Hello fellow IIA members,

I am pleased to say we had a very successful October for our IIA chapter. Larry Rittenberg, COSO Chair-Emeritus, presented a workshop on the 2013 COSO Framework. This workshop was offered to our members as a ‘free’ training opportunity and 23 members attended. In addition, 27 non-members attended. Great job Jon Breckel and Sandra Sivertson for providing an individual of this caliber to share his knowledge!

We also held our annual Student Night which was very successful in accomplishing our objective “to help students learn about the field of Internal Auditing, meet with individuals already practicing in the profession, make contacts with potential employers.” The turnout was great with 18 students and four college educators attending. Thank you to the nine members who volunteered at the event! And a special thank you to the co-chairs Jennifer Thorson and Lisa Grabar.

I am excited for our November events where we are providing an Auditor In-Charge seminar and a chapter meeting focused on the Oil & Gas Growth in North Dakota! As a reminder, we provide lunch free of charge at our monthly meetings.

I would like to ask each of you to be on the lookout for potential new members that would benefit from IIA membership. Also, please let any of us officers or board members know if you would like to get involved in chapter activities. I wish you and your loved ones a Happy Thanksgiving!

Best regards,
Dawn Sackman, Central NoDak Chapter President

Upcoming Events

November 12-13 - Auditor In-Charge Seminar
William Woodington, Woodington Training Solutions
8:00 a.m. – 4:30 p.m. @ MDU Resources Group
16 CPE’s

November 18 - Monthly Meeting
Oil & Gas Growth in North Dakota
Alison Ritter – ND Industrial Commission
12:00 – MDU Resources Group – Room 136

December 9 - Monthly Meeting
Impact of State’s Growth on Worker’s Compensation
Tim Wahlin – Workforce Safety & Insurance
12:00 – MDU Resources Group – Room 136
Member Spotlight

Krisi Kunz - ND Workforce Safety & Insurance

1. Brief synopsis of your professional career.
I began as an auditor with the Department of Human Services in 2006 in the Provider Audit unit. In late 2008 I moved to the Internal Audit Department of Workforce Safety & Insurance (WSI). I completed the Certified Internal Auditor (CIA) in 2012 and the Certification in Risk Management Assurance (CRMA) in 2013. I also have the Workers’ Compensation Professional (WCP) designation. I have been an active member in the IIA since 2009 and have held the position of Secretary and Vice President of the Central NoDak Chapter, as well as being the co-chair for student night several times.

2. Why did you become a member of the IIA?
I became a member of the IIA as part of my position as an Internal Auditor with WSI to help with the completion of my CIA.

3. What are some of your greatest successes or contributions?
Overall I enjoy teaching the other departments in WSI what internal auditing is and building/gaining their trust and showing them we can do more than “just point out all the things we are doing wrong”.

4. Most recent book you’ve read.
Dethroning the King: The hostile Takeover of Anheuser-Busch, and American Icon by Julie MacIntosh ---- I am currently reading Beethoven’s Hair by Russell Martin.

5. Tell us something most people don’t know about you.
I ran a marathon and love (what most people probably see as crazy) endurance challenges to push my body to limits!! I played French Horn starting about age 10 and played all through college.

6. If you could have a superpower...which would you pick?
To be stretchy like Elastigirl from The Incredibles! (I am short, that way I would not have to ask for everyone’s help reaching things).

7. Most unusual or interesting job you’ve had.
I coached figure skating starting in high school up through just a few years ago. Also, as an accounting and business major, I was an intern in the Engineering Department at Basin Electric for three summers.

8. Family, hobbies.
I finally married my high school sweetheart in 2006. We have one son who is 4 ½ (he is at the age where including the ½ is becoming very important!). After living in Jamestown and Oakes we moved back to Bismarck in 2006 to be closer to family. Biggest hobby in our house for everyone is Harley’s. We all spend as much time as possible on the bikes in the summer (and yes our son rides with us, he started riding at age 2). I also scrapbook, read, CrossFit, run, enjoy coffee dates with my sister, hanging out with my little man, love to travel and wish I could afford to do more!
We want to hear from you!

