February Virtual Event
How Recent COSO Guidance Impacts Businesses in a Transformational World
Paul J. Sobel, COSO Chairman, CIA, QIAL, CRMA

Date: Tuesday, February 23, 2021
Time:
10:00 AM – 11:00 AM Program
11:00 AM – 12:00 PM Board Meeting
Location: Virtual Zoom Meeting

Cost:
- Members: $5
- Non-members: $5
- Retirees: $5
- Students: Free

Register for the event online at https://na.eventscloud.com/coso2021

Payment: Cancellation after February 22, 2021 incurs the cost

CPEs (CIA): 1 CPE (CIA and CPA). Category: Audit. The CNY IIA sponsor ID number for NYS is 002212. CPE requirements vary from state to state. Contact your state’s board for information concerning your state’s specific requirements.

R.S.V.P.: Registration deadline is Monday, February 22, 2021 at 5:00 PM

March Virtual Event
Maximizing Personal Leadership Power
Seth Peterson, SVP & Chief Enterprise Risk Assurance Executive, The First National Bank

Date: Wednesday, March 24, 2021
Time:
10:00 AM – 11:00 AM Program
11:00 AM – 11:15 AM Awards
11:15 AM – 12:15 PM Board Meeting
Location: Virtual Zoom Meeting

Cost:
- Members: $5
- Non-Members: $5
- Retirees: $5
- Students: Free

Register for the event online at https://na.eventscloud.com/leadershippower

Payment: Cancellation after March 23, 2021 incurs the cost

CPEs: 1 CPE (CIA and CPA). Category: Audit
The CNY IIA sponsor ID number for NYS is 002212. CPE requirements vary from state to state. Contact your state’s board for information concerning your state’s specific requirements.

R.S.V.P.: Registration deadline is Tuesday, March 23, 2021 at 5:00 PM

Save The Date - Virtual Spring Seminar
The CNY IIA will be holding a seminar on Agile Auditing, presented by Raven Catlin. This will be an 8 CPE event to be held on May 4th and May 5th, from 12:30pm to 4:30pm, on both days. More information will be available soon, on our website, http://chapters.theiia.org/central-new-york.
How Recent COSO Guidance Impacts Businesses in a Transformational World
February Event

Outline:

The Committee of Sponsoring Organizations of the Treadway Commission (COSO) is well known for its Internal Control and ERM frameworks. However, COSO also provides guidance and thought leadership on risk management, internal control and fraud deterrence. COSO has been busy in the last year, updating previous guidance and issuing new guidance. The Chairman of COSO will help participants in this session better understand the new guidance, which focuses on managing cyber, blockchain, and compliance risks and controls, and other risk topics.

At the end of this session, participants will be able to:

- Understand how to apply risk management principles to manage cyber risks
- Develop a practical approach to establishing risk appetite
- Evaluate the types of controls necessary for blockchain and distributed ledgers
- Understand how to leverage risk management to create and protect value
- Apply risk management techniques to manage compliance with laws and regulations

Speaker Biography:

Paul J. Sobel, COSO Chairman, CIA, QIAL, CRMA

Paul Sobel, CIA, QIAL, CRMA, is the Chairman of the Committee of Sponsoring Organizations of the Treadway Commission (COSO). He recently retired from Georgia-Pacific, LLC where he served as Vice President/Chief Risk Officer and CAE for this privately-owned forest and consumer products company based in Atlanta, GA. He has authored or co-authored four books: Managing Risk in Uncertain Times; Auditor’s Risk Management Guide: Integrating Auditing and ERM; Internal Auditing: Assurance and Advisory Services; and Enterprise Risk Management: Achieving and Sustaining Success. Paul was The IIA’s Chairman of the Board in 2013-2014 and has served in other IIA leadership roles. In 2012, he was recognized in Treasury & Risk Magazine’s list of 100 Most Influential People in Finance. In 2017, he received The IIA’s Bradford Cadmus Memorial Award and was inducted into The IIA’s American Hall of Distinguished Audit Practitioners, and in 2020 he received the IIA’s Victor Z. Brink Award.
Maximizing Personal Leadership Power
March Event

Outline:

