Will be hosting a seminar on

Wednesday, August 25th, 2021 titled:

“Maintaining Effective Corporate Governance during a pandemic”

Learning Objectives:

1. Outlining key changes to corporate governance that have emerged during the pandemic.
2. Clarifying the essential elements of organizational governance frameworks.
3. Outlining the components of internal control and assurance systems that form part of the oversight function of governing bodies.
4. Mapping recent developments in good practice guidance with respect to organizational governance, compliance management systems, whistleblowing, and internal auditing.

Facilitator: Dr Axel Kravatzky
Co-Convenor & Editor of the New Foundational Standard
ISO 37000 Governance of Organizations - Guidance

ONLINE TRAINING: IIA ZOOM

Time: 9:00 a.m. – 3:00 p.m.
5 CPE Credits available

Cost: IIA Member - US $150.00 / TT $1,000.00 (Vat Inclusive)
Non member - US $210.00 / TT $1,400.00 (Vat Inclusive)

For more information, contact the IIATT Office Administrator, Juliana Julien at:
Phone: 625-5558  Fax: 623-4560  Mobile: 769-1671  Email: iiatt@flowtrinidad.net / theiiatt01@gmail.com
**Facilitator’s Profile**

Dr. Axel Kravatzky is a Managing Partner of Syntegra-ESG LLC and led numerous engagements in Corporate Governance, Strategy, Leadership Development, and Change Implementation over the past 20 years. He has worked with clients in the private and public sector, mostly in the Caribbean and Europe.

Axel was the founding Chairman of the Caribbean Corporate Governance Institute. He served for more than six years on United World Colleges International board of directors, as well as its council. He is the Vice-Chair of ISO/TC309 Governance of organizations, Co-convenor of ISO/TC309/WG1 and editor of the new foundational standard ISO 37000 Governance of Organizations-Guidance. Dr. Kravatzky is also the Chair of Trinidad & Tobago Bureau of Standard's National Mirror Committee (NMC).

Axel is an alumnus of UWC-USA and the London School of Economics and Political Science where he earned a PhD, Development Studies & Operations Research, MSc, Economics & Development Studies, BSc, Economics and Philosophy. He has received extensive training in various areas of Corporate Governance, Business Ethics and Risk Management, has been an active member of the International Corporate Governance Network (ICGN), and is currently a practice member of the European Corporate Governance Institute. Axel has published in the field of corporate governance and received a license by the Global Corporate Governance Forum (GCGF) to train Directors in Board Leadership.

**Course Overview:**

The COVID-19 pandemic has stress-tested boards, governance frameworks and organizations. Mass disruptions to business operations coupled with the organization’s changing risk profile has resulted in increased demand for transparency and accountability. At the same time, there have been major innovations and renewals in the good practice guidance for internal control systems of organizations. The IIA’s “Three lines of defence” model is now the “Three lines model”, ISO 19600 has been replaced with ISO 37301 Compliance Management Systems, ISO 37002 Whistleblowing Management Systems is being published, and later this year, ISO 37000 Governance of Organizations will be published. Along with ISO 31000 Risk Management, these form important cornerstones of the internal controls system across all types of organizations and jurisdictions. In this session, we examine how they fit together, and review implications for boards, managers, and assurance providers. It is imperative that the Internal Audit activity remains proactive and prepared as the situation continues to evolve.
# Registration Form

<table>
<thead>
<tr>
<th>Member No. (or N-M for Non-Member)</th>
<th>Name of Participant</th>
<th>Job Position</th>
<th>Phone Contact (PREFERABLY MOBILE CONTACT)</th>
<th>Cost $</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Company Name:**

<table>
<thead>
<tr>
<th><strong>Mailing Address:</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>Contact Name:</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>Contact No.:</strong></th>
<th><strong>Fax No.:</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

## SEMINAR COST PER DAY:

- **IIA Member:** TT $1,000.00 (VAT Inclusive)
- **Non-Member:** TT $1,400.00 (VAT Inclusive)
- **US $** 150.00
- **US $** 210.00

## PAYMENTS CAN BE MADE BY THE FOLLOWING:

1. Direct deposit to our Bankers
   - **First Citizens Bank Limited**
   - **#62 Independence Square,**
   - **Port of Spain**
   - **Account No.:** 015042465570

2. Cheque or Cash payments
   - **ABA CODE:** 026005092

3. Wire Transfer Information:
   - **COMPANY:** WELLS FARGO BANK N.A.
   - **INTERNATIONAL OPERATIONS**
   - **P.O. BOX 13860,** PHILADELPHIA,
   - **PENNSYLVANIA, 19101-3866,** U.S.A.
   - **SWISS CODE:** PNBPUS3NNY

   - **SWISS CODE:** FCTTTPS

   - **COMPANY:** THE INSTITUTE OF INTERNAL AUDITORS
   - **BENEFICIARY ADDRESS:** PROFESSIONAL CENTRE, #11-13 FITZ BLACKMAN DRIVE, WRIGHTSON ROAD EXT., PORT OF SPAIN, TRINIDAD, W.I.
   - **BENEFICIARY A/C NO.:** 015042465570

## CANCELLATION POLICY:

Any cancellation received after Friday, August 20th @ 4:00 p.m.

FULL PAYMENT FOR THE PROGRAMME WILL BE APPLIED.

For more information, contact our Office Administrator, Juliana Julien at:

Phone: 625-5558  Fax: 623-4560  Mobile: 769-1671  Email: iiatt@flowtrinidad.net or theiiatt01@gmail.com