IIA Austin – Annual Auditors Conference
May 14, 2019
Austin, Texas

Speaker Submissions

1. Topic: “How are you doing...really? Cultural Insights from Organizational Assessment”

Speakers – Adam Jones and Tom Shindell, Ph.D.

Description and Learning Objectives:
Organizational assessment can be a powerful tool for an entity to understand its strengths, culture and areas for improvement. This presentation will introduce the concept of organizational assessment from the internal audit perspective. The presenters will cover types of assessments, various assessment tools and constructs and how to avoid common pitfalls that can lead to miscommunication and lack of trust during an assessment.

Attendees will learn:
- The concept of organizational assessment and the forms it can take
- Understanding how to leverage an organizational assessment to produce positive results for an organization
- Common misunderstandings about organizational assessments and laying the groundwork for success

Speaker Bio
Adam Jones
Adam Jones is a senior consultant for Weaver whose practice areas include organizational and IT assessment, strategic governance, risk assessment, business process improvement and internal audit. Before coming to Weaver, he served from 2003 to 2012 as the Deputy Commissioner and Chief Operating Officer of the Texas Education Agency. His experience as a management consultant spans both public and private sectors, consulting for some of the most influential education institutions, non-profit organizations and businesses in Texas, including CPRIT, the Department of Information Resources, Austin Community College, Dallas ISD, City of Houston, Rice University, the Foundation for Excellence in Education, The Flippen Group and Commit Partnership. He is a frequent writer, speaker and presenter on leadership and management. Adam graduated with a master’s degree in public policy from Duke University and a bachelor’s degree in economics from the University of Texas at Austin.

Tom Shindell, Ph.D., is the Innovation and Strategy Analyst for the Texas Department of Motor Vehicles. In this role he works with executive staff, a wide range of employees, and various stakeholders to identify cutting edge processes and approaches for providing administrative services to the motoring public. Tom’s focus is to identify the most strategic, effective, and customer-focused directions and mechanisms for the department to implement. His previous service to the State of Texas includes the Texas Education Agency, the Texas State Auditor’s Office, and the Texas Department of Health. Tom has also served in various faculty roles for the Open University of Tamaulipas, Northeastern Illinois
University, and St. Edward’s University. Tom holds a doctorate in adult education and human resource development leadership from the University of Texas at Austin and degrees in Psychology, Sociology, and Criminal Justice from St. Edward’s University.

2. Topic: “From Obligation to Opportunity: Making the Most of Audit Committee Presentations”

Speakers: Alyssa Martin, CPA and Daniel Graves, CPA

Description and Learning Objectives:
More than just a reporting requirement, audit committee presentations can strengthen your cause and increase buy-in for internal audit as a whole. In this session, we will discuss best practices designed to help you turn audit committees into allies rather than just report-readers. Topics covered will include knowing your audience, knowing your value, putting your best foot forward, getting to the heart of the matter, and leaving them wanting more.

Attendees will learn:
• How to reframe your thinking about the purpose and value of audit committee presentations
• Best practices for developing meaningful, impactful content audit committees and senior management can understand and use
• How to leverage committee presentations as an opportunity to strengthen relations with the audit committee and senior management

Speaker Bios
Alyssa Martin, CPA
Alyssa Martin, CPA, has more than 25 years of experience in public accounting, including 18 years of internal control process and risk management. Her career focus has been on enterprise risk management, internal audit, fraud prevention, IT audit, operational analysis, business management consulting, strategic planning and technology consulting for private and publicly held companies and government entities. Much of that work involves collaborating with management teams on growth strategies and board of directors’ matters, as well as working with audit committees involving governance and with private equity firms to coordinate strengthening management activities as new requirements are undertaken. Alyssa is a frequent, sought-after speaker on advisory matters for professional and civic audiences. She authors numerous articles for professional and business publications. As an active member of the American Institute of Certified Public Accountants, the Institute of Internal Auditors and the National Association of Corporate Directors, Alyssa remains on the forefront of industry regulations and changes. She serves on many community boards, civic committees and has been honored with a number of awards. She graduated with a bachelor’s degree and a master’s in business administration from the University of Texas at Dallas.

Daniel Graves, CPA
Dan Graves, CPA, has more than 14 years of experience in public accounting, including 12 years of internal control process and IT risk management. He currently leads Weaver’s Central Texas Risk Advisory Services professionals, with a primary focus internal audits, risk assessment, contract and grant compliance, regulatory compliance, internal control consulting, business process improvement and
fraud prevention. He regularly leads teams delivering outsourced and co-sourced internal audit engagements, and has a deep understanding of the internal audit lifecycle, and how to iteratively improve and evolve internal audit functions. He is a member of the IIA, the AICPA and the TSCPA. He earned a Bachelor of Business Administration from Hardin-Simmons University.

3. **Topic:** “Triage Incident Response – What to do before picking up the phone”  
   **Speaker:** Brett Nabors, CISA

**Description and Learning Objectives:**
Cyber security issues have earned many organizations the wrong type of news attention. Many of these incidents could have been prevented by reviewing or implementing simple control procedures. Do you know the steps to take to prevent your organization from being in the headlines? Can your organization outlast the news cycle?

Attendees will learn:
- To summarize and review recent notable security incidents in the news headlines
- To identify leading associated areas of risk to have answers readily available before the questions are asked
- Considerations and steps to take for security controls and process areas that can minimize risk exposure

**Speaker Bio**
**Brett Nabors, CISA**
Brett Nabors, CISA has more than 13 years of experience in advisory services, including formerly directing PwC’s risk assurance practice in Austin. His career focuses on business process improvement, integrated compliance, internal control assessments, IT governance, ERP implementation, enterprise risk management and system and organization controls (SOC) reporting. He is highly skilled in system implementation, data analytics, IT control evaluations and other aspects of managing and improving IT performance, effectiveness and security. He regularly assists clients with improving controls and processes, identifying and addressing risks and aligning IT processes to overall organizational strategy. He graduated magna cum laude with a Bachelor of Business Administration in information and operations management from Texas A&M University.