Greetings Charlotte Members,

I am honored to serve as your President for the Chapter year 2013-2014. As we kick-off our 2013-2014 Chapter year I wanted to take this opportunity to recognize the success of our Chapter over the past years as a result of its amazing leaders and members. This year we have a significant achievement to celebrate, the Charlotte Chapter has once again earned Platinum status from the IIA which is the highest Chapter recognition for excellence in serving our members, serving the profession, and meeting the IIA’s requirements for chapter administration. Please join me in thanking our Board members, Officers, and many volunteers who continue to make our Chapter one of the best in the U.S.

On September 24, 2013, our Chapter held its annual kick-off event at Maggiano’s in South Park and we were so pleased to see many new faces in the crowd. Just feeling the electricity in the room during the networking portion of the event makes it even more rewarding to serve you. We plan on offering many more opportunities for our members to get to know one another while having some fun in the process.

I encourage each of you to visit our website to stay current on the activities and events being planned for the year. Also, let us know what you think about your Charlotte IIA Chapter by contacting us via Twitter or LinkedIn.

I hope you are as excited and proud of the Charlotte IIA Chapter as I am. It’s a pleasure to serve you.

Regards,

Arlene Coyle, CIA, CFSA, CRMA
President

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November Training — Psychology of Fraud

- **When:** November 12th
- **Location:** Embassy Suites 4800 S Tryon St Charlotte, NC 28217
- **CPEs:** 8 Hours
- **Speaker:** Toby Groves
- **Topic:** Psychology of Fraud
- **Cost:** $150 for members

This presentation delves into the very latest breakthroughs in psychological research which uncover individual and group behaviors that reveal how our minds actually work in relation to these experiences. Interactive demonstrations dramatically exhibit to attendees how radically different our intuitions are in relation to the reality of these events, and how they can immediately use this new knowledge in their work.

Click the link to register [November Training](#)
2013 Upcoming Events

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Upcoming Webinars

The following webinars are being offered by The IIA. Click on any webinar title to view a description and outline, along with presenter information and key takeaways.

- 11-12-2013 Internal Audit in a Virtual World - Mobile Security
- 11-13-2013 Continuous Assurance - A Guidance Review
- 12-17-2013 Ethics in the Industry

Welcome To Our New Members

Crystal Adams, Kanika Davis, Stuart Mason
Brian Allen, Kathy Drumwright, Paul Mead
Debra Blew, William Ellis, Kevin Medina
Matthew Bracken, Robert Fitzpatrick, Caroline Melton
Karen Brown, Jonathan Frey, Bonita Nance
Candice Bryan, Daryl Garner, Brett Stewart
Lori Cairo, Jason Goodfriend, Brendan Shea
Frederick Clarida, George Hendrix, Kevin Tashjian
Joy Clark, Barbara Jenkins, Amy Thompson
Melissa Collins, Amanda Kelley, Maxine Thompson
Mary Covington, Michelle Kent, Patrick Wanyinyi

Member Profile—Sarah Lipps, CIA, CRMA

Sarah Lipps is a Senior Audit Leader with Wells Fargo Audit Services, specializing in Capital Markets, Investment Banking, and Market Risks. Sarah joined the IIA in 2008 during her summer internship with Wachovia Internal Audit, and started full time with Wells Fargo in 2012 after completing her MBA from Virginia Tech. She is currently a member of the chapter’s Registration Committee. Since joining Wells Fargo she has obtained her CIA and CRMA.
Thanks to all that turned out for our Kick-off event in September!

A special thank you to all the volunteers that helped make this event such a success!

Ken Ramaley for his presentation on Six Sigma for Auditors
Sarah Lipps and Aaron Cohen for Registration
Demond Pearson for photography

Congratulations To Our Newly Certified Members!

Karen Hogan, CIA  Swapna Velichety, CIA  Aaron Cohen, CIA
Leslie Diane Hambrick, CRMA  John K. Brackett, CRMA  Kevin Tait, CIA
Richard Chambers, CIA, CCSA, CRMA, shares his personal reflections and insights on the profession.

