NOVEMBER LUNCH MEETING

Please join us for lunch and informative presentation, and earn 2 CPE hours.

**Topic:** Financial Fraud, Forensic Investigations, & Cyber Crime in Financial Institutions

**Speaker:** Ernie Cooper

**Date:** Monday, November 13, 2017

**Location:** Ramada – LAX, El Segundo

**Time:** 11:30 am registration; 12:00 pm lunch and introductions; 12:15 pm – 2:00 pm speaker

**Cost:** IIA members: $35 (non-members $40, retirees $30; students & unemployed active members free). The Chapter encourages members to bring guests. If you bring a non-member to our meeting, we will pay for their 1st lunch.

**RSVP & Info:** Please RSVP by Friday, November 10, 2017, with your meal preference (Beef, Chicken, Fish or Vegetarian) to Kathryn Andrus at kandrus@ducommun.com or (310) 513-7254.

Meals are ordered based on your RSVP. No RSVP = no meal. No-show RSVP’s without notice will be responsible for meal cost reserved.

**SPEAKER BIO**

Mr. Cooper is the lead principal for the Forensic Services Division of CliftonLarsonAllen (CLA). His practice at CLA involves expert witness consultation; forensic accounting; fraud investigations and examinations; and training and litigation support—serving law firms, commercial businesses, governmental agencies and law enforcement entities. He is also the managing principal of the firm’s Los Angeles Office.

Prior to joining CLA, Mr. Cooper worked with several organizations including KPMG, the FBI and PricewaterhouseCoopers. While working as an FBI Special Agent for over 20 years, Mr. Cooper’s FBI experiences involved working with the “mafia,” bank robbers, kidnappers, undercover operations and white-collar criminals all over the country. Ernie worked his way up to FBI Executive Manager, and was a member of the FBI’s Inspection Team.

**PRESIDENT’S MESSAGE**

*Jim Olds, President, IIA-Beach Cities Chapter*

"Happy Fall everyone. Hope you all are making it through the soot and ash from all the fires and Go Dodgers! We had a good meeting in September where we learned about Project Management from Dina and Mike from PMA Associates. Their slides are on our website for your information.

We are going to meet next on Monday, November 13, again at the Ramada LAX on El Segundo Blvd at the 405. We have a great speaker - Ernie Cooper, a former FBI agent and fraud investigator who will address "Financial Fraud Investigations/Fraud Risk Assessment". Given recent cyberattacks in the news, he added "Cyber Crime - Outside Threats to Banking". You won't want to miss his topical talk, be sure to rsvp early.

We can use your help in planning the rest of our 2018 meeting and seminar programs, so please call me at 310-732-3562 with any speaker contacts or topic ideas you have. We will meet in January and have our annual seminar in March and then meet again in May. The 2017-18 IIA District Conference will be June 9-10, 2018 at the Anaheim Marriott. This one leads up to the International Conference in June 2019, also to be in Anaheim. We will keep you posted on both of these events as the year progresses.

See you on Nov. 13 for a great meeting. Tell your colleagues and invite them to join you there. It's going to be great!"
**Place Your Job Advertisements**

Online and newsletter ads are free to all IIA Beach Cities chapter members, and are $50 for non-members. Contact Kathryn Andrus at (310) 513-7254 or kandrus@ducommun.com

**EMPLOYMENT OPPORTUNITIES**

Bandai Namco is looking for an Internal Auditor - details can be located at the following link:

BNHU Internal Auditor link

**CALENDAR CORNER**

January 17, 2018: Lunch Meeting - TBD
March 21, 2018: Annual Professional Seminar, Venue – TBD
April 19, 2018: Student Night – The Reef, Long Beach
May 16, 2018: Lunch Meeting - TBD

**OTHER UPCOMING EVENTS**

IIA San Gabriel Valley Chapter – Two Day Seminar, November 3-4, 2017, Los Angeles, CA (see pages 5-7 for details)

IIA/ACGA Webinar – November 16, 2017 from 11 a.m. to 1 p.m. Pacific time. Dr. Peter Hughes, Assistant Auditor-Controller for Los Angeles County, along with Kieu-Anh King, Chair of Los Angeles County’s Audit Committee, and James Godsey, Partner with Macias Gini & O'Connell LLP, will be discussing the methods Los Angeles County has used to reinvent their audit processes. Please note that registration to the webinar is limited to IIA members. Link to register: https://www.theiia.org/centers/acga/events/premium/Pages/webinar-leading-change.aspx

Torrance Rotary USC-UCLA Tailgate Lunch – November 15, 2017 at Torrance Marriott 11:30am - 2pm

**GLEIM REVIEW SYSTEM**

Beach Cities Chapter has partnered with Gleim Publications to ensure your success on the CIA exam! Gleim has been helping candidates prepare for success with their CIA self-study course for over 30 years! The Gleim Review System enables you to identify your weak areas so you know where to focus your efforts and **GUARANTEES that you will pass each exam part the first time**. Our goal is that you achieve success while minimizing your frustration, cost, and time.

As a member of our IIA chapter, you are eligible for 20% off all Gleim’s CIA Review materials.

To take advantage of this opportunity, please contact our chapter representative, Melissa Leonard.

By phone: (800) 874-5346, Ext. 131
By email: melissa.leonard@gleim.com
By fax: (888) 375-6940, attn: Melissa Leonard
Visit www.gleim.com/cia for more information on the Gleim CIA Review course.

**IIA’S CIA LEARNING SYSTEM**

See www.learnncia.com/chapters for special member discount pricing.