We are always looking for content for this newsletter and welcome articles, news items, recent certifications, passing exams, employment opportunities or other items of interest to our membership.

Please send your submissions to Kim Reinke at Kim.Reinke@mduresources.com.

Articles & Websites of Interest

Richard Chambers, President and CEO of The IIA writes a weekly blog that you may find interesting and informative.

Click Here for President Chambers' Blog

Webinar Opportunities

Don’t forget about the monthly IIA Members-Only Webinar opportunities. These are complimentary as part of your IIA membership and have CPE hours available.

Check them out!

2014 IIA Members-Only Webinar Series

Chapter Achievement Program (CAP) Update

The Chapter Achievement Program (CAP) is an achievement/recognition program for chapters in North America that uses points/credits to recognize chapter activities that align with the North American Strategic Plan in three service categories: Service to Members, Service to the Profession, and Chapter Reporting.

The CAP program helps chapters:

1) Focus on activities that support annual goals and objectives.
2) Keep track of all chapter activities from the beginning of a chapter year (June 1st through chapter year-end, May 31st).
3) Measure success based on different performance levels reached at year-end; Platinum, Gold, Silver or Bronze.
4) Benchmark against other IIA chapters of the same size.
5) Ensure effective and timely communication with IIA Headquarters, their District Representative and Advisor.

Central NoDak Chapter Current CAP Points

<table>
<thead>
<tr>
<th>Category (Minimum Requirements)</th>
<th>Current Total</th>
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<tbody>
<tr>
<td>Total Service to Members (325):</td>
<td>248.94</td>
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<tr>
<td>Total Service to Profession (200):</td>
<td>344.96</td>
</tr>
<tr>
<td>Total Chapter Administration (160):</td>
<td>75.00</td>
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<tr>
<td>Total CAP Points Earned:</td>
<td>668.90</td>
</tr>
</tbody>
</table>

A chapter must earn the minimum CAP points listed above to qualify for an achievement level.

Then, 685 points = Bronze, 1,060 = Silver, 1,560 = Gold.

Chapters reaching Gold 10 of 11 years are Platinum.

How can I help the chapter earn CAP points?

1. Attend the monthly luncheons, seminars, socials, etc.
2. Earn an IIA certification
3. Recruit new members
4. Speaking engagements or training sessions
5. Submit internal audit-related articles for publication to Internal Auditor or any other publication.
6. Provide an internal audit internship at your company for a student.
# 2014-2015 Program