- Examine the difference between Personal and Positional Leadership
- Identify when to utilize personal or positional power
- Evaluate how Emotional Intelligence plays a role in personal leadership
- Create strategies for increasing your personal leadership power
- Understand the meaning of a Growth Mindset and how to apply it to real life examples

Speaker Biography:

Seth Peterson, SVP & Chief Enterprise Risk Assurance Executive, The First National Bank

Seth Peterson is the Senior Vice President and Chief Enterprise Risk Assurance Executive at The First National Bank in Sioux Falls. He has been auditing within the financial services industry for over 10 years including traditional banking and prepaid. Seth received his BA degree from Buena Vista University and his MBA from The University of South Dakota. Seth has a deep background in regulatory compliance, operational audits, Sarbanes-Oxley, process improvements, data analytics, and consulting. Seth has been a frequent content provider for Ia Magazine and AuditChannel.tv.

Seth is a member of the North American IIA Chapter Relations Committee and serves as the District Advisor for the Southeast and Caribbean. He has previously served as a member of The IIA Board of Directors, North American Board of Directors, Audit Committee, Global Finance Committee, District Representative, and various roles at the local chapter level. Seth was selected as a “Top 20 Auditor Under 30” in the June 2013 issue of Ia magazine and was part of the inaugural class of the QIAL program.
2021 General Audit Management Conference is now Fully Virtual!

You will need your IIA credentials (email and password) to log in. If you are not an IIA member and have never set up an IIA login, please create a complimentary Global Account Number.

Tuesday, March 16 – Thursday, March 18, 2021

Pre-Conference Events – Monday March 15, 2021
Post Conference Activities – Friday March 19, 2021

Internal Audit Is More Ready, Relevant and Resilient Than Ever

For 42 years, the GAM Conference has been the essential experience audit executives trust to be influential, engaging, and informative. This tradition of excellence will continue in 2021 with a new theme: Internal Audit Recharged: Ready. Relevant. Resilient. The content will focus on moving beyond disruption to rethinking audit practices and leading in the new norm. The industry’s best and brightest will share their perspectives on optimally positioning today’s audit leaders for future advancement.

To gain competencies, tools, and skills needed to leverage your impact, credibility, and value as a trusted leader in internal audit management, register now for the 2021 GAM Virtual Conference.
Volunteer Opportunities

The CNY Chapter of the IIA is always looking for dedicated volunteers to assist our Board. It’s through the efforts of our volunteers that we can consistently offer quality events and seminars to provide CPE to our members. Volunteering with our Board is not only a great experience, but it provides plenty of networking opportunity with internal auditors throughout our region. If you have any interest, we encourage you to join us for a Board meeting, which we typically hold immediately before or after our events. All are welcome to attend. Please contact Suzanne Isgro, Nominating Committee Chair, if you are interested in volunteering or have any questions.

Current opportunities for the Board include:

**Newsletter** – This committee is primarily responsible for producing our newsletter, *The Dispatch*. This opportunity would be perfect for someone with a creative streak.

**Officer Roles (future)** – Remember that the CNY Chapter Officers progress through the four roles from Secretary, to Treasurer, then VP, and finally President. Thus, we are always looking for our next Board Secretary.

For interest or questions regarding any of these opportunities, please contact Suzanne Igsro at sisgro@srcinc.com.

Please add Chapter58@iiachapters.org to your contacts to ensure you receive future emails from the Central NY IIA!

Like “CNY Institute of Internal Auditors” on Facebook. Check back regularly for exciting IIA sponsored events and Chapter news!
### 2020 - 2021 Officers

**President**
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**Vice President**
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**Secretary**
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### Governors (terms)
- Suzanne Isgro (Past President)
- Zack Singer (Past President)
- Karen Altman, CIA (2022)
- Darrell Cook, CIA (2029)
- Gloria Gibbon, CIA, CFSA, CRMA (2029)
- James P. Gorman, CIA (Life)
- Val McDaniel CIA, CFSA, CRP, CFE (Life)
- Sarah Pickering (2030)
- Terri Suchewski CIA, CPA, CISA, CBM (2030)
- Lynne Wadsworth, CIA, CFSA, CRMA (2022)
- Lynn K. Wilber, CIA, CISA, FMLI (2021)
- Robert J. Wilson, CIA, CFE (Life)
- Daniel Zeppetello, CBA, CPA, CGMA, CRMA (2021)

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