Chapter Members –

Welcome to a new chapter year! I hope everyone is having a fulfilling and productive summer and each of you had an opportunity to enjoy time with family and friends.

As your incoming President, I would like to thank all the current and past officers and board members for their hard work. We would not be able to put on the chapter events without your help! Speaking of volunteers, we once again are looking for help (See Page 4)! No task is too small! If you are interested in getting involved with the chapter, please reach out to me. Volunteering is a great way to meet others within the profession and we are always looking for new and fresh ideas. Our chapter’s success depends on the ideas and contributions from members like you!

It’s crazy to think the summer is winding down, but that means opportunities to earn CPE are right around the corner. Your chapter leaders have been working hard through the summer to create a programming lineup with lots of topic variety. We hope you will join us at our first meeting in September. We will be at the Wakonda Club listening to Brian Kruk speak about Quality Assurance.

I also want to make a special shout out to one of our Board Member, Kelley Ellis. Kelley was selected to receive a 2019 Ted Keys Honorable Mention for her article, “Model Governance, Where to Begin?” published in “Governance Perspectives” in the June 2018 issue. Please congratulate Kelley next time you see her!

If there is anything I can do as your Chapter President to help serve you better, I encourage each of you to submit any questions or comments. This helps to facilitate strong communication links between our members and the chapter leadership. I look forward to seeing you all at a future chapter event this year.

Ashley Ryan, Central Iowa Chapter President
MEMBERSHIP STATISTICS

448 Active Members
35 New Members in 2019
329 members belong to Audit Group Members
28 Government Audit Group Members
118 members hold at least one IIA certification

WELCOME NEW MEMBERS

Namrata Agarwal
Reed Bailey
Greg Bodensteiner
Cory Buntrock
Christian Claire
Cody Flattery
Daniel Graber
Brandon Helton
Christy Morehead
Isiuwa Tanimojo
Sara Ulmer

TRAINING OPPORTUNITIES

SEPTEMBER MEETING DETAILS

DATE Tuesday, September 10, 2019
TIME 11:30 - 1:00 P.M. (Registration begins at 11:15)
TOPIC Quality Assurance & Improvement Program
SPEAKER Brian Kruk, Honkamp Krueger & Co, P.C.
WHERE Wakonda Club
RSVP Look for a link coming soon!
REGISTRATION DEADLINE: Noon on Thursday 9/5

ABOUT THE TOPIC:

The presentation will focus on the overall Quality Assurance and Improvement Programs required by the IIA’s Red Book Standards 1300-1322 series. To provide the proper prospective, it will cover the IIA’s quality initiative evolution from its inception up to and through the latest quality assurance guidance. To be successful, audit functions need to be concerned about each of their stakeholders (customers) perceptions related to adequate assurance, risk coverage and value added to the organization. This presentation addresses how a right-sized, properly structured, quality assurance program aligned with the requirements of the Professional Practice Framework, can impact the oversight and measurement of internal audit’s efficiency and effectiveness to positively affect stakeholder perceptions.

Brian has over 30 years of professional business experience including 20+ years of progressive experience in internal audit, 7 years in management level finance and 6 years in executive level management. As a national practice director of quality assessment services for a nationwide professional service provider, he has directed and led more than 100 quality assessment internal audit engagements for a wide variety of clients, including several Fortune 100 companies. Prior to this, Brian was AVP of quality audit services for the Institute of Internal Auditors (IIA) where his group was the driving force in the rollout of the new quality assessment initiatives. During this time, he was involved in over 100 quality assessment and other quality-related projects.

Central Iowa IIA Cancellation Policy - The Chapter is financially obligated for your registration if you choose to attend or not. Please refer to the cancellation policy located on the Chapter's Training and Event's page.

OCTOBER MEETING DETAILS – SAVE THE DATE

DATE Tuesday, October 8, 2019
TIME 11:30 - 1:00 P.M. (Registration begins at 11:15)
TOPIC Accounts Payable Fraud
SPEAKER Tracey Douglas, Vermeer
WHERE Wakonda Club
RSVP Look for a link in the near future!
TRAINING OPPORTUNITIES

FALL SEMINAR – SAVE THE DATE

DATE          Monday, October 21, 2019
TIME          8:00am – 4:30pm (8 CPEs)
TOPIC         Embedding Critical Thinking in the Audit Process
 SPEAKER       Bret Kobel, Verracy
WHERE         Farm Bureau, 5400 University Avenue, West Des Moines, IA
COST          Members - $120 (Early Bird until 9/20/19 @ $100)
               Nonmembers - $145 (Early Bird until 9/20/19 @ $125)
RSVP          Look for a link in the near future!

