APRIL 2014

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The IIA International Internet Address:
http://www.theiia.org

The IIA Albuquerque Chapter Internet Address:
https://chapters.theiia.org/Albuquerque/Pages/default.aspx

Facebook:
www.facebook.com/abq.iia
Chapter Achievement Program

Some of you may be familiar with The IIA’s Chapter Achievement Program (CAP). This program helps provide guidance to IIA Chapters and Chapter Boards of Governors as to where they should focus their efforts throughout the year. Through the CAP, Chapters are awarded points for various activities that fall under three categories: Service to Members, Service to Profession, and Chapter Administration. Chapters must achieve a minimum number of points in each category; once they have reached the category minimums, their total number of points for the Chapter year earns them a designation of Bronze, Silver, Gold, or Platinum. Platinum status can only be achieved by Chapters who earn Gold for ten out of 11 consecutive years.

As the role of the Chapter is primarily to serve its members, it is natural that the category with the highest number of minimum required points is the Service to Members category. The majority of points in this category are awarded based on the number of CPE hours offered by the Chapter each year and the number of members who attend the training events. Service to Profession requires the second-highest number of minimum points, highlighting the important role Chapters can and should play in advocating for the profession of internal auditing, both at a local and national level. Chapter Administration requires the fewest number of minimum points, but is important in ensuring that Chapters submit the required reports to IIA HQ so that proper governance over the Chapters can be maintained.

I am proud to say that The Albuquerque Chapter has been a long-time Platinum Chapter, and this year is no exception. With over a month still remaining in our Chapter year, we have once again earned enough points to achieve Gold/Platinum status. Our work will not stop there, however. Among other things, we still have one more training event scheduled for this year and plan to participate in International Internal Audit Awareness Month in May (details to come in next month’s newsletter).

If you are an IIA member, your activities can help the Chapter earn points too. Here are some of the most common ways IIA members can earn points:

- Speak to a group on a topic related to internal audit (must be a non-paid speaking engagement)
- Participate in a Junior Achievement program at a local school
- Participate on an IIA-sponsored Quality Review team
- Submit an article to Internal Auditor magazine, or be published in another professional journal

If you have done any of these activities over the last ten months, please send me an email with some details so that we can add them to our CAP report. Thank you, and congratulations to our Chapter and our Board of Governors on another successful year!

Sabrina Greinel, IIA Albuquerque Chapter President

IIA Albuquerque Chapter Calendar of Events

Recent Events

IIA Albuquerque Chapter Board of Governors Meeting…………………………………April 9th

IIA Albuquerque Chapter Spring Seminar
………………………………………………March 27th & 28th

Upcoming Events

IIA Albuquerque Chapter Quarterly Meeting
(See below)……………………………………May 7th
**IIA Quarterly Meeting:**

**Search Engines: How They Work and Why Auditors Should Care**

**Date:** Wednesday, May 7, 2014

**Time:** 12:15 to 4:30 PM (registration begins at 11:30)

**Location:** New Mexico Educators Federal Credit Union Training Center, 4100 Pan American Freeway NE, Building B, Albuquerque

**Cost:** $100 members; $125 non-members  ■  **CPE:** 4 hours

**Instructor:** Peter Chew, D.Phil, CPA, CFE

**RSVP:** by Friday, May 2, 2014 at [https://iiaabqsearchengines.eventbrite.com](https://iiaabqsearchengines.eventbrite.com)

**In this course, you will:**

- Understand what “Big Data” is and how search engines evolved.
- Learn about Data Bases and SQL.
- Be introduced to the role of data mining.
- Understand the importance of data in the audit process and the application of various types of approaches in solving real audit problems.

**Speaker Bio**

Dr. Peter Chew is a Principal Investigator with Galisteo Consulting Group, Inc. in Albuquerque, NM. Through his work with Galisteo, he provides services in data and text analytics, national security, and business process improvement to clients in the public and private sector. Dr. Chew's current work includes projects focused on making sense of large datasets, including cutting-edge and patent-pending applications of statistics and informatics to improve analytic financial processes such as account reconciliation. His work also includes data analytics to support fraud investigations, and research into the cultural and linguistic aspects of national security.

Prior to Galisteo, Dr. Chew served as a Senior Manager at Moss Adams (formerly Neff + Ricci) and at Price Waterhouse in the UK and Russia, where he participated in financial and technology audits of a variety of local and international organizations. Dr. Chew also served for over 5 years on the technical staff at Sandia National Laboratories, leading original research and development into the application of linear and multi-linear algebraic analytic techniques to natural language, in particular multilingual text.

Dr. Chew has a B.A. in Russian Language and Literature with subsidiary Polish from the School of Slavonic and East European Studies at the University of London, and a M.St. in Slavonic Studies and a D.Phil. in Computational Linguistics from the University of Oxford.

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**Membership News**

**Welcome New Members**

The Albuquerque Chapter Board of Governors would like to welcome the following new members to the Chapter:

- Veronica Barraza, *Sandia National Laboratories*
- Linda Scott, *Sandia National Laboratories*
- Kathryn Bach, *City of Albuquerque*

We look forward to meeting you at a future Chapter event!