<table>
<thead>
<tr>
<th>Date</th>
<th>Topic/Presenter</th>
<th>CPE</th>
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</thead>
<tbody>
<tr>
<td>September 16</td>
<td>Less Stress=More Success</td>
<td>1</td>
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<tr>
<td>(Monthly Meeting)</td>
<td>• Michelle Wall</td>
<td></td>
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<td></td>
<td>• Dale Carnegie of ND</td>
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<tr>
<td>October 7</td>
<td>COSO Framework Workshop</td>
<td>5</td>
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<tr>
<td></td>
<td>• Larry Rittenberg, PhD, CPA, CIA</td>
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<td></td>
<td>• COSO Chairman Emeritus</td>
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<tr>
<td>October 22</td>
<td>Student Night</td>
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<td></td>
<td>• Introduce students to Internal Auditing</td>
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<tr>
<td></td>
<td>• Interviewing Skills</td>
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<tr>
<td></td>
<td>• Sharon Grantham, B.A., C.D.M.S.</td>
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<td></td>
<td>• Workforce Safety &amp; Insurance</td>
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<tr>
<td>November 12-13</td>
<td>Auditor-in-Charge Seminar</td>
<td>16</td>
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<tr>
<td></td>
<td>• Bill Woodington, CPA, CIA, CRMA</td>
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<td></td>
<td>• Woodington Training Solutions, LLC</td>
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<tr>
<td></td>
<td>Bill presented our spring seminar and received rave reviews!</td>
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<tr>
<td>November 18</td>
<td>Oil &amp; Gas Growth in North Dakota</td>
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</tr>
<tr>
<td>(Monthly Meeting)</td>
<td>• Alison Ritter</td>
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<td></td>
<td>• North Dakota Industrial Commission</td>
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<tr>
<td>December 9</td>
<td>Impact on State’s Growth on Worker’s Compensation</td>
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<tr>
<td>(Monthly Meeting)</td>
<td>• Tim Wahlin, Chief of Injury Services</td>
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<td></td>
<td>• Workforce Safety &amp; Insurance</td>
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<tr>
<td>January 13</td>
<td>Tax Update</td>
<td>1</td>
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<tr>
<td>(Monthly Meeting)</td>
<td>• Donna Vincent, CPA, CIA</td>
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<td></td>
<td>• MDU Resources Group, Inc. and H&amp;R Block</td>
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<tr>
<td>February 10</td>
<td>Cybersecurity</td>
<td>1</td>
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<tr>
<td>(Monthly Meeting)</td>
<td>• Dustin Ketterling, CIA, CISA</td>
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<td></td>
<td>• MDU Resources Group, Inc.</td>
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<tr>
<td>March (TBD)</td>
<td>Banking</td>
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<tr>
<td>(Monthly Meeting)</td>
<td>• Bobbie Larson, CPA</td>
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<td></td>
<td>• American Bank Center</td>
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<tr>
<td>April 7</td>
<td>Fraud Workshop and Monthly Meeting</td>
<td>5</td>
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<tr>
<td>(Monthly Meeting &amp;</td>
<td>• Paul Rosol, CFSA</td>
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<tr>
<td>Workshop)</td>
<td>• IIA District Representative</td>
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<td></td>
<td>• Chief Audit Executive, Christopher &amp; Banks</td>
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<tr>
<td>May 5-6</td>
<td>Presentation Skills, Whole Brain Thinking, Effective Conference Calls and</td>
<td>16</td>
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<td></td>
<td>Grace Writing</td>
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<td>• Graceworks</td>
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</tbody>
</table>
2014 - 2015 Officers

President
Dawn Sackman
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kkunz@nd.gov

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Dustin.Ketterling@mdureources.com

Seminar Coordinator & Newsletter Editor
Kim Reinke
MDU Resources Group, Inc.
701.530.1023
Kim.Reinke@mdureources.com

Treasurer's Report

10/31/2014

Activity in Checking Account:
Beginning Balance  $ 5,026.46
Deposits 6,500.00
Withdrawals 5,984.63
Transfers (to) / from Savings 0.00
Ending Balance  $ 5,541.83

Activity in Savings Account:
Beginning Balance  $ 16,359.46
Deposits 0.00
Interest Earned 0.00
Transfer (to) / from Checking 0.00
Ending Balance  $ 16,359.46

Total Funds Available  $ 21,901.29

Institute of Internal Auditors
Central NoDak Chapter
PO Box 64
Bismarck, ND 58502-0064
Click here for: Chapter Website
MDU Resources Group, Inc.
1200 West Century Avenue
Bismarck, ND 58506
(701) 530-1000

The Institute of Internal Auditors
Central Nodak Chapter
Presents:

Mdu resources Group, Inc.
Bismarck, nd
1200 West Century Avenue
Bismarck, ND 58506
(701) 530-1000

IIA CENTRAL NODAK CHAPTER

locations:
All attendees of the full two-day seminar will be eligible for sixteen (16) hours of Continuing Professional Education (CPE), which may be applied toward professional (re)certification.
CPE Credits: 16

Credit:

Questions and Assistance:
For questions, assistance or additional course information, contact:
Jon Breckel (Bismarck)
(701) 530-1769
jon.breckel@mdresources.com

