**STUDENT NIGHT 2017**

Please support our local students and join us for Student Night 2017 and earn 1 CPE.

**Topic:** Future of Auditing: “The Odyssey”

**Speaker:** J’on Davis, CPA, Director of Advisory Services at Macias, Gini & O’Connell LLP

**Date:** Thursday, April 20, 2017

**Location:** Reef Restaurant
800 S. Harbor Scenic Drive
Long Beach, CA 90802
(562) 435-8013

**Schedule:**
- Registration/Meet the Firms: 5-6 p.m.
- Dinner: 6-6:45 p.m.
- Program: 6:45-7:45 p.m.
- Raffles: 7:45-8:00 p.m.
- Networking: 8:00 p.m.

**Cost:**
- IIA Members: $35
- Non-Members: $40
- Students: Free w/valid current student ID

See pages 9-10 for registration information

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**PRESIDENT’S MESSAGE**

Howdy all. I hope you enjoyed all the calories we had at the seminar at the Toyota Auto Museum on March 16. It really turned out nice with a full eight hours of CPE. We are now gearing up for Student Night and are looking forward to a good turnout from the local colleges and universities. We are even reaching out to the community colleges to expose the students to the audit profession. This year, your Board reached out to the other local Chapters to help us with publicity and sponsorships. This is our biggest meeting each year and we need you there to talk to the students. They all really get a lot of good feedback during the dinner and they appreciate talking to professionals about the “real world”. But it is tough to have one member at a table for 10 trying to field questions from nine students! Try to come and help the students out.

I would also like to highlight the upcoming Western Regional Conference to be held in Anaheim on June 6-9. Please consider attending this intensive event which is hosted by the seven local Southern California chapters as well as the IIA itself. Here is the link to register: [https://chapters.theiia.org/Orange%20County/Events/Pages/2017-IIA-Western-Regional-Conference.aspx](https://chapters.theiia.org/Orange%20County/Events/Pages/2017-IIA-Western-Regional-Conference.aspx). The speakers include Richard Chambers, CEO of the IIA, and a former Beach Cities’ speaker (twice), Mike Jacka.

I would like to thank our member Robert Campbell, who spoke at both our November meeting and the March seminar for his commitment to Beach Cities and his willingness to come and help us out. Also, kudos are due for Mark Dauberman, who spoke in January and at the seminar, each time commuting in from Upland and hitting a lot of traffic in the process. What a guy!

Please plan to join us at Student Night.
Also, looking ahead to 2018, we held our annual election at the seminar and we still need help with planning programs and seminar topics, as well as the President role. Thanks to our stalwart Board for continuing to help out and especially to Kathryn Andrus for agreeing to do our newsletters as well as take reservations next year.

See y'all on the 20th!

Jim Olds, President, IIA-Beach Cities Chapter

J’ON DAVIS’ BIOGRAPHY

J’on Davis, CPA
Director of Advisory Services at Macias, Gini & O’Connell LLP

Currently, J’on is a Director - Assurance Services with MGO with 17 years’ experience providing attestation services to government, colleges, health care, nonprofits, and public companies. He now serves on the California Society’s CPA Technology Committee. He was a Senior Analyst for a Fortune 500 company responsible for establishing ICFR controls and performing operational reviews. Prior to that, J’on worked for Grant Thornton and has provided internal audit services for local governments such as Inglewood, Pasadena, Burbank and Thousand Oaks, and other governmental entities such as the Orange County Fire Authority, LA Vector Control District, and San Juan Water District.

Place Your Job Advertisements

Online and newsletter ads are free to all IIA Beach Cities chapter members, and are $50 for non-members. Contact Kristen Clement at (562) 570-5873 or kristen.clement@longbeach.gov

CALENDAR CORNER

April 20, 2017: Student Night – J’on Dennis from MGO will be our speaker talking on The Future of Auditing

May 17, 2017 Regular meeting, location TBD

June 6-9, 2017: Western Regional Conference, Anaheim, CA

GLEIM REVIEW SYSTEM

Beach Cities Chapter has partnered with Gleim Publications to ensure your success on the CIA exam! Working together, we will provide you the necessary study tools to prepare for this difficult exam.

Gleim has been preparing candidates for success with their extensive self-study course for the CIA exam for over 30 years! The Gleim Review System enables you to identify your weak areas so you know where to focus your efforts and GUARANTEES that you will pass each exam part the first time. Our goal is that you achieve success while minimizing your frustration, cost, and time. As a member of the chapter, you are eligible for 20% off all Gleim's CIA Review materials, as well as Gleim CPE.

To take advantage of the reduced prices, please contact our chapter representative, Melissa Leonard.

