Chapter Goals

The IIA Albuquerque Chapter Updates Its Strategic Goals

Every year, the Chapter President, with input from the Board of Governors, completes a long-range plan for the Chapter that is submitted to IIA HQ. The long-range plan includes ways the Chapter will support the goals of The IIA, as well as lists goals and strategies that are specific to The Albuquerque Chapter.

This year, The Albuquerque Chapter’s goals are:

- Engage with the university student population in Albuquerque in order to advocate for the profession of internal auditing.
- Promote certifications offered by The IIA and be a resource to those members pursuing a certification.
- Ensure our members receive exceptional value for their membership dues.
- Offer a robust CPE training program with well-known national trainers who offer cutting-edge technical and soft skill topics that enhance our members’ technical knowledge and experience.

As the title “long-range” implies, these goals shouldn’t change much from year to year, though the strategies and tactics for meeting the goals may be updated and refreshed as needed. For example, in addition to our historical methods of supporting and interacting with students through scholarships and at the annual Anderson Schools of Management career fair, the Chapter is looking to get more involved with Beta Alpha Psi this year, as well as with the student chapter of the Association of Certified Fraud Examiners that was recently created at UNM.

If you have other ideas on ways the Chapter can help meet these goals, we want to hear them! Please feel free to reach out to any of our Board members on these topics.

Upcoming Events
Check here to see what The IIA Albuquerque Chapter has planned for the next several months.  

Other NM Professional Training
Check here for relevant upcoming training from ISACA – New Mexico Chapter. 

Welcome New Members
Please help us welcome the new members of our Chapter. 

Another Year in the Books
Read about the results of the Chapter’s most recent annual audit. 

CAP Corner
Follow the Chapter’s progress in The IIA’s Chapter Achievement Program. 

Chapter and Board Contact Info
Need to reach a Board member, or want to follow us on social media? Find all the information you need here.
IIA Albuquerque Chapter Upcoming Events

September 2014

Audit Executive Roundtable

This event is exclusively for IIA Albuquerque Chapter supervisors, managers, directors, and CAEs to come together for networking with other audit executives, an informative dialogue, and 1 hour of CPE.

Please join your peers for a roundtable discussion on two relevant topics:

- Audit Committee Expectations and Communication, and
- Maintaining Independence While Meeting Management’s Needs for Assistance

Date/Time: Tuesday, September 30, 2014 7:30 – 9:00 am
Cost: $20; includes 1 hour CPE and breakfast (members only, please)
Location: Marriott Courtyard at Journal Center 5151 Journal Center Blvd NE, Albuquerque

There is no front-of-room presentation for this event. Instead, we will offer lead-in questions and situational inquiries to guide our discussion. Our collective learning and benefit will be accentuated through active participation and roundtable discussion.

RSVP by Friday, September 26 at http://www.eventbrite.com/e/september-2014-audit-executive-roundtable-tickets-12883668099

October 2014

1-Hour Quarterly Meeting
Please join us for a 1-hour lunch meeting on the cutting-edge use of avatars (computer-driven virtual humans) in internal audits and fraud investigations

Date/Time: Thursday, October 23, 11:30-1
Speaker: Matt Pickard, Ph.D., Assistant Professor of Accounting at UNM
Topic: Using Avatars to Advance Internal Audits and Fraud Investigations
Cost and Location: TBD
CPE: 1 hour
Look for an event flyer with more details and RSVP link to come soon!

November 2014

Annual Fall Seminar
Join us for a 2-day seminar with Bill Woodington on two great audit topics

Date/Time: Monday-Tuesday, November 17-18, 2014, 8 am-5 pm both days
Cost: $300 members; $350 non-members
Location: CNM Workforce Training Center 5600 Eagle Rock Ave NE, Albuquerque
CPE: 16 hours
Topics: Audit Skills & Writing to Achieve Results (one day each topic)
Look for an event flyer with more details and RSVP link to come soon!

2014/2015 Calendar of Events

July 16, 2014: 8-hour Quarterly Meeting
August 14, 2014: Networking Event – FREE FOR MEMBERS!
September 2014: 1-hour Audit Executive Roundtable
October 2014: 1-hour quarterly Meeting
November 2014: 16-hour Fall Meeting
December 2014: Annual Holiday Social and Networking Event
December 2014: 1-hour Lunch Meeting
January 2015: Quarterly Meeting
February 2015: Networking Event – FREE FOR MEMBERS!
March 2015: 16-hour Spring Seminar
April 2015: Quarterly Meeting
May 2015: 1-hour Audit Executive Roundtable

Upcoming IIA Members-Only Webinars

The IIA’s complimentary Members-Only Webinar Series is available exclusively to members as part of their membership investment. These monthly educational webinars are developed specifically with IIA members in mind.