William Woodington
Woodington Training Solutions, LLC

Auditor In-Charge Training
November 12-13, 2014
8:00 am - 4:30 pm

MDU Resources Group, Inc.
Bismarck, ND
Auditor In-Charge Training

This class is for individuals who need training related to auditor in-charge (AIC) skills. Audit topics and behavioral topics are presented in this class. The class topics include risk, internal audit overview, business analysis, risk assessment, assertiveness, fieldwork, interim performance appraisals, exit meetings, reporting, and follow-up. The Auditor In-Charge Training will provide the tools to effectively lead audit projects.

What you will gain from this seminar:
• Gain an understanding of risk and audit’s role in risk assessment
• Identify the key components and related deliverables of each phase in the audit process
• Gain an understanding of the purpose of business analysis
• Learn how to define and identify business processes
• Learn how to define audit scope
• Gain an understanding of the purpose of risk assessment
• Practice identifying and assessing risks and controls
• Practice key assertiveness techniques in dealing with conflict and criticism
• Identify the key components of audit evidence, sampling, and fraud auditing
• Learn the key components of an Issue and Recommendation (I&R)
• Practice identifying proper workpaper documentation including workpaper review
• Learn about supportive and corrective feedback including writing behavioral based performance comments
• Learn the attributes of a successful exit meeting
• Identify proper ways to handle staff and business partner behavioral issues including conflict management
• Identify the key components of audit reporting and follow-up

Who Should Attend:
• Auditors and compliance specialists who desire additional skills related to leading an audit project

Seminar Presenter: WILLIAM WOODINGTON

William Woodington, President
Woodington Training Solutions, LLC
bill@woodingtontraining.com
763-568-1181

Bill spent 18 years managing the Learning & Development (L&D) function for Wells Fargo Audit & Security, and is based in Minneapolis. He is a CPA, CIA, and CRMA, with a bachelor’s degree in Accounting and a master’s degree in Finance. Bill is also a member of the Institute of Internal Auditors (IIA) and American Society for Training & Development (ASTD). He spent four years as an Audit Specialist supervising audit projects prior to moving into the L&D position. Prior to that, Bill worked for First Bank System and Deloitte and Touche. Bill was responsible for teaching the core curriculum classes (New Hire Orientation, Auditor In-Charge Training, CIA Exam Review, Effective Writing, Situational Leadership, and Leadership 101) to Wells Fargo’s Audit staff. He was also responsible for developing and delivering virtual training related to audit topics, and managing Audit & Security University at Wells Fargo.

Bill has taught seminars for the Institute of Internal Auditors (IIA) and MIS Training Institute (MISTI). He is certified by the IIA to teach CIA Exam Review. He is also certified by the Ken Blanchard Companies to teach Situational Leadership II.

Testimonials

“I must say in my professional career in audit across three large financial institutions, you had the best and most engaging training programs.”
– Scott Dawson, Audit Manager, Wells Fargo

“Bill Woodington is one of the finest facilitators I have ever seen in action.”
– David Wikoff, Former President, The Write Stuff, Inc.

For more seminar information, please visit: https://chapters.theiia.org/central-no-dak/Pages/default.aspx

REGISTRATION FORM

Mail Registration Form with check payable to:
IIA CENTRAL NODAK CHAPTER
PO Box 64
Bismarck, ND 58502-0064

Seminar Fee
IIA Members Non-Members
By Oct. 24, 2014 $400.00 $450.00
After Oct. 24, 2014 $425.00 $475.00

Seminar Hours:
November 12-13, 2014 • 8:00 am - 4:30 pm

Fee includes enrollment, all course materials, refreshments, snacks and lunch.

Please Note: A confirmation e-mail will be sent out after payment and notice of attendance has been received. Confirmation e-mails will be sent out at the end of each week leading up to the seminar.

Refunds will not be made for cancellations received after November 7, 2014.