October 2, 2013

You can tell a lot about a person’s character by the way they respond to a setback. I often comment in this blog on best practices and technical issues relating to internal audit. Today, I offer my thoughts on an issue of broader interest — perseverance. I can’t tell you how many people I’ve known over the years who have suffered a setback and never recovered. They just couldn’t get over that hurdle and get on with their professional or even personal lives.

In my career, I can recall at least three times when I dearly wanted a promotion or an assignment, and didn’t get it. Each time, failure presented me with a choice: I could press on and thrive, keeping an eye out for future opportunities, or I could quit — literally or figuratively — and let failure define me.

For me, there was really no choice. I can tell you that every time I failed to get what I wanted but I chose to persevere, I ended up achieving much greater success down the road — opportunities I might have missed if I’d been blessed with what I was sure in my mind was a “big achievement.”

But don’t take my word for it. History is full of similar examples.

Growing up, Milton Hershey thought he wanted to be a printer. I can’t help but wonder what we’d be making s’mores with today if he hadn’t been fired and signed on as an apprentice candy maker.

Walt Disney’s dream of becoming an ace reporter got shot down in flames when an editor at the Kansas City Star fired him for a “lack of creativity.”

Thomas Edison’s teachers told his parents he was stupid.

And Steve Jobs’ greatest successes at Apple came only after he was forced out of the company he founded.

As for me, I was devastated when I did not even make the list of finalist candidates for the Deputy Controller in a large U.S. military command almost 20 years ago. I look back today in awe at how limiting such a “prestigious” career assignment would have been for me.

All of that to say, never be sure what is right for you and never give up — even when you fail to achieve a goal.

I’m not suggesting that failure guarantees future success. You should always examine the reasons you didn’t succeed and seek to grow and improve. Learning is one of the greatest gifts of failure. I’m just urging you not to let failure define you. I would say the same of success. Both are merely mile markers on your personal journey. Neither is a destination.

Rudyard Kipling, author of The Jungle Book and Gunga Din, addressed the similarities of despair and complacency, and the importance of not putting too much stock in either, in his poem “If.” He wrote:

If you can dream — and not make dreams your master;
If you can think — and not make thoughts your aim;
If you can meet with Triumph and Disaster
And treat those two impostors just the same.

Kipling had to overcome some adversity of his own, when he was forced as a child to leave his beloved home in India for boarding school in London.

Life and careers really are journeys. Speaking with the hindsight of more than 40 years in the workforce, I’ve probably questioned every career move at some point, but I don’t regret any of them today.

Take adversity as it comes. You will always learn more in life’s valleys than on its lofty peaks. And never quit. When we accept failure as our lot, then we shortchange ourselves. Do you have a story of perseverance? I’d love to read about it. Share it here.
SAVE THE DATE

2014 IIA District Conference | March 11 – 12, 2014 | 16 CPE Hours

We promise it will be more fun than this.

We are proud to announce that the Triad Chapter of the Institute of Internal Auditors will be hosting the 2014 IIA Mid-Atlantic District Conference at the luxurious Grandover Resort in Greensboro, North Carolina (www.grandover.com). It’s time to start making your Conference, Golf and Spa plans now.

Please visit our web site often at https://chapters.theiia.org/triad/Pages/default.aspx for details as they unfold.

Happy Hour

Join us for drinks at Tavern on the Tracks (1411 S Tryon Street) following the November 12th training.

ISACA EVENT

"AUTOMATED BUSINESS CONTROLS, DATA & SECURITY ANALYSIS"

Tuesday, December 3, 2013, ISACA Charlotte Chapter is hosting a full-day CPE event focused on “Automated Business Controls, Data Analysis & Security Analytics” Hot Breakfast, Mid-morning Snacks, Full Lunch and Afternoon Snacks will be served. Free Parking. Event starts promptly at 8:00 AM, please arrive early for registration, breakfast, and networking.

Register
Thank you to our 2014 Corporate Sponsors!

If you are interested in sponsoring our local chapter, please contact Lashonda Dover for additional information

Ldmitchedov@yahoo.com