President’s Message:

Happy Fall!

We are looking forward to the remaining events we have planned for 2019. Coming up at the end of this month will be our Fall Seminar which will be held at Postlethwaite and Netterville. We will cover many great topics, including an hour on ethics! If you’re still needing to get the required ethics hours for this year, this seminar will give you 1 hour towards that requirement. See more information on the seminar, including a link to register, in this newsletter. We hope to see you there!

As always, please remember that we are your chapter and we are always looking for better ways to serve you. If you have any feedback on how we can better achieve this goal, please contact us at Chapter121@iiachapters.org.

Thank you,
Kristin Bourque
IIA Baton Rouge Chapter President

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BRIIA Fall Seminar

Critical Thinking, Ethics, Auditing Culture, Cybersecurity, IT Auditing

Location: Postlethwaite & Netterville Offices, 8550 United Plaza Blvd, 10th Floor, Baton Rouge, LA, 70809
Date: October 30, 2019  Time: 8:00am – 4:00pm

Cost:  Members- $150  Non-Members- $175  Students- $55

Meal: Cost includes breakfast and lunch

Topic: Critical Thinking, Ethics, Auditing Culture, Cybersecurity, IT Auditing

Instructional delivery method: Group Live
Field of Study: Internal Audit
CPE credit(s): 8 hour(s)
Advanced preparation: None required
Program level: Basic
Course registration requirements: No prerequisites required

Speaker(s):

Mary Minor Butler, CIA, CPA – Manager, Consulting Division, Postlethwaite & Netterville

With over 5 years of public accounting experience, Mary Minor has experience in a range of consulting services to provide value to clients, such as conducting audits of business processes and controls, performing assessments to mitigate risks and providing recommendations for internal control improvements. She also possesses a depth of knowledge related to internal audit procedures and internal controls. Mary Minor serves clients in a variety of industries, including publicly traded companies, governmental, higher education, healthcare, and financial institutions.

Kristin Bourque, CIA – Manager, Consulting Division, Postlethwaite & Netterville

Kristin Bourque has extensive experience in conducting audits of business processes and controls, developing and conducting assessments to mitigate risk. She also has a depth of experience in fraud prevention, monitoring and data analytics. She possesses vast knowledge of internal audit procedures and internal controls. She focuses on identifying and evaluating business risks, providing project management applications for business processes and providing recommendations for internal control improvements. Kristin has served clients in various industries, including publicly traded companies, governmental, higher education, healthcare, and financial. Kristin currently serves as the Chapter President for the Baton Rouge Chapter of the Institute of Internal Auditors.
Jude Viator, CIA, CISA, CRISC – Associate Director, Consulting Division, Postlethwaite & Netterville

Jude Viator has extensive experience in conducting and managing internal controls based projects, as well as Information Technology (IT) and Information Systems (IS) security assessments for a variety of organizations, including publicly traded organizations. He has experience conducting and managing outsourced and co-sourced internal audit engagements, BSA/AML compliance assessments, operational and compliance audits, IT general controls assessments, internal control assessments, SOC 1 and SOC 2 exams, agreed-upon procedures (AUP) engagements, risk analyses, best practice assessments, and reengineering of processes/functions. Additionally, he has experience in identifying and testing Sarbanes Oxley 404 IT relevant controls. He has experience in all aspects of internal audit engagements, including review of internal controls, interviewing client personnel, assessing the possibility of fraudulent activity, developing test procedures, scripting the report, and presenting the report and other matters to client management and oversight committees.

In addition, Jude has attended the AICPA’s SOC School and he is currently serving as a liaison between Louisiana and Mississippi Institute of Internal Auditors.

Helene Wall, SHRM-SCP – Associate Director, Consulting Division, Postlethwaite & Netterville

Helene Wall’s experience includes serving as the human resources risk advisor for clients in a variety of industries, such as construction, manufacturing and distribution, transportation, healthcare, financial institutions, education, restaurants, non-profit and professional services. Her prior roles include governmental work as liaison in the City of Baton Rouge/Parish of East Baton Rouge Mayor's Community Ordinance Enforcement Program.

In accordance with P&N's philosophy of giving back to the community, Helene is also involved with college recruiting programs, professional associations and volunteer activities

Paul Douglas, CIA, CCSFP – Director, Consulting Division, Postlethwaite & Netterville

Paul Douglas focuses on IT risk advisory, data privacy and security strategies, and IT compliance. Paul helps clients navigate complex security and privacy laws, and turn them in to strategic action plans. He works with a broad base of clients in the healthcare, technology, financial institution, and education industries.

Paul has experience serving at the outsourced and co-sourced internal audit department for organizations. He is responsible for executing audit plans, specifically related to IT risks. Paul helps clients address risk through his experience performing high value assessments and implementing risk management plans.
Become an IIA Volunteer

There are many ways you can get involved with The IIA. Whether you are an experienced leader or just entering the field of internal auditing, there is a place for you — locally or globally — at The IIA.

Seeking IIA Volunteer Leadership Candidates for 2020–21

Locally

- Step 1: Join your local chapter or affiliate today!
- Serve on the board
- Recruit new members
- Chair or serve on a committee
- Plan activities for International Internal Audit Awareness Month
- Attend local events to share your best practices and solutions
- Support the development and execution of programs, events, and local training

Contact your chapter leaders if interest.

