OCTOBER 2013

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The IIA International Internet Address:
http://www.theiia.org

The IIA Albuquerque Chapter Internet Address:
https://chapters.theiia.org/Albuquerque/Pages/default.aspx

Facebook:
www.facebook.com/abq.iia
**Call for Volunteers**

Last month I talked about the strategic goals and objectives of The IIA Albuquerque Chapter Board of Governors as we continually look for ways to serve you, our membership, and represent and promote the profession of internal auditing. Being on the Board is a great way to get involved and help shape the work the Chapter does. But there is another, larger-scale way to get involved: volunteer with The IIA at the national or international level. The IIA is currently seeking qualified IIA members to serve in various leadership positions for 2014-2015. If you are passionate about your profession, have great ideas you want to share about internal auditing or The IIA, and/or have a desire to make contributions that may impact the day-to-day functions of internal auditors, The IIA wants you!

The IIA is seeking qualified members to serve in the following leadership roles:

- Executive Officers
- Board of Directors
- North American Board
- The IIA Research Foundation Board of Trustees
- Members to serve on one of 15 Committees:
  - Academic Relations
  - Committee of Research and Education Advisors
  - Exam Development
  - Global Advocacy
  - Global Ethics
  - International Conference
  - International Internal Audit Standards Board
  - Institute Relations
  - Learning Solutions*
  - North American Advocacy*
  - Professional Certifications Board
  - Professional Issues
  - Publications Advisory
  - Public Sector
  - Regional Conference*

Nominations for 2014-2015 should be completed and submitted electronically by November 1, 2013. If you are elected or appointed to serve, your term will begin in April 2014 or July 2014. Nominations are accepted from IIA Institutes and Chapters. IIA members who know of interested candidates, or candidates themselves. However, more weight is usually given to nominations endorsed by an Institute or Chapter.

If you are interested, you can review the information at [https://na.theiia.org/about-us/Pages/Call-for-Volunteers.aspx](https://na.theiia.org/about-us/Pages/Call-for-Volunteers.aspx) to get more details on the benefits and requirements of volunteering with The IIA. If you would rather start small, The IIA Albuquerque Chapter Board of Governors is always looking for volunteers as well. Beginning in December, we will be compiling our slate of Officers and Governors for the 2014-2015 year, and we are always happy to have new members on our Board with an enthusiasm for internal audit and a willingness to donate a small amount of their time. If you have questions about volunteering on the Albuquerque IIA Board, including available positions and what volunteering entails, please feel free to call or email me. I look forward to hearing from you.

**Sabrina Greinel,**  
**IIA Albuquerque Chapter President**
IIA Albuquerque Chapter Calendar of Events

Recent Events

Audit Executive Roundtable – Discussion on Reporting…………September 25th
IIA-Albuquerque Board of Directors Meeting……………………………August 22nd
IIA-Albuquerque Board of Directors Meeting……………………………August 22nd

Upcoming Events (more info below)

Quarterly Meeting – Lean Training for Auditors …………October 16th
(See below for details)
Risk Assessment Seminar……………………November 7th and 8th
(See below for details)

IIA Quarterly Meeting: Lean Training for Auditors

Date: Wednesday, October 16, 2013
Time: Registration and lunch begin at 11:45 am
Presentation 12:30 – 4:30 pm
Location: CNM Workforce Training Center, 5600 Eagle Rock Ave. NE, Albuquerque, NM
CPE: 4 technical hours
Cost: FREE for members; non-members $100
Register by visiting the event website at http://iiaabqleantraining.eventbrite.com/
By noon on Monday, October 14th

LATE REGISTRATIONS WILL NOT BE ACCEPTED

Instructor: Randy Simons, Management Systems Director, PNM Resources

Instructor Bio:

Mr. Randy Simons is Director of Management Systems for PNM Resources, an energy holding company headquartered in Albuquerque. Through its utilities PNM and TNMP, PNM Resources serves electricity to 859,000 homes and businesses in New Mexico and Texas.

At PNM Resources, Mr. Simons is responsible for the company's enterprise-wide continuous improvement initiative. His organization is the Center of Excellence for Process Improvement, Project Management, and Analytics. Prior to working for PNM Resources, Mr. Simons spent 6 years at Honeywell as a Senior Technical Manager responsible for Engineering/Operations where he was certified as a Lean Expert. Mr. Simons also spent 10 years with Motorola where he was Six Sigma Black Belt trained.
**IIA Risk Assessment Seminar**

On November 7th and 8th IIA-Albuquerque is sponsoring a two-day training on risk assessment. The training will be conducted by Raven Catlin, of Raven Global Training LLC, and the topic is “Risk Assessment & Audit Engagement Planning.” Participants learn about the annual risk assessment process for the audit engagement, and will be able to build a strong foundation in risk and control concepts.