By phone: (800) 874-5346, Ext. 131
By email: melissa.leonard@gleim.com
By fax: (888) 375-6940, attn: Melissa Leonard

Visit gleim.com/cia for more information on the CIA Exam or how Gleim can help you pass.
IIA’S CIA LEARNING SYSTEM
See www.learncia.com/chapters for special member discount pricing.

**ALL-DAY PROFESSIONAL SEMINAR HELD ON MARCH 16, 2017 AT THE TOYOTA AUTO MUSEUM IN TORRANCE**

2017 Seminar attendees
Dr. Larry Kalbers presenting on Business Ethics

Mark Dauberman presenting on Revenue Recognition
ELECTION RESULTS

2017-2018 Officers and Chairs

President – Vacant
Vice President – Jim Olds
Treasurer – Pious Poozhikala
Secretary – Kathryn Andrus
Membership Chair – Jim Olds
CAP Program Chair – Mike Palmer
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Seminar Chair – Jim Olds
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Academic Chair – Sher Shah
Webmaster – William Yu
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District Conference Chair – Jean Miller
Notice to all Recipients:

See our website at www.TheIIA.Org/BeachCities for additional newsletter details. Please advise Jim Olds at jolds@portla.org if your email address changes, or if you no longer would like to receive these newsletters.
2016-2017 OFFICERS & CHAIRS

President:
Jim Olds, Port of Los Angeles
(310) 378-8916 HM
E-mail address: jolds@portla.org

Vice President:
Sher Shah, Port of Los Angeles
(310) 732-3019 WK
Email address: sshah@portla.org

Treasurer:
Pious Poozhikala, Port of Los Angeles
(310) 221-4759 WK
E-mail address: ppoozhikala@portla.org

Secretary:
Kristen Clement, Office of the City Auditor, Long Beach
(562) 570-5873 WK
Email address: kristen.clement@longbeach.gov

Membership Chair:
Jim Olds, Port of Los Angeles
(310) 378-8916 HM
E-mail address: jolds@portla.org

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Email address: mike.palmer@ngc.com

CIA Review/ Certifications Chair:
Jim Olds, Port of Los Angeles
(310) 378-8916 HM
E-mail address: jolds@portla.org

Programs Coordinator:
Damon Melfi, Office of the City Auditor, Long Beach
(562) 570-7005 WK
damon.melfi@longbeach.gov

Seminar Chair:
Jim Olds, Port of Los Angeles
(310) 378-8916 HM
E-mail address: jolds@portla.org
Reservations/Monthly Meetings:
Kathryn Andrus, Ducommun, Inc.
(310) 513-7254 WK
Email address: kandrus@ducommun.com

Newsletter Editor:
Kristen Clement, Office of the City Auditor, Long Beach
(562) 570-5873 WK
Email address: kristen.clement@longbeach.gov

Email/Newsletter Distribution:
Kari Carroll, Alliance Resource Group
(949) 428-0601 WK
E-mail address: KCarroll@AllianceResourcegroup.com

Academic Chair:
Ron LaPlante
(571) 242-6737 Cell
Email address: ronlaplante@sbcglobal.net

Webmaster:
William Yu
Yuwill68@gmail.com

Auditor:
Ron LaPlante
(571) 242-6737 Cell
Email address: ronlaplante@sbcglobal.net
THE BEACH CITIES CHAPTER (IIA) and SOUTH BAY CHAPTER (IMA) PRESENT THEIR ANNUAL

STUDENT NIGHT 2017
“Future of Auditing: the Odyssey”

Keynote Speaker:
J’on Dennis, CPA
Director – Advisory Services
Macias Gini & O’Connell LLP

Date: THURSDAY, APRIL 20, 2017

Location: REEF RESTAURANT, 880 S. HARBOR SCENIC DRIVE
LONG BEACH, CA 90802 - (562) 435-8013 (NEAR THE QUEEN MARY)

Agenda: 5:00-6:00 pm REGISTRATION / MEET-THE-FIRMS NETWORKING
6:00-6:45 pm DINNER *(Chicken, Beef, Fish, or Vegetarian Pasta)
6:45-7:45 pm PROGRAM
7:45-8:00pm RAFFLES
8:00pm onwards MORE NETWORKING

FREE EVENT FOR STUDENTS!
IIA MEMBERS $35 and NON-IIA MEMBERS $40
Students must provide a valid, current student ID.

