Greetings Charlotte Members,

I hope everyone is enjoying 2017! The year is really flying by and I am so impressed with the work of our board members, officers and volunteers over past three months. The Chapter has already hosted several successful events which included an eight hour CPE session, a student networking event and a member’s lunch. In addition to these events we also offered the second round of CIA review training courses and held a networking event in February. Lucky for you, the Chapter has no plans of slowing down. We will be offering two more training sessions this spring and a networking event to celebrate Internal Audit Awareness Month in May.

I would like to take this opportunity to express our appreciation for all our volunteers who help make this chapter run and provide so many services to our members. It is through their hard work, creative thinking and dedication to the profession that our chapter is successful year after year. We have a truly amazing group of volunteers!

Michelle Black

Thank you to our sponsors!

If you are interested in sponsoring our local chapter, please contact Lashanda Dover for additional information: Lashanda.Dover@Tiaa.org
Member Spotlight—John Newton

John Newton has been an active member of the Charlotte IIA for three years. His involvement has been primarily in working to support the Student Relations Advisory committee, working as part of the team that planned a successful event in February and hosting events to attract students. John has also contributed to sponsor Charlotte IIA events, as a trusted vendor.

After graduation from Wofford College with a dual major degree in Business and Economics, John began his professional career working for Bank of America as an Analyst within the Equity and Fixed Income Corporate Banking program. Later he transitioned to the CFO group, where he was tasked with profitability analytics for Bank of America’s Global Banking and Markets clients and served as the liaison between the CFO group and the Finance Systems Roadmap "life and shift" from Oracle to SAP.

After six years with Bank of America, John joined Dixon Hughes Goodman, LLP as a Manager for the Risk Advisory practice where he managed, hired and developed consultants while also growing the business. In 2014 John moved to Experis Finance to lead the business development team and serve as the regional client relationship manager.

Hurry, there is still time to register!

2017 Mid-Atlantic District Conference, April 4th and 5th

This is a must-attend event for internal auditors and practitioners throughout the Carolinas and surrounding regions. This event will feature two tracks each day. Tuesday features two distinct experience directed tracks focusing on retooling auditors for the future and analytics skills. Wednesday features an IT focused track and a risk management audit track.

Attendees will benefit from the combined expertise, experience, and resources from presenters covering various timely, thought-provoking, and relevant topics, including:

- Building a Better Auditor for the Future
- Data Analytics
- The Digital Frontier
- Risk Management and Compliance
Don’t Miss These Upcoming Events

<table>
<thead>
<tr>
<th>Date</th>
<th>Topic</th>
<th>CPE</th>
<th>Venue</th>
</tr>
</thead>
<tbody>
<tr>
<td>April 26th</td>
<td>GRC Trends, Auditing ERM and Auditing Culture</td>
<td>4 hours</td>
<td>Charlotte Motor Speedway</td>
</tr>
<tr>
<td>May 15th</td>
<td>Audit Hot Topics</td>
<td>8 hours</td>
<td>Double Tree South Park</td>
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Member’s Only Webinar

The following webinars are being offered by The IIA. Click on any webinar title to view a description and outline, along with presenter information and key takeaways.

April 18, 2017

Members-only Webinar: Auditing Security Monitoring (aka Watching the Watchers)

Help Us Maintain our Sapphire Status!

In the Chapter Achievement Program our members’ participation in certain internal audit related activities is an important factor in helping us reach our goal of achieving Sapphire in the Chapter Achievement Program (CAP). The Platinum level is a special level created to recognize chapters that attain Platinum status for 15 consecutive years. Our chapter is currently a Sapphire chapter. Please help us achieve another platinum year by letting us know when you have participated in the following activities:

- Speaking on an internal audit topic at a meeting, seminar, or CPE class.
- Submitting an article to a trade publication such as The Internal Auditor, CAE Bulletin or other specialty newsletters.
- Participating in a QAR review team of another company’s audit function through the IIA’s QA program.
- Submitting questions to the IIA for IIA sponsored certification exams (i.e. CIA, CFSA, CGAP, CCSA).
- Your department has completed an external QA since June 2016
- Participate in a Junior Achievement Program

If you have participated in one or more of these activities, please e-mail the details to our Chapter President at blackmichelle07@yahoo.com. All members that share their activities for CAP reporting by April 30, 2017 will be entered into a raffle for an Amazon Fire HD 8.
**Beginning Auditor Tools and Techniques**

To become a successful auditor, a strong base of knowledge and an understanding of basic audit skills are essential. With this program, **new auditors**, as well as **non-auditors** with internal control responsibilities, can learn the ins-and-outs of an audit from beginning to end. Through team exercises, group discussion, and facilitator presentations, attendees will gain a foundation of knowledge that will allow them to prepare properly for and conduct a successful audit, using preliminary surveys and evidence-gathering techniques. A basic understanding of how to identify risks and internal controls in auditing will also be stressed, along with interpersonal and team-building skills.

When: May 15-17, 2017

Where: Embassy Suites, Raleigh Durham

[Registration Link](#)

**Operational Auditing: Influencing Positive Change (Register)**

Now in recovery after a major global economic crisis, companies are reshaping their strategies to achieve sustainable business growth in new ways, and continuing to seek value creation through improved operational performance – including dimensions of quality, speed, agility, efficiency, environment, customer value, and cost.

