A MESSAGE FROM THE PRESIDENT

Chapter Members –

School is in full swing and the crisp fall weather is right around the corner.

I would like to welcome Traci Taylor from Grinnell Mutual Reinsurance Company to our Central Iowa IIA Board. She answered our call for volunteers and will be stepping in for the remaining two-year term that recently became vacant. On behalf of the Central Iowa Leadership and Board, we thank you for becoming involved and look forward to working with you over the next few years!

We are still in need of volunteers, specifically for our Vice President role. If you aren’t ready to take on a larger role, there are plenty of opportunities to become involved in a smaller capacity. Did I mention you receive a few free monthly meetings by actively participating!? Not to mention getting to network and get to know other auditors outside your organization. If you are interested in giving back to the internal audit profession and would like to learn of volunteer opportunities, please reach out to our Chapter at Chapter96@iiachapters.org.

Our Chapter Leadership Team’s primary purpose for the coming year is to provide quality development and networking opportunities to all our members. Our September meeting at the Wakonda Club will be happening next week. I hope many of you will come out to hear Brian Kruk discuss Quality Assurance and Improvement Programs (QAIP). A strong QAIP can help validate and strengthen your internal audit activity and identify opportunities to enhance its effectiveness. I personally am involved in QAIP and the internal assessments at my organization and I look forward to what additional insights and ideas Brian shares.

I would like to challenge everyone receiving our chapter newsletter to attend one additional event over and above what you did last year. Help keep our chapter and the profession strong and growing!

Ashley Ryan, Central Iowa Chapter President
MEMBERSHIP STATISTICS

455 Active Members
42 New Members in 2019
326 members belong to Audit Group Members
27 Government Audit Group Members
122 members hold at least one IIA certification

WELCOME NEW MEMBERS

Jody Dierickx
Margaret Duffield
Kassey Huffaker
Joshua Kiskamp
Isaiah Matthew-Mooers
Alysha Patterson
Hannah Wagner

TRAINING OPPORTUNITIES

SEPTEMBER MEETING DETAILS

DATE: Tuesday, September 10, 2019
TIME: 11:30 - 1:00 P.M. (Registration begins at 11:15)
TOPIC: Quality Assurance & Improvement Program
SPEAKER: Brian Kruk, Honk amp Krueger & Co, P.C.
WHERE: Wakonda Club
RSVP: Register Here
REGISTRATION DEADLINE: Noon on Thursday 9/5

ABOUT THE TOPIC:

The presentation will focus on the overall Quality Assurance and Improvement Programs required by the IIA’s Red Book Standards 1300-1322 series. To provide the proper prospective, it will cover the IIA’s quality initiative evolution from its inception up to and through the latest quality assurance guidance. To be successful, audit functions need to be concerned about each of their stakeholders (customers) perceptions related to adequate assurance, risk coverage and value added to the organization. This presentation addresses how a right-sized, properly structured, quality assurance program aligned with the requirements of the Professional Practice Framework, can impact the oversight and measurement of internal audit’s efficiency and effectiveness to positively affect stakeholder perceptions.

Brian has over 30 years of professional business experience including 20+ years of progressive experience in internal audit, 7 years in management level finance and 6 years in executive level management. As a national practice director of quality assessment services for a nationwide professional service provider, he has directed and led more than 100 quality assessment internal audit engagements for a wide variety of clients, including several Fortune 100 companies. Prior to this, Brian was AVP of quality audit services for the Institute of Internal Auditors (IIA) where his group was the driving force in the rollout of the new quality assessment initiatives. During this time, he was involved in over 100 quality assessment and other quality-related projects.

Central Iowa IIA Cancellation Policy - The Chapter is financially obligated for your registration if you choose to attend or not. Please refer to the cancellation policy located on the Chapter's Training and Event's page.

OCTOBER MEETING DETAILS – SAVE THE DATE

DATE: Tuesday, October 8, 2019
TIME: 11:30 - 1:00 P.M. (Registration begins at 11:15)
TOPIC: Accounts Payable Fraud
SPEAKER: Tracey Douglas, Vermeer
WHERE: Wakonda Club
RSVP: Register Here
TRAINDING OPPORTUNITIES

FALL SEMINAR – SAVE THE DATE

DATE: Monday, October 21, 2019
TIME: 8:00am – 4:30pm (8 CPEs)
TOPIC: Embedding Critical Thinking in the Audit Process
SPEAKER: Bret Kobel, Verracy
WHERE: Farm Bureau, 5400 University Avenue, West Des Moines, IA
COST: Members - $120 (Early Bird until 9/20/19 @ $100)
Nonmembers - $145 (Early Bird until 9/20/19 @ $125)
RSVP: Look for a link in the near future!

