A MESSAGE FROM THE PRESIDENT

Chapter Members –

I am still in denial about the first snowfall this week. I was one of those parents scrambling to find the snow gear packed away and hoping it still fit!

Tracy Douglas from Vermeer Corporation joined us earlier in October to discuss how they identified a fraud scheme and the continuous monitoring being performed on Accounts Payable activities going forward. Last week, members worked to develop and hone their critical thinking skills during our Fall Seminar with Verracy. Next up in November, Fastpath will be joining us to discuss both internal and external threats, control objectives, and real-life scenarios of perpetrated fraud from internal threats. We have lots of great programming events occurring, with many more planned!

We continue to still seek volunteers to join our chapter committees. Please take some time to consider if you are able to give back to the profession. There are opportunities at all levels of commitments. Check out the write up within this newsletter from our very own Board Member, Kelly Ellis. She had the opportunity to attend an IIA conference centered around Academic Relations, the committee she chairs for the Central Iowa Chapter. I look forward to seeing how the Academic Relations Committee takes those ideas and practices to build up the audit profession this year!

As always, I encourage you to reach out with any ideas to enhance our Chapter’s activities. It takes a village to keep our Chapter operating at an engaged level. The more members we have working together the better the programs will be. I hope you can take time to join us at our next monthly meeting.

Ashley Ryan, Central Iowa Chapter President
MEMBERSHIP STATISTICS

457 Active Members
56 New Members in 2019
335 members belong to Audit Group Members
27 Government Audit Group Members
119 members hold at least one IIA certification

WELCOME NEW MEMBERS

Margaret Gordon
Saraswathi Bendapudi
Henry Schuerer

TRAINING OPPORTUNITIES

NOVEMBER MEETING DETAILS

DATE: Wednesday, November 13, 2019
*NOTE – The date has changed for this month only due to a conflict with the CAE Roundtable
TIME: 11:30 - 1:00 P.M. (Registration begins at 11:15)
TOPIC: Internal Control
SPEAKER: Staff from Fastpath, Inc.
LOCATION: Wakonda Club, 3915 Fleur Drive, Des Moines, IA
RSVP: Register Here
REGISTRATION DEADLINE: Noon on Thursday 11/7

ABOUT THE TOPIC:
Mouse in the House: Don't Lose Sight of Internal Control in the Panic Around External Threats

While most of us are focused on the rising threat of external factors, it is important to not forget that just over half of fraud and error is committed by insiders. Internal controls are often thought of as a 'check the box' exercise due to regulatory pressure, but are actually the most effective form of risk prevention you can have. From 'Tone at the Top' to ethics trainings to front-line control performance, the three lines of defense have never been more relevant.

Objective #1: Understand the difference in internal and external threats
Objective #2: Explore the different types of controls and control objectives.
Objective #3: Hear real life stories about actual perpetrated frauds from internal threats.

Central Iowa IIA Cancellation Policy - The Chapter is financially obligated for your registration if you choose to attend or not. Please refer to the cancellation policy located on the Chapter's Training and Event's page.

DECEMBER MEETING DETAILS – SAVE THE DATE

DATE: Tuesday, December 10, 2019
LOCATION: Wakonda Club, 3915 Fleur Drive, Des Moines, IA
UPCOMING IIA MEMBER ONLY WEBINARS

- 05-November-2019
  [PSAC Virtual Symposium: Preparing Public Sector Auditors for Today’s Terrain](#)

- 12-November-2019
  [Members-only Webinar: Blockchain Technology: What Internal Auditors Should Know](#)

- 09-December-2019
  [EHSAC Webinar: Regulatory Roll-backs at the EPA](#)

- 17-December-2019
 Would you like to volunteer for a committee?
If so, please contact anyone below:

Ashley Ryan
Principal Financial Group
President

Adam McCleish
Prairie Meadows
Second Vice President

Dani Leih
Wellmark
Secretary

Laurel Rueter
Principal Financial Group
Treasurer

CALL FOR VOLUNTEERS – WE NEED YOU – CHAPTER LEADERSHIP!! VP1 (REMAINING ONE YEAR TERM)
The 1st Vice President provides advice and leadership to its committee members regarding best practices and other matters to assist in the governing of the Chapter. Attend Board meetings and help plan our Spring Seminar.
Specific Committees include the Membership & Professional Development:
- Recruit, recognize and welcome members.
- Provide networking and volunteering events to members.
- Provide mentorship opportunities to members.
- Recognize CIA, CFSA, CGAP, CCSA and promote certifications.