Globally

The IIA is seeking nominations for qualified members to serve in the following volunteer leadership roles:

- Canadian Board (Canada only)
- 15 Committees comprising 250 members
- District Advisors and District Representatives (U.S., Canada, Caribbean only)

Candidates should have relevant expertise and the ability to participate in the leadership of The IIA. Please download the qualifications for each position and review submission guidelines before submitting a nomination.

General Information

- You must have an active membership with The IIA to volunteer or to submit a nomination or an endorsement. Please log in to your IIA account and ensure all contact information is current.
- Nominations are accepted from IIA affiliates and chapters, IIA members who know of interested candidates, or candidates themselves. However, more weight is usually given to nominations endorsed by an affiliate or chapter.
- If you are submitting a self-nomination form, you will be able to provide up to five (5) endorsers and their corresponding email addresses. Upon submission, an endorsement request will be sent to the email addresses provided. Please ensure you are prepared with all information before beginning a self-nomination.

Nominations must be submitted by Wednesday, Oct. 16, 2019.
2019 ALL STAR conference

OCT. 21-23, 2019 / LAS VEGAS, NV

Members: Register by Sept. 3 to save $200.

Don’t Miss
THE BEST & MORE

Earn Up to 16.8 CPE Credits at this one-of-a-kind event.

Featuring:
• 5 Educational Tracks
• 5 General Sessions
• 24 All Star Concurrent Sessions & 16 Gaming and Hospitality Concurrent Sessions
• 2 Pre-conference Workshops

Visit
www.theiia.org/AllStar to register or for more information.

2019-3099
Prepare for the CIA® Exam With Self-study Materials.

Prepare to pass the 3-part Certified Internal Auditor® (CIA®) exam and arm yourself with critical tools and knowledge to excel in your internal audit career. The IIA’s CIA Learning System® was created by a team of CIA-certified industry experts and is a proven, relevant, comprehensive, and effective CIA review program.

The IIA’s CIA Learning System self-study program combines comprehensive reading materials, in printed and e-book formats, with interactive online study tools to teach and reinforce the entire 3-part CIA exam syllabus in a flexible, on-demand format.

Reading Materials

Materials teach the entire global 3-part CIA exam syllabus:
Part 1: Essentials of Internal Auditing
Part 2: Practice of Internal Auditing
Part 3: Business Knowledge for Internal Auditing

- Download books to your e-reader, read online, or choose printed books (optional add-on).
- Topics presented in a concise, easy-to-understand format.
- Newly updated program teaches the latest CIA exam syllabi.
- Aligned with the current standards.

Online Study Tools

- **Pre-test** evaluates current knowledge and identifies topics requiring intensive study.
- **SmartStudy™** tools help you create a customized study plan.
- **Video tutorial** provides an overview of the IPPF components.
- **Quizzes**, incorporating 500 new questions, test your comprehension and ability to apply concepts.
- **Flashcards** offer review of key terms and definitions.
- **Post-test** gauges knowledge gained and identifies areas requiring further study.
- **CIA Practice Exam** helps build confidence using the computer-based CIA exam software.
- **Progress report** tracks activities and scores.
- **Online study tools** are optimized for mobile devices.

www.LearnCIA.com
## UPCOMING EVENTS / WEBINARS (SAVE THE DATE!)

<table>
<thead>
<tr>
<th>Date/Time</th>
<th>Location</th>
<th>Speaker/Event</th>
<th>Topic</th>
<th>CPE</th>
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</thead>
<tbody>
<tr>
<td>October 15</td>
<td>Online</td>
<td>Paul Sobel, Harold Silverman, Farah George Araj</td>
<td>Enhancing Internal Audit Effectiveness Through Core Principles</td>
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<tr>
<td>October 18</td>
<td>LA State Police Headquarters</td>
<td>ISACA BR</td>
<td>Cybersecurity</td>
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<tr>
<td>October 30</td>
<td>P&amp;N Offices Baton Rouge, LA</td>
<td>IIA BR Fall Seminar</td>
<td>Critical Thinking, Ethics, Auditing Culture, Cybersecurity, IT</td>
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<td>December 4</td>
<td>Ruffino’s</td>
<td>Katherine Lockhart</td>
<td>Ethics for Public Servants</td>
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<tr>
<td>January 8, 2020</td>
<td>Juban’s</td>
<td>Glenn Summers</td>
<td>The changing Nature of Risk</td>
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<tr>
<td>March 4, 2020</td>
<td>Ruffino’s</td>
<td>James Fargason</td>
<td>Critical Controls in a Startup!</td>
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<td>April 22, 2020</td>
<td>P&amp;N Offices Baton Rouge, LA</td>
<td>IIA BR Spring Seminar</td>
<td>Cybercrime, Risk Management, Data Analytics, Culture</td>
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### Job Opportunities

If you are looking to launch your internal audit career or are seeking qualified employees, then visit the BRIIA’s Audit Career Center, which is a proven source for résumé posting and focused candidate searches. BRIIA keeps an updated list of audit related local job postings available for our membership.

If you would like to have an open audit position posted to the BRIIA Audit Career Center, please contact Chapter121@iiachapters.org. For more information about local audit positions please visit BRIIA’s Audit Career Center [here](#).