ABOUT THE TOPIC:
Organizations are looking to their audit departments for company insights, risk and fraud expertise, in-depth industry knowledge, and forward-looking reporting. Auditors are expected to be open-minded and analytical thinkers. Decision making skills and professional judgment are a necessity in internal audit. Teaching auditors to utilize critical thinking skills throughout the audit process strengthens their ability to identify and assess risk, prioritize testing, align audit objectives with business objectives, and identify true value-add activities. This course provides the skills and techniques necessary to embed critical thinking skills in all aspects of the audit cycle. The tools and techniques shared will guide your use of critical thinking skills in risk assessment, interviewing, audit evidence gathering, and report writing. Real-life examples of failures and successes of critical thinking in internal audit will be covered to further the learning process.

This course is interactive with multiple hands-on exercises and class participation to provide opportunities for you to practice what you learn.

UPCOMING IIA MEMBER ONLY WEBINARS

- 17-September-2019
  Members-only Webinar: Agile Auditing: Transforming the Internal Audit Process and Value Proposition Using the Scrum Process Framework

- 01-October-2019
  EHSAC Webinar: Product Stewardship Recycler Audits vs. Conventional EHS Audits

- 15-October-2019
  Member-only Webinar: Enhancing Internal Audit Effectiveness Through the Core Principles

- 05-November-2019
  PSAC Virtual Symposium: Preparing Public Sector Auditors for Today’s Terrain
The Central Iowa Chapter is planning the 2020 IIA Midwest District Conference, and we need YOUR help! There are a variety of opportunities we need your assistance with to ensure we make the next District Conference a huge success!

**Call for Sponsors!**
If you and/or your company would like to support our conference, please consider becoming an official 2020 IIA Midwest District Conference sponsor! We have many sponsorship opportunities available and would greatly appreciate your support. To request a list of sponsorship opportunities or become one of our sponsors, please email Kenn Yu at Yu.Kenn@principal.com.

**Call for Speakers!**
We are in need of some great key note and conference speakers. If you are or know of a speaker who would like to present on an audit topic related to leadership, technology, GRC, or emerging risks/issues at the 2020 conference, please contact Ryan Willhite at ryanwillhite@gmail.com.

**Call for Volunteers!**
We would love to have you on our team! Our District Conference Committees include Programs, Key Notes, Sponsorship, Marketing, and Registration committees. Our greatest need right now is for an outgoing individual who is willing to lead the Emerging Risks/Issues track in the Programming Committee. For more information and/or to join one of our committees, please email Ainslee Barnes at ainslee.barnes@caseys.com.

Thank you to all who have gotten the conference planning process off to a great start!

**CALL FOR VOLUNTEERS – WE NEED YOU – CHAPTER LEADERSHIP!!**

**VP1 (REMAINING ONE YEAR TERM)** - The 1st Vice President provides advice and leadership to its committee members regarding best practices and other matters to assist in the governing of the Chapter. Attend Board meetings and help plan our Spring Seminar. Specific Committees include the Membership & Professional Development:

- Recruit, recognize and welcome members.
- Provide networking and volunteering events to members.
- Provide mentorship opportunities to members
- Recognize CIA, CFSA, CGAP, CCSA and promote certifications.
EMPLOYMENT OPPORTUNITIES

- Lead Internal Auditor – EMC Insurance
- Internal Audit Supervisor – EMC Insurance
- Senior IT Auditor - Farm Bureau
- Senior Associate – Process Risk and Controls - RSM
- Senior Auditor – American Enterprise Group, Inc.
- Senior Internal Auditor – Boys Town

CERTIFICATION CORNER

CIA PROGRAM CHANGES IN SEPTEMBER 2019

Please note the following changes to the certification programs, effective September 1, 2019:

- The exam waiting period (formerly 90 days) will now be 60 days. This applies to all IIA programs.
- The Certified Internal Auditor® (CIA®) program window (formerly 4 years) will now be 3 years.
- The Internal Audit Practitioner program will no longer require work experience (six months of experience was required previously).