October Chapter Seminar

7th Annual Economic Crime and Cybersecurity Seminar

Date: Wednesday, October 24, 2018

Time:
- 8:45 – 9:00 AM: Registration
- 9:00 AM – 3:15 PM: Program and Lunch
- 3:15 – 3:45 PM: Tour of Forensic Facility (optional)

Location: Utica College, Carbone Family Auditorium in the Economic Crime and Justice Studies Building (ECJS)
1600 Burrstone Road
Utica, NY 13502-4892
315.792.3006

Directions inside The Dispatch.

Cost and Payment:
- IIA, ISACA, ACFE Members: $85
- Non-members: $95
- Students and Retirees: $25
- Free for students if not attending lunch.

Meal: Buffet Lunch

CPEs (CIA): 6 CPEs (CIA and CPA). Category: Auditing. The CNY IIA sponsor ID number for NYS is 002212. CPE requirements vary from state to state. Contact your state’s board for information concerning your state’s specific requirements.

R.s.v.p.: Registration deadline is Tuesday October 16, 2018 at 3:00 p.m.

Program Description - See the speaker bios on the next page

Session 1 – Gen-Z and Cyber: Can You Survive?

Dr. Leslie R. Corbo, Assistant Professor of Cybersecurity
Utica College

Organizations large and small in every industry are taking a beating in security. They are falling victim to hackers, insider threats, and inattentive employees. This staggering increase in cybercrime, coupled with dramatic increases in government regulations, has left more businesses vulnerable to both auditors and hackers. With demands for Bring Your Own Device (BYOD) and Gen-Z entering the workplace, developing an effective cybersecurity program has never been more important.

Session 2 – The Day Before Tomorrow

Nicole Lent, Cybersecurity and Fraud Risk Analyst II
Mike Longo, Systems Development Department Manager II
NBT Bank

This presentation is a discussion on cybercrime and fraud and focuses on how technology and cybersecurity are integrated while providing knowledge and tools to mitigate threats.

Session 3 – FBI National Cybersecurity Program Overview

Eric Lurie, Program Coordinator of Cyber Intrusion Unit, FBI

Mr. Lurie will discuss the national effort the FBI leads to investigate high-tech crimes, cyber-attacks, computer intrusions, and major cyber fraud. He will share insights on the current threat landscape, local resources, collaborative efforts, and information sharing as part of the FBI’s program.

Session 4 – Predators of the Aging: Failing Cognitive Skills of the Aging and Exploitation by Fraudsters

Dr. Donald J. Rebovich, Professor of Criminal Justice
Utica College

This presentation draws upon findings from a study of criminal cases of fraud against the elderly, how failing cognitive skills are exploited by fraudsters, the types of frauds committed, and the use of social engineering methods used by fraudsters to successfully commit their crimes. The study draws upon the analysis of the U.S. Postal Inspection Service (USPIS) national database of frauds against the elderly. Over 175 closed criminal cases (affecting over 8,000 victims) were analyzed. Special attention was paid to the quantitative distribution of cases (e.g., gender, geographic location) and qualitative elements of offenses. Methods of social engineering were examined to highlight how offenders “drew” their victims in. Types of fraud covered in the study include investment fraud, telemarketing fraud, lottery fraud, and sweepstakes fraud.

Session 5 – Fraud Case Studies

Ryne Cornacchia, Manager of Risk Services
AmeriCU Credit Union

This presentation will focus on case studies around synthetic identities, debit card fraud post EMV, and car dealership fraud.
October Seminar Speaker Bios

Dr. Leslie R. Corbo is an Assistant Professor of Cybersecurity at Utica College, teaching undergraduate and graduate courses in various B.S. and M.S. cybersecurity, cyber tools, information security, financial crime, and compliance programs. Previously, Leslie worked as a Senior Information Security Program Manager for PhishMe, Inc., working with organizations to educate employees using controlled phishing scenarios through consulting, planning, simulated execution, and analysis. Professor Corbo has worked with academic institutions and other organizations in data collection, designing and conducting studies involving employee behavior towards phishing emails. Prior to her role at PhishMe, she worked as a Cybersecurity Analyst, an IT-Security Analyst, and an Information System Security Manager (ISSM) on Department of Defense and Department of Homeland Security science and technology efforts, managing information systems while developing, implementing, and enforcing information security plans, policies, and procedures. As an IT-Security Analyst, she conducted investigation, analysis, and incident response.

Nicole Lent is a Cybersecurity and Fraud Risk Analyst II at NBT Bank. Nicole joined NBT in 2013 as an Information Security Analyst. She earned her master’s degree in Cybersecurity with a focus on Cyber Intelligence from Utica College. Nicole is responsible for the debit card fraud monitoring program and has stopped over five million dollars in attempted debit card fraud since taking over the program in 2015. Nicole is responsible for the enterprise wide training and education program with a specialty in Social Engineering. Nicole resides in Waterville, NY with her family, where she is involved in her local PTA.