ABOUT THE TOPIC:
Organizations are looking to their audit departments for company insights, risk and fraud expertise, in-depth industry knowledge, and forward-looking reporting. Auditors are expected to be open-minded and analytical thinkers. Decision making skills and professional judgment are a necessity in internal audit. Teaching auditors to utilize critical thinking skills throughout the audit process strengthens their ability to identify and assess risk, prioritize testing, align audit objectives with business objectives, and identify true value-add activities.

This course provides the skills and techniques necessary to embed critical thinking skills in all aspects of the audit cycle. The tools and techniques shared will guide your use of critical thinking skills in risk assessment, interviewing, audit evidence gathering, and report writing. Real-life examples of failures and successes of critical thinking in internal audit will be covered to further the learning process.

This course is interactive with multiple hands-on exercises and class participation to provide opportunities for you to practice what you learn."

UPCOMING IIA MEMBER ONLY WEBINARS

- 20-August-2019
  Members-only Webinar: GDPR, CCPA and the Impact of Evolving Global Data Privacy Regulations on Internal Audit

- 17-September-2019
  Members-only Webinar: Agile Auditing: Transforming the Internal Audit Process and Value Proposition Using the Scrum Process Framework

- 01-October-2019
  EHSAC Webinar: Product Stewardship Recycler Audits vs. Conventional EHS Audits

- 05-November-2019
  PSAC Virtual Symposium: Preparing Public Sector Auditors for Today’s Terrain
Would you like to volunteer for a committee?

If so, please contact anyone below:

Ashley Ryan  
Principal Financial Group  
President

Adam McCleish  
Prairie Meadows  
Second Vice President

Dani Leih  
Wellmark  
Secretary

Laurel Rueter  
Principal Financial Group  
Treasurer

CHAPTER NEWS

The 2020 IIA Midwest District Conference!

The Central Iowa Chapter is planning the 2020 IIA Midwest District Conference, and we need YOUR help! There are a variety of opportunities we need your assistance with to ensure we make the next District Conference a huge success!

Call for Sponsors!
If you and/or your company would like to support our conference, please consider becoming an official 2020 IIA Midwest District Conference sponsor! We have many sponsorship opportunities available and would greatly appreciate your support. To request a list of sponsorship opportunities or become one of our sponsors, please email Kenn Yu at Yu.Kenn@principal.com.

Call for Speakers!
We are in need of some great key note and conference speakers. If you are or know of a speaker who would like to present on an audit topic related to leadership, technology, GRC, or emerging risks/Issues at the 2020 conference, please contact Ryan Willhite at ryanwillhite@gmail.com.

Call for Volunteers!
We would love to have you on our team! Our District Conference Committees include Programs, Key Notes, Sponsorship, Marketing, and Registration committees. Our greatest need right now is for an outgoing individual who is willing to lead the Emerging Risks/Issues track in the Programming Committee. For more information and/or to join one of our committees, please email Ainslee Barnes at ainslee.barnes@caseys.com.

Thank you to all who have gotten the conference planning process off to a great start!

CALL FOR VOLUNTEERS – WE NEED YOU – CHAPTER LEADERSHIP!!

VP1 (REMAINING ONE YEAR TERM) - The 1st Vice President provides advice and leadership to its committee members regarding best practices and other matters to assist in the governing of the Chapter. Attend Board meetings and help plan our Spring Seminar.

Specific Committees include the Membership & Professional Development:

- Recruit, recognize and welcome members.
- Provide networking and volunteering events to members.
- Provide mentorship opportunities to members
- Recognize CIA, CFSA, CGAP, CCSA and promote certifications.

BOARD OF GOVERNORS (REMAINING 3-YEAR TERM UNTIL 2021) - The Board of Governors provides advice and leadership to Chapter Officers regarding past practices and other matters to assist the Officers in governing the Chapter. Primary Responsibilities include:

- Attend and participate in all meetings of the Board of Governors.
- Take an active role on a committee or committees as committee chair or committee member
EMPLOYMENT OPPORTUNITIES

- Senior Associate – Process Risk and Controls - RSM
- Senior Auditor – American Enterprise Group, Inc.
- Internal Auditor – Des Moines Public Schools
- Senior Internal Auditor – Boys Town

CERTIFICATION CORNER

CIA PROGRAM CHANGES COMING IN SEPTEMBER 2019

Please note the following changes to the certification programs, effective September 1, 2019:

- The exam waiting period (formerly 90 days) will now be 60 days. This applies to all IIA programs.
- The Certified Internal Auditor® (CIA®) program window (formerly 4 years) will now be 3 years.
- The Internal Audit Practitioner program will no longer require work experience (six months of experience was required previously).