**Date:** Thursday and Friday, November 7th and 8th, 16 hours CPE  
**Time:** Registration at 7:30 AM, Seminar begins at 8:00 AM  
**Location:** CNM Workforce Training Center, 5600 Eagle Rock Avenue NE, Albuquerque  
**Tentative Pricing:** $280 members, $380 nonmembers (lunch included)  
**RSVP:** By Friday, November 1st to abq.iiia@gmail.com

If you have questions, please contact Dhavel Patel at Dhaval.patel@mossadams.com, or Tyler Zundel at tyler.zundel@mossadams.com. You may also contact Allen Leatherwood at 224-4567 or Aleatherwood@cnm.edu.

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**Membership News**

**Welcome New Members!**

The Albuquerque Chapter Board of Governors would like to welcome the following new members to the Chapter:

- Laura Maestas, *City of Albuquerque*
- Rushin Patel, *United States Department of Energy*
- Theodore Studerus, *United States Department of Energy*
- Jason Kugler, *NNSA*
- Sabrina Amador, *Lea County State Bank*
- Deborah Penaluna, *Eddy County*
- Arelene Stamper, *United States Department of Energy*
- Jennifer Montoya, *Pueblo of Pojoaque*

We look forward to meeting you at a future Chapter event!

**Free IIA Gift Membership**

The IIA will award a one-year regular membership to any non-member who attends an IIA Headquarters-sponsored seminar, on-site training course, or conference. By remitting the non-member price upon registration, you are eligible for a free one-year gift membership. Please see [https://na.theiia.org/membership/Pages/Special-Offers.aspx](https://na.theiia.org/membership/Pages/Special-Offers.aspx) for more information and special offers!
**Updating Your IIA Member Information**

If you need to update your personal or contact information, please visit the IIA’s website. Go to [www.theiia.org](http://www.theiia.org). Once you are logged in, look into your upper right hand corner and click on ‘Profile’ and select ‘My Profile.’ Now you are in the ‘My Information’ section and can edit your personal, address, and contact information by clicking the ‘Edit’ button. You can also update your contact preferences by clicking ‘My Preferences.’ If you need assistance, please feel free to contact Marisa Vargas at mvarga@sandia.gov.

**Membership Processing Fee Waiver for Non-member CIA Candidates ($25 Value)**

IIA members receive reduced registration and exam fees as well as all of the other benefits of membership. If you’re a non-member preparing to register for the CIA exam, consider becoming a member before you register to take advantage of this special offer.

Call The IIA at 407-937-1111 prior to registering for the CIA exam and mention discount code EXAM. The IIA will process your membership and waive the $25 processing fee.

Please see [https://na.theiia.org/membership/Pages/Special-Offer.aspx](https://na.theiia.org/membership/Pages/Special-Offer.aspx) for more information and special offers.

**Benefits of Membership**

The IIA Albuquerque Chapter Board of Governors would like to remind you that there are many valuable benefits available to IIA members, including:

- Guidance and resources available to members to advocate their role with key stakeholders
- Advice and resources geared to those looking for, or expanding on, careers in the internal audit profession
- Special members-only rates on hundreds of products
- Special pricing on certification exam parts and registration
- Enjoy complimentary downloads of the IPPF — Standards, Code of Ethics, Practice Advisories, Practice Guides, and Position Papers
- Access videos related to the profession on AuditChannel.tv
- Members-only rates on conferences and seminars, as well as executive development through Vision University, can save you hundreds of dollars
- Take full advantage of networking opportunities with other IIA members at Chapter events and meetings
- Enjoy special pricing on CPE events offered by The IIA Albuquerque Chapter

If you would like to join or renew your membership, please contact the VP of Membership, Marisa Vargas at mvarga@sandia.gov or visit [https://na.theiia.org/membership/Pages/Membership.aspx](https://na.theiia.org/membership/Pages/Membership.aspx).
IIA Website

For more information about The IIA Albuquerque Chapter, please visit https://chapters.theiia.org/Albuquerque/Pages/default.aspx. In addition to information about all of our upcoming Chapter events, it also has local career opportunities and links to various parts of The IIA International’s website. Further enhancements to the website will be made throughout the year, so please check it frequently!

Connect with The IIA Albuquerque Chapter on Social Media

The IIA Albuquerque Chapter is on Facebook! Please stop by www.facebook.com/abq.iia and ‘like’ us to catch up on all the latest chapter news, events, and information.

In addition, The IIA Albuquerque Chapter has its own LinkedIn members-only Group page. To access The IIA Albuquerque Chapter group page, a member needs to first create an account. Simply go to www.linkedin.com to build your profile. It is free of charge and you just need a working e-mail address. Once you have created your account, you can fill in your information, set up privacy settings, and connect with people as you wish. Then, look for us under Institute of Internal Auditors – Albuquerque Chapter.

Gleim CIA Examination Study Books

The IIA Albuquerque Chapter just received for the Chapter library the latest edition of Gleim study books for the new 3-part CIA. If you wish to check out a book please contact Robert Rimbert, Chapter Librarian and Historian, at Robert.Rimbert@pnmresources.com. The library also has other reference materials that you may use. Please see the attached file for a list of books held in our library (as of September 2013).