Mike Longo is Systems Development Department Manager II at NBT Bank. Mike joined NBT in 2012 as a Management Development Trainee and currently is a manager in the Systems Development Department.

Eric Lurie is the Program Coordinator for the Cyber Intrusion Unit of the FBI. Following training at the FBI Academy in Quantico, VA, he was assigned to FBIHQ in the Strategic Information and Operations Center. Subsequently, Eric reported to the Washington Field Office, where he investigated counterintelligence violations for a number of years before transferring to a public corruption squad. He also held the position of certified firearms instructor and Rapid Deployment Team Loadplanner. In June 2011, Eric was promoted to Supervisory Special Agent in the Operational Technology Division, Technical Response Unit (TRU). With TRU, he supervised a team of Electronics Technicians deploying domestically and internationally to provide communications solutions in support of FBI missions. He also serves as a firearms instructor and the Albany division’s Crisis Management Coordinator.

Donald J. Rebovich, Ph.D., Professor of Criminal Justice, is Coordinator of Utica College’s Fraud and Financial Crime Investigation (FFCI) program and Executive Director of Utica College’s Center for Identity Management and Information Protection (CIMIP). Dr. Rebovich’s background includes research in identity crime characteristics, economic crime victimization, white collar crime prosecution, protected health information, human trafficking, and multijurisdictional drug task force development.

Ryne Cornacchia is Manager of Risk Services at AmeriCU Credit Union. Ryne has multiple years of experience with the Bank Security Act and risk management within a vast range of asset sized institutions. Formerly employed by a large international bank and most recently by a regional community bank, Ryne's main focus has been on banking regulations, fraud prevention and detection, and security. Ryne has extensive knowledge of the regulations covering the Bank Security Act, OFAC, anti-money laundering laws, and USA Patriot Act. Ryne’s experience has also included the opportunity to manage a bank’s legal disclosure area, with responsibilities including subpoenas, restraining notices, and levies. As an Adjunct Lecturer at Utica College, he instructs courses in economic crime in both the master's and bachelor's programs. Ryne holds a bachelor’s degree in Economic Crime Investigation from Utica College and a master's in Cybersecurity, also from Utica College. Ryne is also a Certified Fraud Examiner (CFE), Certified Anti-Money Laundering Specialist (CAMS), and a Payments Risk Professional (PRP).

Please add CentralNYIIA@gmail.com to your contacts to ensure you receive future emails from the Central NY IIA!
The CAP Program is Changing

The Chapter Achievement Program (CAP) is The IIA’s way of recognizing excellence at the chapter level. During the 2018 – 2019 Chapter year, The IIA is embarking on major changes to CAP. CAP 2.0 is an achievement/recognition program for chapters that uses points to recognize chapter activities that align with the North American Strategic Plan in three service categories:

- Chapter Health – focuses on items from the chapter’s reporting calendar and chapter standards.
- Service to Members – focuses on growing the chapter’s membership, volunteers, certifications, and accounts for the programming the chapter offers its members.
- Professionalism – focuses on giving back to the profession.

CAP helps Chapters:

- Focus on activities that support annual goals and objectives.
- Keep track of all chapter activities in the chapter year (June 1 – May 31).
- Measure success based on different performance levels reached at year-end: Bronze, Silver, Gold, Platinum, Ruby, Sapphire, or Diamond.
- Benchmark against other IIA chapters of the same size.
- Ensure effective and timely communication with IIA Headquarters, District Representatives, and District Advisors.

The most significant change is how CAP points are earned and allocated. Your chapter leaders are carefully evaluating said changes to determine if the manner in which the activities of the chapter are conducted need to evolve. Our goal is to continue to achieve the GOLD designation and be recognized as a PLATINUM and RUBY chapter.

You, Too, Can be a CAP Superstar

While you are staying tuned, we continue to need CAP Superstars. Contact Dan Zeppetello about:

- Non-fee based, internal audit related presentations or training sessions. Send Dan the date, topic covered, and number of 50-minute segments delivered.
- Submission of articles on internal audit topics to certain publications. The Chapter gains points for the submission, even if the article is not published. Contact Dan for the details about which articles qualify.
- Participation in IIA headquarters-sponsored Leadership Webinars. Send Dan the webinar title and date.

Reach Dan at daniel.zeppetello@nationalgrid.com.

Taking the CIA Exam? The IIA Can Help You Prepare to Pass

Planning to take the CIA exam? The following exam review materials and methods recommended by IIA Learning arm you with critical tools and knowledge to earn advanced certifications and broaden your auditing acumen.