FOR FURTHER INFORMATION PLEASE CONTACT:
SHER SHAH at sshah@portla.org (310) 732-3019

TO REGISTER with meal choice PLEASE CONTACT:
KATHRYN ANDRUS at kandrus@ducommun.com no later than April 14, 2017

Mail Checks payable to “Beach Cities IIA” to 2415 W. 233rd St, Torrance, CA 90501
(or pay at the door)

Note: No-Show will be responsible for paying the full price of meal ordered
Please REGISTER and RETURN THIS FORM via email

(Your info is kept confidential - We don’t share it with anyone) (* items are required)

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Senior Internal Auditor

Job Description
Harbor Freight Tools is different. We’re not your typical retailer. We’re actually a retailer and branded tool producer rolled into one. We’re a team of high-achievers, who have a passion for excellence and continuous improvement and obsess about getting things done. We’re also equally committed to doing the right thing and giving back to our community. We’re allergic to bureaucracy, extra layers of management and politics. And for us, collaboration is the golden rule. We’re a 35 year-old, $4 billion company - on pace to $8 billion in the next few years - with the energy and enthusiasm of a start-up. We have over 750 stores nationwide and are opening a new one every week. If you’re a leader with your ego in check, if you know what it means to be an open-minded partner, if you aren’t afraid to get into the weeds to learn the Harbor Freight way and join our history making enterprise, then we’d love to talk to you.

The Senior Internal Auditor is a key member of the Finance team. He/She is responsible for implementing, performing, and reporting on the adequacy of the Company’s system of internal controls over financial reporting (both Financial and IT Controls), inclusive of domestic and international operations. The Senior Internal Auditor is a key member of the Finance team. This individual is responsible for implementing, performing, and reporting on the adequacy of the Company’s system of internal controls over financial reporting (both Financial and IT Controls), inclusive of domestic and international operations.

Essential Duties and Responsibilities

- Develop comprehensive best-in-class audit approach (policies, procedures, processes and flowcharts, controls and measures) responsive to financial, compliance and operational risks in accordance with COSO and Institute of Internal Audit professional standards for both domestic and international operations.
- Plan, implement, coordinate and execute audit (internal and external) processes including defining the audit scope, testing to ensure adherence with established controls, and reporting known or possible deficiencies
- Quarterly Testing of Financial and IT Internal control testing
- Make recommendations for remediation of identified issues in a timely manner and revisit areas with previously defined deficiencies to assess results.
- Ensure findings of unmitigated risks and/or control deficiencies are adequately communicated to be understood and remediated by operating management and senior management.
- Work with operating management to ensure that remediation plans are appropriate, implemented in a timely manner, and yield desired results.
- Structure and write audit reports and other related reports and issues in a timely manner.
- Maintain concise, up-to-date records of audit plans, findings, work papers, reports and other supporting documentation for all related reviews performed.
- Update control documentation (matrices, narratives, flowcharts, etc.) with operating management for financial and IT processes.

Scope

- Financial Scope - Internal Audit
- Organizational Scope – All US locations/Single Category/Region/District/Distribution Center
- Decision Making – Creates policy and resolves problems
- Travel –less than 5%
- Job Location – Headquarters-In-person participation in staff/customer/project meetings

Job Qualifications:

Education

- This position requires a four year university degree in accounting, finance, or management information systems.

Experience

- 2-5 years of internal audit experience, SOX compliance, and/or SOC 1 engagements;
- Experience in public accounting, preferably Big 4 accounting firms;
- Experience in IT General Controls (ITGC) assessment, audit, and testing
- Ability to apply internal auditing and accounting principles and practices, and management principles and preferred practices, to audits
and special projects

- Proven capability in collecting, analyzing, and reporting on complex data, and drawing logical conclusions;
- Track record in planning and project management and in effectively managing time to meet deadlines;
- Strong written and verbal communication skills, including public speaking/presentations; and
- Proficiency in MS Office products including Visio and other business software to prepare analyses, reports, memos, and summaries.

Certification(s):

- Preference given to candidates holding a CPA, CIA, or CISA designation.

Audit Programs

- Develop comprehensive best-in-class audit approach (policies, procedures, processes and flowcharts, controls and measures) responsive to financial, compliance and operational risks in accordance with COSO and Institute of Internal Audit professional standards.
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Internal Controls

- Perform Quarterly Testing of Financial and IT internal control Testing.
- Assist in the overall risk assessment of internal control environment, current business practices/processes, identify and recommends solutions for strengthening internal controls.
- Implement necessary improvements to financial and IT policies/procedures and internal business controls to support the corporate priorities, business needs and compliance programs.
- Document risks and mitigating controls through risk control matrices including evaluating control design and adequacy to ensure key risks are properly controlled and monitored, as well as ensuring proper rationalization of controls.
- Develop and maintain cross-functional relationships in order to assess key business risks and exposure within the company.
- Identify opportunities to standardize, simplify and improve processes to drive balanced risk management.
- Facilitate the training of individuals and cross-functional teams on internal controls.

External Audits

- Support banking and year-end audits and serve as liaison with external auditors and Accounting to ensure proper audit coverage, elimination of duplication of effort, and improvement in the efficiency and effectiveness of audit activities.