To register for a members-only webinar, visit The IIA’s website at https://na.theiia.org/training/elearning/Pages/Members-only-Webinars.aspx.

September 16, 2014
Members-Only Webinar: Fraud and Embezzlement: Lessons from the Trenches

September 24, 2014
Guidance Webinar: What’s on the Horizon with the IPPF, and How it May Affect You

October 21, 2014
Members-Only Webinar: Integrated Reporting: Case Studies
Other New Mexico Professional Training

New Mexico ISACA presents their September lunch meeting:
I’d Rather Be Phishing

Date/Time: Wednesday, September 17, 2014, 11:30 am – 1:00 pm
Location: New Mexico Educators Federal Credit Union Training Center, La Luz Room
Cost: $25 for ISACA, IIA, AGA, and IMA members; $30 non-members; $10 students

CPE: 1 hour
Speaker: Vicky Grosinsky, Penetration Tester

This is a behind-the-scenes live demonstration of what a hacker does during a phishing attack. Vicky’s demo of Digital Forensic Analysis in November 2012 was a sell-out, so be sure to register early!

RSVP with Jaime Rumbaoa at either 505-878-7245 or Jaime.Rumbaoa@mossadams.com by 3:00 pm on Monday, Sept 15

Welcome New Members

The Albuquerque Chapter would like to welcome the following new members to the Chapter:
- Mario Oscar, University of New Mexico
- Susan Harty, Sandia National Laboratories
- David Gonzales, New Mexico Department of Health - OIA
- Linda Hull, New Mexico Department of Health - OIA
- Jackie Martinez, New Mexico Department of Health - OIA
- Raymond Valdez, New Mexico Department of Health - OIA
- Jerry Anderson, New Mexico Department of Health - OIA
- Olusegun Popoola, US Department of Agriculture
- Marie Susan Lee, Morningstar Enterprises, LLC
- Craig Kallsen, New Mexico Educators Federal Credit Union

We look forward to meeting you at a future Chapter event!

Another Chapter Year in the Books

The IIA Albuquerque Chapter would like to thank Cindy Frost, IIA member from Presbyterian Healthcare Services, for her performance of the 2013-2014 Chapter Audit. Cindy has performed the audit two years in a row and has provided timely and valuable recommendations for improvement to the Board of Governors.

The annual Chapter Audit is required by The IIA HQ every year by the end of August. The purpose is to review the financial and other records of the Chapter and assess the adequacy of internal controls, including that:
- Segregation of duties is appropriate
- Account authorization and signatories on Chapter accounts have been updated to reflect current responsibilities
- A budget was prepared and approved by the Board
- The budget is periodically compared to actual income and expenses
- Account statements are periodically reconciled and reviewed.

In Cindy’s opinion, the Chapter’s reports of revenue and expenses for the year ending May 31, 2014 present fairly, in all material respects, the financial position of The IIA Albuquerque Chapter. Cindy also noted several improvements to the accounting and recordkeeping for Chapter revenue and expenses over the last year.

If anyone has an interest in performing the Chapter Audit in future years, please submit your interest to any of the Chapter Board Members.
CAP CORNER

23%

Check here each month to see the Chapter’s progress toward Gold/Platinum status. Over the first three months of the Chapter year, we earned 23% of the points needed to get us to Gold/Platinum status.

CHAPTER FAST FACTS

Chapter charter date: December 11, 1976
Number of active members as of May 2014: 299 members
Region and District: Southern Region, District 4
Other Chapters in our District: Las Vegas, Phoenix, Tucson, El Paso

FIND THE IIA ON THE WEB & SOCIAL MEDIA

Chapter web address:
https://chapters.theiia.org/albuquerque/Pages/default.aspx

The IIA International web address:
https://na.theiia.org/Pages/IIAHome.aspx

Chapter Facebook page:
https://www.facebook.com/abq.iiia

Chapter LinkedIn group:
www.linkedin.com
Search for: Institute of Internal Auditors – Albuquerque Chapter

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FROM THE EDITOR

Please submit all articles for consideration for the October newsletter by Monday, October 6.

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