Take advantage of live, instructor-led comprehensive study options, either in-person or online, to discuss challenging topics related to the CIA exam with subject matter experts and peers through the CIA Learning System.

The IIA is offering the following upcoming sessions:

- **Part 1:**
  - Online: October 1 - 19, 2018

- **Part 2:**
  - Online: February 5 - 28, 2019

- **Part 3:**
  - Online: November 5 – 30, 2018

Space is limited! Enroll now:
[https://na.theiia.org/training/Pages/Exam-Review.aspx](https://na.theiia.org/training/Pages/Exam-Review.aspx)

CPE Reporting Window Now Open

The 2018 CPE reporting window is now open. Certified individuals can access their reporting form in CCMS to report 2018 CPE credits. Don’t forget, 2018 CPE reporting requires at least two hours of CPE on the topic of ethics. Learn more about CPE reporting requirements [here](#).
Qualification in Internal Audit Leadership (QIAL)

Today, growth and change in the internal auditing field is demanding a new type of leader — one who drives a high-performing audit team while delivering value by consistently addressing stakeholder needs, top-down risks, and expectations of an evolving marketplace. The IIA has developed the Qualification in Internal Audit Leadership (QIAL) to support members who undertake this journey.

The QIAL program focuses on internal audit leadership skills, organizational abilities, ethics, and innovation and change. QIAL-qualification skills are evaluated in a challenging three-step process:

- Candidates must critically analyze complex information in three separate case studies and produce written responses for each providing insight for a senior-level audience.
- They must prepare and present to a senior-level panel, followed by a rigorous question-and-answer session.
- The final step is a 90-minute interview that focuses on personal and professional experience.

For more information, visit here.

IIA Upcoming Webinars

Visit The IIA’s main Website (theiia.org) for information on these webinars.

- 16-October-2018  
  Members-only Webinar: Attracting and Retaining Top Talent in Internal Audit

- 31-October-2018  
  Managing EH&S Risk: Leveraging COSO ERM: 2017 and ISO 31000 to Enhance EH&S Management Systems

- 13-November-2018  
  Premium Webinar: Learn From The Leader: Thought Leadership on High-Level Topics

On-demand 24/7 webinar playback is also available for members only, with access to The IIA’s extensive library of archived webinars. Note that on-demand webinar playback does not qualify for NASBA CPES.

Student Memberships Offered at a New Lower Rate

In an effort to further support the future of internal audit, the IIA has lowered the student membership rate to just $40 from the original $70.

Spread the word. Some students may not have considered a career in internal auditing, or even know what it is. But if you or a friend is looking for a real opportunity, consider this:

- Risk, fraud, and organizational effectiveness are just a few of the areas internal auditors get involved in.
- Employers are actively seeking out students with exposure to internal auditing.
- Advancement opportunities are truly limitless in this growing profession.
- Work-life balance, higher starting salaries, and travel opportunities are just a few of the possible perks.

Joining The IIA helps you access this world of opportunity as you start building a network of relationships and a base of knowledge that can help you build a solid future. For less money than you might spend on a night out, you can connect with an organization full of future employers and an arsenal of unparalleled career information.

Click on this link for more information: https://na.theiia.org/membership/Pages/Individual-Membership.aspx.
Directions: October 24 Chapter Seminar – Utica College

Economic Crime & Justice Studies Building
Carbone Auditorium
1600 Burrstone Rd.

Utica, NY 13502-4892

Use Parking Lot A off Burrstone Rd.
Signs will be posted.

From points east and west
Travel the New York State Thruway I-90 to Exit 31 (Utica).
Follow the signs carefully to Route 12 South and take the Burrstone Road/Utica College exit. The exit ramp ends at a stop light; take a left onto Burrstone Road West and look for the sign marking the entrance to Utica College (on the right).

From points south
Take Route 12 North to Utica. Exit at Burrstone Road/Utica College. Follow exit ramp to Burrstone Road West and look for the sign marking the entrance to Utica College (on the right).

From points north
Take Route 12 South to Utica. Exit at Burrstone Road/Utica College. The exit ramp ends at a stop light; take a left onto Burrstone Road West and look for the sign marking the entrance to Utica College (on the right).

Like “CNY Institute of Internal Auditors” on Facebook. Check back regularly for exciting IIA sponsored events and Chapter news!
**Berkshire Bank: Senior Auditor**

**Division:** Risk  
**Status:** Exempt

**Department:** Audit  
**Grade:** 8

**Reports to:** VP Senior Audit Manager

**Purpose/Objective:**
Internal auditing is an independent, objective assurance and consulting activity designed to add value and improve an organization’s operations. It helps the organization accomplish its objectives by bringing a systematic, disciplined approach to evaluate and improve the effectiveness of risk management, control, and governance processes. Auditors ensure that the organization is held accountable to its stakeholders and shareholders. As such, internal auditors are key to the organization’s success.