If you would like to join or renew your membership, please contact the VP of Membership, Marisa Vargas at mvarga@sandia.gov or visit [https://na.theiia.org/membership/Pages/Membership.aspx](https://na.theiia.org/membership/Pages/Membership.aspx).
**Free IIA Gift Membership**

The IIA will award a one-year regular membership to any non-member who attends an IIA Headquarters-sponsored seminar, on-site training course, or conference. By remitting the non-member price upon registration, you are eligible for a free one-year gift membership. Please see [https://na.theiia.org/membership/Pages/Special-Offers.aspx](https://na.theiia.org/membership/Pages/Special-Offers.aspx) for more information.

**Annual Member Survey – Watch for It!**

We will be sending out the Annual Member Survey soon and we would like to request your feedback. Your input is valuable to us as it helps us plan events, trainings, and other activities that are beneficial to you, particularly as we consider some big changes to our annual events calendar for next year. Check for it in your email and please complete it at your earliest convenience. Thanks!

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**Announcements**

**Gleim CIA Examination Study Books**

The IIA Albuquerque Chapter recently received for the Chapter library the latest edition of Gleim study books for the new 3-part CIA exam. If you wish to check out a book please contact Robert Rimbert, Chapter Librarian and Historian, at Robert.Rimbert@pnmresources.com. The library also has other reference materials available for use by IIA members. Please see the attached file for a list of books held in our library (as of Sept. 2013).

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**May is International Internal Audit Awareness Month!**

As an internal audit professional, you play an important role in raising awareness and elevating the profession. Whether you are an active IIA member or new to internal auditing, there’s something you can do to raise awareness.

For example, you can customize your email signature and social media accounts with the International Internal Audit Awareness Month digital icon (depicted at right). You can hold a lunch-and-learn in your workplace to dispel myths about internal auditing and explain the value that a well-resourced, independent internal audit function can provide. You can also distribute sweet treats or tokens to coworkers with an educational flier about the profession.

Don’t let the opportunity pass to advocate the importance of internal auditing to your coworkers and other stakeholders. For additional ideas, sample programs, tips, and templates, download the free Building Awareness Toolkit from The IIA’s website.
For those of you who are members of ACFE, AGA, or ISACA, these upcoming events may be of interest to you:

**ACFE:** The ACFE-NM chapter monthly meetings are held on the last Tuesday of each month, beginning at 11:30 AM, at the NM Educator’s Federal Credit Union Training Center at 4100 Pan American Freeway NE, Building B, in Albuquerque. The next meeting will be on **April 29**th. The cost for one hour of CPE is $20 for ACFE-NM members and $25 for non-members. Lunch is provided. RSVPs are due the Friday before the Tuesday meetings (**April 25**th). The presenters for the upcoming months are shown below. Please contact Beth Mohr at 505-554-2968 or bmoehr@themchardfirm.com for more information.

**Upcoming Speakers for ACFE:**
April – Jon Word, Albuquerque business owner and fraud-victim-turned-investigator will tell his fascinating story in a case study format.
May – Jeffery F. Speidel, Department of Justice, United States Trustees Office – Examples of Bankruptcy Fraud
June – No ACFE-NM meeting, due to the ACFE Annual Conference, June 15-20th in San Antonio, Texas.

**AGA:** The next AGA luncheon is scheduled for Wednesday, April 16th from 11:30am until 2pm at the NM Educators Federal Credit Union Training Center at 4100 Pan American Freeway NE, Building B, in Albuquerque. Doug Otts from Sandia National Laboratories will present an overview of Steven M.R. Covey’s *Speed of Trust*. Leading at the Speed of Trust introduces powerful tools to help leaders improve trust, the trust people have in them, and the trust on their respective teams. Cost to attend is $15 for members and $20 for non members. Please contact Robi Gonzales at Robi.Gonzales@state.nm.us for registration information.

**ISACA:** Please join New Mexico ISACA for our Annual General Meeting and Election of Officers for the upcoming program year!
New Mexico ISACA® appreciates the efforts of its all-volunteer board and especially the contributions of our volunteer speakers. Members, speakers, family, and friends are welcome to this event which closes out a successful 2013-2014 program year.
Date: Thursday, **May 15, 2014**
Location: Chama River Brewing Co.
Time: 5:45 to 8:30 PM
Cost: FREE for New Mexico ISACA members, $10 for guests
Reservations: Please RSVP with Jaime Rumbaoa at Jaime.Rumbaoa@mossadams.com or 505-878-7245 by 3pm on Monday, **May 12, 2014**.

Save the date for the upcoming June 10th (Tuesday) luncheon meeting. The speaker and location to be determined. Contact Lew Witz at lwitz@cabq.gov or 768-3166 for more information.

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**The Editor’s Corner**

Greetings! For more information on any of the information in this newsletter, or if you cannot open up a link, go to our chapter website. The address is shown on page one above. It will list upcoming events, Board member addresses, current news, past newsletters, a link to The IIA International, and more!

Please submit newsletter articles for May 2014 no later than **Tuesday, May 6**th. If you would like to have included in future newsletters upcoming events from other organizations, such as AICPA, AGA, and ALGA, please let me know. Thank you!

*Michael Hansen, Newsletter Editor, mikehansen22@comcast.net*