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**Notice to all Recipients:**

See our website at [www.TheIIA.Org/BeachCities](http://www.TheIIA.Org/BeachCities) for additional newsletter details. Please advise Jim Olds at jolds@portla.org if your email address changes, or if you no longer would like to receive these newsletters.
2017-2018 OFFICERS & CHAIRS

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William Yu
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The Institute of Internal Auditors
San Gabriel Valley Chapter

The San Gabriel Valley Chapter of the IIA is hosting a two-day seminar. The event will focus on providing quality professional education on the following: Characteristics of Effective Risk Management Functions, Internal Controls and Changes in PCAOB Expectations, Governance, Enterprise Risk Management, Data Analytics, Forensic Audit, and Cyber Risk / Information Security. In addition, the seminar will give participants a chance to network with other professionals at a reasonable price.

WHEN: Thursday, November 2, 2017 and Friday, November 3, 2017
8:00 AM to 4:30 PM (Registration 7:15 AM to 8:00 AM)

WHERE: LA Metro Building
One Gateway Plaza
Plaza View Room
Los Angeles, CA 90012-2952
(Corner of Cesar E Chavez Ave and Vignes St)

CPE: 16 Hours (NASBA)

MEALS: Breakfast, lunch and afternoon snacks will be provided

REGISTER HERE
Due to limited capacity registration will be accepted on a first come first served basis. Don’t miss out the chance to win some cool raffle prizes to be awarded each day!

COST: $125 per day or $225 for two days (IIA Members)
$175 per day or $300 for two days (Non-members)
Parking fee not included

For companies with five registered participants, a complimentary registration is available for the 6th person.

PAYMENT INFORMATION: Make check(s) payable to:

IIA San Gabriel Valley Chapter
Attn: Yu-Hau Fu, Treasurer
San Gabriel Valley Chapter, The Institute of Internal Auditors, Inc.
P.O. Box 1532
Sierra Madre, CA 91025

REFUNDS: Refund requests should be received by November 1, 2017. No refunds will be granted after the event start date. Contact us at registration@thetia-sgvc.org for refund, complaint or cancellation policies.

LEARNING LEVEL: Basic

FIELD OF STUDY: Auditing, Information Technology, Management Services, Governance

DELIVERY METHOD: Group-live
PARKING:

1. MWD Valet ($12), 700 N. Alameda Street, M-F 8am - 5pm

2. MTA Five Star, 1 Gateway Plaza, **$8.00 per day**. The parking is under Metro's Building 24 hours-7 days. Parking entrances are located on the north side of the building from Cesar Chavez and on the east side from Vignes. There is ample parking on levels P2 - P4.

Note - Metro and IIA will not validate parking for this event. To pay the parking fees, machines are located on the Plaza Level and on the 3rd Floor of the LA Metro Building.

Metrolink trains, Pasadena Goldline and Metro Redline can also be used to commute to the MWD Building. It is an easy walk through Union Station to the venue.

If you have questions, please contact:

Christine Robles, at christine.dianne.a.robles@pwc.com or (310) 200-1731 or
Marina Daniel at marina.daniel@ey.com or (213) 241-7704

NASBA Continuing Professional Education (CPE) Policies

San Gabriel Valley Chapter is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be addressed to the National Registry of CPE Sponsors through its website - Website: [www.learningmarket.org](http://www.learningmarket.org).

In accordance with the standards of the National Registry of CPE Sponsors, CPE credits have been granted based on a 50-minute hour. Two-day programs are eligible for 16 CPE credits; one-day programs are eligible for 8 CPE credits. Actual credits awarded are based on actual program length.

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**SEMINAR OVERVIEW**

**DAY 1 – CHARACTERISTICS OF EFFECTIVE RISK MANAGEMENT FUNCTIONS  
THURSDAY, NOVEMBER 2, 2017**

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<tr>
<th>From</th>
<th>To</th>
<th>Description</th>
<th>Speaker</th>
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<tbody>
<tr>
<td>7:15</td>
<td>8:00</td>
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<tr>
<td>8:00</td>
<td>10:00</td>
<td>7 Habits of a Highly Effective IA Function</td>
<td>Diana Lie</td>
<td>EY</td>
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<td></td>
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<td>Marina Daniel</td>
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<td>10:00</td>
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<td>Enterprise Risk Management</td>
<td>Ricky Lau</td>
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<td>12:40</td>
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<td>Governance</td>
<td>Jeffrey Phillips</td>
<td>Los Angeles Police Department</td>
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<td>Break – Snack provided</td>
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<td>2:45</td>
<td>4:30</td>
<td>Internal Control Functions and Changes in PCAOB Expectations</td>
<td>Paul Gammarano</td>
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DAY 2 – IT AND IA HOT TOPICS
FRIDAY, NOVEMBER 3, 2017

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<td>9:40</td>
<td>11:30</td>
<td>Data Analytics</td>
<td>Ryan Merryman</td>
<td>CliftonLarsonAllen LLP</td>
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<td>Forensic Audit</td>
<td>David Wall</td>
<td>CliftonLarsonAllen LLP</td>
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<td>2:45</td>
<td>4:30</td>
<td>Cyber Risk / Information Security</td>
<td>Andrew Kleinick</td>
<td>Los Angeles Police Department</td>
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AND NOW FOR SOMETHING TOTALLY DIFFERENT............

FOR ALL YOU LOCAL FOOTBALL FANS AND FUN-LOVING BRUINS AND TROJANS IN THE SOUTH BAY, YOU WON’T WANT TO MISS THIS GREAT LUNCH EVENT TO BENEFIT THE TORRANCE HIGH SCHOOLS.

CONTACT JIM AT 310-732-3562 OR JOLDS@PORTLA.ORG FOR MORE INFORMATION. TO BUY TICKETS, ADS, ETC. GO TO WWW.ROTARYUSCUCLATAILGATE.ORG