The Senior Auditor is responsible for completing financial, operational, and compliance audits; identifying inadequate, inefficient, or ineffective internal controls; recommending corrective action; and ensuring compliance with policies, procedures, and all applicable laws and regulations. The position assists in the preparation of auditing work papers and draft reports and is responsible for maintaining appropriate records of examinations and providing follow-up for open audit issues.

This position requires discretion and tact in handling confidential and sensitive information relating to overall Berkshire Hills Bancorp policies and operations, specific divisions and departments, personnel, and/or clients. The Senior Auditor must remain independent from management and operational duties while exercising significant professional judgment regarding the interpretation of issues and requirements in an environment of change and complexity.

**Key Accountabilities:**
1. Assist the Senior Audit Managers and Audit Managers in scheduling audits for Associate Auditors.
2. Acts as a supervisor/mentor to Associate Auditors and lower-level staff.
3. Prepare work papers, first day letters, planning memo, and other work paper documentation.
4. Assist the Senior Audit Managers and Audit Managers in determining the scope of the audit/review.
5. Examine management records, reports, and other documentation to ensure compliance with policies and procedures and to ensure appropriate controls are in place and working in a manner to mitigate inherent operational and financial risk within the audited area.
6. Assess and evaluate whether the organization’s policies and procedures support ethical operations and whether there are processes in place to mitigate any threats and risks to the organization’s integrity and ethical reputation.
7. Assess internal controls to identify potential weaknesses and areas of non-compliance.
8. Prepare detailed and thorough audit workpapers that fully support the conclusion reached, including reporting audit observations and recommending corrective action.
9. Communicate audit results to management through a written observation summary and assist with drafting the audit report.
10. Participate and present at audit exit meetings with management.
11. Ensure that audit programs and other audit tools are current and reflect regulatory requirements.
12. Participate in department walk-throughs.
13. Perform SOX control testing.
14. Work with management to ensure timely and proper implementation of any corrective actions.
15. Serve as a back-up to Audit Managers or Senior Managers.
16. Perform on-going training and feedback to Associate Auditor on effective internal auditing techniques.
17. Assist Internal Audit management with preparation for regulatory exams and/or external audits.
18. Assist with special internal audit projects or assignments which may include but are not limited to: stress testing, independent reviews, model validation, fraud investigations, and mergers/acquisitions.
19. Perform other internal audit duties as needed.
20. Ensures compliance with all banking laws, rules, regulations, and prescribed policies/practices/procedures necessary to reduce risk and uphold ethical standards related to and required by one’s duties.
Berkshire Bank: Senior Auditor (continued)

Other:
- The Senior Auditor must remain independent and objective in performing their work.
- In addition to internal bank policies, the Senior Auditor must comply with The Institute of Internal Auditor’s (IIA) Standards and Code of Ethics and possess a high level of trust and integrity within the organization.
- The Senior Auditor must be eligible to seek and/or obtain professional certifications related to internal audit, risk management, compliance, accounting/finance, or similar.
- The Senior Auditor must be comfortable offering insight and advice on banking practices and internal controls to other members of the organization.
- Assist with fraud investigations or other confidential investigations.
- Must be comfortable providing training and feedback to lower-level staff.
- Must be willing to travel to regional locations from time to time.

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<th>Education:</th>
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<th>Skills &amp; Knowledge:</th>
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<td>• Degree from an accredited college or university in a related field (Accounting, Finance, Business Management, etc.) and/or the ability to demonstrate related work experience without a degree in a related field.</td>
<td>• 3+ years in Internal Audit related to banking, accounting, finance, risk management, compliance, and/or information systems.</td>
<td>• Strong analytical and organizational skills.</td>
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<td>• Certification in one or more of the following: CIA, CFE, CISA, CPA, CRCM, CAMS, or CFSA designation is preferred but not required.</td>
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<td>• Working knowledge of computer programs such as Word, Excel, and Access.</td>
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<td>• Strong written and verbal communication skills.</td>
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<td>• Knowledge of internal controls, financial terminology, and bank documentation requirements.</td>
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<td>• Ability to communicate with various levels of staff and management.</td>
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How to Apply:

For further information and to apply, please use the following link:
https://www.candidatemanager.net/CM/p/pJobDetails.aspx?&mid=YDFD&sid=WFCX&jid=GTCXFBAZCX&site=Berkshire+Bank&a=SlzhKk4OjZl%253d&b=GrF28RcZ5X4%253d.
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