing on select sector-specific issues, and the steps organizations can take to protect their information assets and minimize legal risk.

◆ Evaluate current legislative and regulatory cybersecurity proposals

◆ Explore cybersecurity developments in the EU and implications for U.S. entities, especially those that manage personal information on a global basis.

Data Analytics in Gaming

September 22, 2015
2:00–3:00 pm

Data analysis is a huge trend in business today. It is a tool to help companies discover useful information, lend support for direction of business operations, aid in the decision making process, and assist in monitoring for compliance. Auditors have tools to analyze large quantities of data and these are useful tools to help gain coverage for audits. However, these tools can also work for the business.

This webinar will discuss easy ways to integrate the use of data analysis tools into gaming audits. Also, hear ways to turn auditing tools into operational controls.

Learning Objectives

In this session, participants will:

◆ Review examples of where and how data analysis in gaming audits can be used.

◆ Learn ways to turn auditing tools into operational controls.

◆ Identify challenges to teaching both auditors and operations teams how to use analysis results for maximum impact.
If you are an IIA certification holder, you may have recently received an email from a company called Acclaim asking you to claim your digital certification badge. Don’t worry, it’s legit! The IIA has partnered with Acclaim, which is a Pearson Vue company, to issue and manage “social badging” for IIA certifications and qualifications. You are likely saying to yourself right now: “What in the world is social badging!?” Excellent question! Social badging is a way to display your certification on professional/social networking sites like LinkedIn and Facebook. It allows interested parties to view your certificate, understand the requirements/benefits of the certification, and obtain verification that it is valid and was indeed issued by the IIA. It is similar to hanging your certificate on the wall of your office, but instead you are hanging it in your digital “office”. The IIA touts the following benefits of social badging:

- A web-enabled version of your credential(s).
- An overview of the skills required for the credential(s).
- A secure means of storing and publishing your credential(s).
- A way for employers to verify your credential(s).

This is a free service provided as part of your IIA membership and is a great way to inform people about not only your own professional accomplishments but educate them on the benefits of IIA certifications. Make sure to claim your badge!

Membership Corner
By Christopher Herald, CGAP

Please join me in welcoming the following individuals to the IIA Albany Chapter:

Zubair Rahman
Jim Boudreau
Sue Arpei
Daniel Murphy
Arnold Blanck
Risc Aiken
Sammy Obeng
Mike White

If anyone has questions about their membership, or has a co-worker that would like more information about how to join the IIA Albany Chapter please contact me and I will be happy to assist.

Chris Herald - Membership Chair
cherald@osc.state.ny.us
Membership Corner (Cont.)

Instructions for Updating Profile:

Use the link: [https://na.theiia.org/Pages/IIAHome.aspx](https://na.theiia.org/Pages/IIAHome.aspx) and log into your account. Then click on “My Profile Update your account information”.

Ensure the profile information on the next web page is current; make corrections as necessary.

Next, click on “My Preferences” under “Contact Information”. Make sure that the only boxes checked are those that you want to opt out of. In order to take advantage of everything the IIA has to offer, you should limit the number of boxes you check.

After you log out, I would recommend you log back in to ensure your profile information is updated. Lastly, remember to send me a brief email with “IIA member verification complete” in the subject line.

To join the IIA Albany Chapter, please contact me and I will be happy to assist.

Chris Herald - Membership Chair
cherald@osc.state.ny.us

CAP Corner

By Kristina Massaconi

The Chapter Achievement Program is designed to recognize activities that promote the strategic objectives of The IIA. This program provides minimum standards of performance for providing service to our Chapter members, providing service to the internal auditing profession and for administering the activities of the Chapter. The Program emphasizes the basic mission of the Chapter, encourages Chapters to perform that mission, and provides Chapters with a means to evaluate their success in accomplishing that mission.

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Schedule of Events

2015 - 2016

**September**
September 22, 2015
- **Mystery Fraud Training**
- 3 CPEs

**October**
- November 23–24, 2015
- **Fraud Conference**
- 7 to 14 CPEs

**November**
- **Certification Roundtable**

**December**
- **Holiday Luncheon**
- No CPEs

**January**
- **Technology Conference**

**February**
- **Planning Session**

**March**
March 14–16, 2016
- **TCTC**
- 7 to 21 CPEs

**April**
- **Awards Ceremony**

**May**
- **Planning Session**

**June**
- **Awards Ceremony**

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Don’t forget to report your speaking hours to

Joel Biederman!

JBiederman@OSC.state.ny.us

Or Phone: (518) 402-2487