American Center for Government Auditing

In early 2014, The IIA will be launching the new American Center for Government Auditing (ACGA). According to IIA President Richard Chambers, it is intended to better serve the needs of government auditors. Keep an eye out for more information in the coming months. To read the IIA news article, go to: https://na.theiia.org/news/press-releases/Pages/IIA-Hires-Director-for-Future-Government-Audit-Center.aspx

Academic Relations Update

UNM Career Fair

On September 18th, the Albuquerque chapter staffed an IIA booth at the University of New Mexico Accounting Career Fair. The event was a great opportunity to network with some of our local students and informs them of the numerous career opportunities in Internal Audit. There was an excellent turnout of students, and we received
several requests to receive our local IIA Newsletter, obtain information on IIA Certifications, learn more about IIA Student Membership, and even participate with our local Board of Directors. Thank you to all who participated, the event was definitely a success!

**Academic Scholarships**

As you may know the Albuquerque IIA chapter awards four $500 scholarships to local university students every year. Eligibility requirements are enrollment for the Fall 2013 and Spring 2014 semesters as a full-time undergraduate or graduate student at the University of New Mexico, as well as being enrolled in a course of study designed to lead to a degree in a Bachelor of Business Administration or Master of Business Administration with concentration in Accounting, Finance, Information Assurance, Management Information Systems, or a Master of Accountancy. The application deadline recently passed, and we have received several applications from some outstanding students; it is going to be a tough task to choose the four recipients form this well qualified pool! The scholarship committee is currently in the process of reviewing candidates and will present the scholarships at our annual winter social event.

**Student Membership**

The Institute of Internal Auditors is *the* professional association for internal audit professionals, providing networking, training, certification, and guidance to 180,000 members throughout the world. So why wait to join? If you are currently a student, now is the perfect time to take advantage of a student IIA membership rate of $35 (50% off the standard student annual membership price of $70). If you or someone you know is interested or would like more information, please visit [https://na.theiia.org/membership/Pages/Student-Membership.aspx](https://na.theiia.org/membership/Pages/Student-Membership.aspx), or contact Mike Padilla, Albuquerque Chapter Academic Relations Chair, at pmichael764@yahoo.com. This is a great opportunity to take advantage of the all perks the IIA has to offer at a significant discount, but don’t delay — the offer expires on Oct. 31, 2013.

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**Upcoming Events of Other Organizations**

For those of you who are members of ACFE, AGA, or ISACA, these upcoming events may be of interest to you:

**ACFE:** The next ACFE monthly meeting is scheduled for Tuesday, **October 29, 2013**, at 11:30 A.M. at the NM Educator’s Federal Credit Union Training Center, at 4100 Pan American Freeway NE, Building B, in Albuquerque. The cost for one hour of CPE is $20 for ACFE-NM members and $25 for non-members; the meeting includes a box lunch. The presenter is Nathan Hunt MBA, CFE, Ethics and Compliance Manager for WellPoint, Inc., and the topic is "Case Studies in Private Industry." The presentation will discuss lessons and observations from conducting investigations. Mr. Hunt has been involved in law enforcement referrals, depositions, whistleblower defense, regulator disclosures and other investigation related follow-up. The RSVP deadline is October 25th. Please contact Beth Mohr at 505-554-2968 or bmohr@themchardfirm.com for more information.

**ISACA:** The next ISACA meeting will be on **November 5th**, from 11:30 AM to 1:00 PM. The topic will be “Identity Access Management (IAM) – Challenges and Best Practices.” The presenter will be Todd Rossin, Founder and Managing Director of IDMWORKS. The location will be the La Luz Room, New Mexico Educators Credit Union Training Facility, 4100 Pan American Freeway NE, Building B, Albuquerque. The member price (which is also available to members of IIA, ISSA, IMA, and AGA) is $25. The non-member price is
$30, and the student price is $10. The price includes lunch. This event will provide 1 hour of CPE to participants. Contact Mr. Lew Witz at 505-768-3166 or lwitz@cabq.gov for more information, or go to http://www.isaca.org/New-Mexico. RSVP to Mr. Jaime Rumbaoa at Jaime.rumbaoa@mossadams.com or call (505) 878-7245 by November 4, 2013.

**AGA:** The October monthly Albuquerque AGA luncheon will be held on Wednesday, October 16 at New Mexico Educators Federal Credit Union. Bill Kubistal, Partner with Kearney & Company, will be presenting on the Government Shut Down and the Effects at a Federal, State, and Local level. The luncheon is from 11:30am - 1:00pm and will provide 1 credit hour of CPE. The price for AGA members is $15 and nonmembers are $20. Interested individuals may contact Laura Beltran-Schmitz (laura.beltran-schmitz@claconnect.com) for the invite and registration link.

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**The Editor’s Corner**

Hi Folks! For more information on any of the information in this newsletter, or if you cannot open up a link, go to our chapter website. The address is shown on page one above. It will list upcoming events, Board member addresses, current news, past newsletters, a link to The IIA International, and more!

Please submit newsletter articles for November 2013 no later than Wednesday, November 6th. If you would like to have included in future newsletters upcoming events from other organizations, such as AICPA, AGA, and ALGA, please let me know. Thank you!

*Michael Hansen, Newsletter Editor mikeshansen22@comcast.net*