Management is therefore focusing on initiatives and issues that impact upon the operating models of business activities and processes, such as environmental stewardship, operational excellence, supply chain optimization, offshoring, talent mobility, innovation, and cloud computing to name a few. Given these dynamics, internal audit is in a unique position to influence value enhancement efforts. This is achievable through Operational Auditing.

The era of Sarbanes-Oxley seriously diminished the activity of Operational Auditing in many audit shops, whereby today its concept is somewhat misunderstood, the diversity of its scope curtailed, and a new generation of internal auditors are unsure of its application. The IIA’s response to address this dilemma is a training program that aims to invigorate and revitalize Operational Auditing to its rightful place in the internal auditing toolkit.

Participants will get to explore current and emerging business trends and issues across industry sectors. They will also discover that operational auditing plays an important role in today’s business environment well beyond the realm of money, compliance, and traditional internal controls, and into the realm of a trusted business partner influencing management’s pursuit of operational excellence.

They will also learn how to employ various methods, tools, and skills to collect and analyze operational information and data, identify causes of operational issues and risk exposures, and develop solutions to enhance the operational performance of business activities, processes, and / or resources deployed across the organization.

This highly interactive and dynamic program engages participants through group sharing, focused activities, and case study based simulations.

This course is most appropriate for:

- **Chief audit executives, audit directors, audit managers, audit team leads**, with 2+ year’s practical auditing experience, and who are looking to integrate operational auditing into their service offering or enhance the value of operational auditing services provided to the organization.

- **Business managers** responsible for ensuring effective use and management of corporate resources, who want to evaluate and improve the operational performance of business activities, processes, and / or resources deployed.

When: May 15-16, 2017

Where: Embassy Suites, Raleigh Durham

[Registration Link](#)
Live The Global Experience in Sydney!
International Convention Centre / Darling Harbour, Sydney
23–26 July 2017

The IIA’s 76th annual International Conference is coming to Sydney, Australia! Don’t miss out on this momentous event 23–26 July at the new International Convention Centre.

FIVE REASONS WHY THIS CONFERENCE IS A MUST-SEE EVENT:

1. Exciting and relevant program with a focus on hot global topics.
2. World-class speakers will share insights on matters impacting the profession.
3. More than 70 educational sessions catering to internal audit professionals at all levels.
4. Earn Continuing Professional Education (CPE) credits.
5. Network and share best practices with over 1,400 internal audit professionals from around the world.

L.I.V.E. THE GLOBAL EXPERIENCE
LEADERSHIP. INNOVATION. VALUE. EFFECTIVENESS.

Reserve your place today!
Visit ic.globaliia.org or call +61 2 9265 0700
The IIA’s CIA Learning System

Discount available for members!

The IIA’s CIA Learning System is a comprehensive training course designed to provide chapters, members, and the audit community of professionals with information and tools to master the global CIA exam syllabus. This premier quality program is aligned with the International Professional Practices Framework (IPPF) to ensure you stay current with the global internal auditing profession and are ready for the CIA exam. It combines printed text with online testing tools and online questions. Take advantage of the best prep materials out there by ordering yours today! If you are interested in special pricing of these materials which are only available through our Chapter, please contact Michelle Black at blackmichelle07@yahoo.com

You can also order the materials at the regular price by visiting:

Online CIA Prep Seminars

Take advantage of a live, instructor-led comprehensive study to discuss challenging topics related to the CIA exam with subject matter experts and peers with the CIA Learning System.

April 3-28: Part 3
June 5-23: Part 1
July 31-Aug 25: Part 3

Reserve your spot today, space is limited!

Congratulations to our newly certified members!

Frank Stevens, CIA
George Nappi, CIA
Sean Murphy, CIA
Tammy Summitt, CIA
Olakunle Ola, CIA
Mike Levy, CIA
Merideth Lowry, CIA
Camelia Black, CIA
Brett Stewart, CIA
Duong Doan, CIA
Kristen Cochran, CIA
Rebecca Reynolds, CIA
Adam Zdroik, CIA
Krystal Owens, CRMA
Anne Hurley, CRMA
Brandon Olson, CIA
Mark Piro, CIA
James Caldwell, CIA
May Is International Internal Audit Awareness Month!

As an internal audit professional, you play an important role in raising awareness and elevating the profession. IIAMay offers you an important opportunity to promote the profession among your stakeholders.

For example, you can customize your email signature and social media accounts with the International Internal Audit Awareness Month digital icon (depicted at right). You can hold a lunch-and-learn in your workplace to dispel myths about internal auditing and explain the value that a well-resourced, independent internal audit function can provide. You can also raise the profile of your internal audit department’s staff members by featuring their biographies in an article for your company’s intranet or internal newsletter.

Don’t let the opportunity pass to advocate the importance of internal auditing to your coworkers and other stakeholders. For additional ideas, sample programs, tips, and templates, download the free Building Awareness Toolkit from The IIA’s website.

BOOK OF THE MONTH

Certified Financial Services Auditor (CFSA®) Study Guide

Demand for top talent and acumen in the financial services industry continues to rise. Earning your Certified Financial Services Auditor (CFSA®) designation from The IIA is the best way to demonstrate your commitment to advancing in this competitive niche and communicating your breadth of knowledge.

This two-volume set provides a comprehensive overview of the topics covered in the CFSA® exam. A list of reference materials is included to provide additional resources to supplement your study.

Member Price: US$51.99
Nonmember Price: US$64.99
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* Discount also applies to eBooks and PDF formats