KELLY ELLIS: BUILDING THE PROFESSION THROUGH ACADEMIC INVOLVEMENT
Each year the IIA holds a conference in Orlando that brings together students, educators and Academic Relations Chairs to increase student awareness of the profession. Universities can be engaged in several different ways: talking to students about careers in internal auditing, creating internal audit courses, building relationships with internal audit professionals, and performing research related to internal audit topics.

The conference was an opportunity to hear about the challenges and opportunities to build more engaged academic relationships. There was also a lot of discussion about how to involve students in local IIA events through student nights, mentoring, using students to volunteer to help with local conferences or providing social media commentary.

In addition, the conference hosts a student competition. Each team chose a local business to complete a control evaluation. The students visited the site and talked with staff and identified all the controls that were place at that location. They also tried to identify missing or ineffective controls. The students then presented the controls with their recommendations.

The biggest challenge ARCs identified was getting “face time” with the local university faculty to explain the resources that are available to them (and then getting them to use them). It is a challenge to impact a curriculum given the number of stakeholders that are involved in the process. The Central Iowa Chapter is well positioned as we have members who work at large universities and advocate for the profession already.

The committee will be meeting soon to discuss some of the ideas generated from the conference and determine our initiatives for the year. We may be reaching out to you over the year to mentor a student, to be a guest speaker at a classroom, or to be interviewed by a student doing some career exploration. We look forward to engaging the membership in our area colleges and universities. If you are interested in volunteering for some of these academic activities, please reach out to me at Kelley.Ellis@Drake.edu.

EMPLOYMENT OPPORTUNITIES

- Auditor II, III – Iowa State University
- Senior Internal Auditor – Boys Town
- SPEC Associate Internal Auditor – Des Moines Public Schools
CERTIFICATION CORNER

SHORT ON CPE? DON'T FORGET THIS CPE OPPORTUNITY

With the release of each bi-monthly edition of Internal Auditor magazine, you have the chance to earn one IIA CPE credits to support your IIA Certifications, for a total of 6 CPE's annually! Available free to members, each online quiz takes your experience with the publication to the next level by testing your internal auditor knowledge. Click here for more information.

CPE REPORTING WINDOW OPEN

All certified individuals must report annual continuing professional education (CPE) credit hours by December 31. This lets you display your certification credential(s) and makes you eligible to be included in The IIA Certification Registry.

CAP REPORTING – GOING FOR GOLD

The Chapter Achievement Program (CAP) is an achievement and recognition program for chapters that use points to recognize chapter activities aligning with the North American Strategic Plan. The program helps to set minimum standards of performance for service to members and to the profession. It also provides a means to evaluate chapter success.

A chapter must earn the minimum CAP points annually in each of the 3 service categories to participate in the CAP program. The 3 service categories are: 1) Service to Members, 2) Service to the Profession, and 3) Chapter Administration.

Our new term started June 1st. Below are just some ways you can help us easily earn CAP points and we would certainly appreciate your help. You can help the Chapter earn CAP points by:

- Attending chapter events (luncheons, seminars, socials, and student events, etc.)
- Submitting articles to the Internal Auditor magazine, or other professional journals; extra points are given if your article is published
- Developing exam questions for IIA Certification exams
- Hosting an internal audit event at work (Lunch and Learn)
- Meeting with a legislator or local politician to discuss The IIA, legislation, etc.
- Conducting a speaking engagement in or outside the US, Canada, Caribbean (unpaid)