Thank you for being a member of the IIA Chicago and supporting this organization. By attending and volunteering for educational and networking events you are a part of one of the largest professional communities in Chicago. The chapter is continuing with our objective of supporting and growing our current initiatives with the Manager’s Forum, NextGen Advisory, Woman’s Network, Government Advisory and Annual seminar as we create a community for auditors.

We are deeply saddened by the passing of long member Mike Pryal. Mike’s dedication to the internal audit profession led to a long association with The IIA starting in 1985. Mike was the president of the Chicago IIA chapter 1996 - 97 and vice president from 1993 to 1996. Mike served as the IIA’s Audit Committee Chairman and member of the Board. As well as being on The IIA’s North American Board, he was involved with the investment committee, global finance committee, and communications advisor. I am personally amazed by the breadth and depth of Mike’s influence throughout the local, nationals, and the global audit community. Mike will be sorely missed.

Congratulations to Jason Torres and Corbin Del Carlo for putting on a very successful Hacking conference with ISACA. My key highlights of the two-day event were the session led by the U.S. Secret Service agent and the CISO panel discussion. I was delighted to hear a fellow conference attendee describe how the event has grown over the past four years in terms of size and quality of content.

As we gently transition into autumn, this is one of the busiest times for our education team as they prepare for the annual seminar. There are still some awesome opportunities to volunteer and contribute to this event. Please reach out if you have an interest.

Craig Youngberg
Information Security and Audit professionals came together for the 4th Annual IT Hacking Conference on October 25 and 26. Over 210 people attended the two day event at the Summit West conference center in Chicago, IL. Key highlights included:

**Day One Key Note:**
**Know your Adversary: Firsthand Lessons Learned in the Fight for Cybersecurity**
Presenter: Assistant to the Special Agent in Charge (Chicago), U.S. Secret Service

Hackers are working day and night to infiltrate organizations’ systems. In this presentation, the U.S. Secret Service highlighted some common attack and fraud patterns used by multinational organized criminal groups to monetize their activities. Lessons learned included insight from a top-tier hacker responsible for over $300 million in losses over a 10 year period. Additional highlights included considerations on how organizations can better protect their information, development ideas for an effective cyber incident response plan, and expectations when law enforcement is involved.

**Day Two Key Note:**
**Chief Information Security Officers (CISO) Panel Discussion**
Moderator: Tina Hauri, President, Bradford Garrett Group, Inc (BGG); Panels: Richard Rushing, CISO, Motorola Solutions; Glenn Kapetansky, CISO, Trexin; Waqas Awwaki, CISO, SIRVA; Erik Devine, CISO, Riverside Hospital

Moderated by Tina Hauri, a former CISO herself, in-depth discussions and an audience led Q&A session highlighted Day Two. Four local CISOs came together to discuss Equifax, areas of their largest concerns, and the importance of both the information security and audit functions working effectively together. The panel was livestreamed via Facebook Live. Streams of the panel can be viewed on the IIA-Chicago Chapter’s Facebook page.

A big thank you to our sponsors (OnShore Security, Symantec, Syncurity, Netrix, Tenable, Forsythe, and Protiviti) for another successful conference that brought up a broad range of security and audit topics and deepened awareness of professional and management considerations in cybersecurity.

Please keep an eye out for the “Save The Date” for next year’s conference. If you’re interested in joining the planning committee or conference, email Jason at Jason_Torres@rush.edu.

And lastly, thanks to all the chairs and co-chairs that made this event a success. Corbin Del Carlo – Discover; Jason Torres, Rush University Medical Center; Anthony Chan, Ingedion; Shantanu Keskar, Discover; Gina Rogers, McMaster Carr; and Alicia Li, Gogo Air.
Social Media

The Social Media Committee attended the 4th Annual IT Hacking Conference October 25 and 26, 2017. Please check out the IIA Chicago’s Twitter and Facebook pages for a live feed of the CISO’s Panel on October 26, 2017. The committee engaged the attendees with an IT and Auditing Social Media Word Search. Prizes included gift cards to Starbucks, Jamba Juice, Corner Bakery cafe and a bonus prize of their choice to Maggianos, Chili’s or On the Border. We look forward to interacting with the members of the IIA and ISACA at next year’s event!

Midwest Internal Audit Student Conference

On October 6, Midwest Internal Audit Student Conference sponsored by Chicago Chapter of the IIA was hosted at DePaul University in partnership with the Internal Auditing Education Partnership (IAEP) at Northern Illinois University and Grand Valley State. The objectives of the conference were to help the students to better understand the internal audit profession and to give them opportunities to network with internal audit professionals and representatives of companies who recruit internal audit staff. Over 90 students from four Midwest universities attended the conference with 14 firms sending representatives to participate on panels and network with students.

The conference began with Betty McPhilimy, former Global Chair of the Board, gave an overview of the IIA and the internal audit profession. Richard (Dick) Anderson, retired PricewaterhouseCooper (PwC) partner followed with a presentation on future-oriented career skills for aspiring auditors. After a networking lunch, a “The life of a staff auditor” panel, consisting of recent graduates from DePaul and Northern Illinois Universities who are now staff auditors, provided comments on what their work entails, the transition from college to the workplace, and important preparation in college for the profession. Following that panel, a

ARTICLE CONTINUED ON NEXT PAGE
panel of eight audit executives from companies and professional service firms discussed what employers are looking for in candidates for internal audit positions and how they view the career experiences and opportunities offered by the profession. Each panel included an interactive and insightful question and answer session. Professor Sandra Waller Shelton, IAEP Director at DePaul University, and Professor David Sinason, IAEP Director at Northern Illinois University, served as moderators for the panel sessions.

The networking lunch was structured so that students and firm representatives were mixed at each table for one-on-one conversation with the students. A career session was held at the close of the conference with tables provided for companies and firms to represent their entity, interact with students and collect resumes for jobs and internships.

Feedback from both companies and students indicated that this was a valuable event providing networking opportunities and insight into the profession.

11th Annual Internal Auditing Education Partnership (IAEP) Leadership and Networking Conference was held September 22-24, 2017 in Orlando, Florida. The students had an opportunity to interview with companies, network with individuals around the world, and participate in a case competition. IIA Academic Relation Chairs had a day and a half of classes to deepen the understanding of how to improve the local chapter’s Academic Relations Committee, as well as best practices on working with the local IAEP program school.
The Government Advisory Committee of the Chicago Chapter of the IIA and the Association of Government Auditors Chicago Chapter enjoyed a “Fall into Training” networking/educational event on Thursday, September 7 at the Metcalf Federal Building in Chicago’s Loop. There were over 100 attendees. The event provided six CPE hours to participants along with a box lunch. In addition, after-hours networking was at Cavanaugh’s, which was sponsored by Baker Tilly. We hope everyone enjoyed the training, and we look forward to seeing you next year and the 3rd Annual Fall into Training event.

There were engaging speakers addressing relevant government auditing topics. A delicious boxed lunch was provided to all attendees. Each session was devoted to government audit topics such as the Challenges of Auditing in the Public Sector; Fraud in Government; Robotic Process Automation: Transforming Business Processes; CIA, CGAP and CGFM Certification Game; Cybersecurity; and a Fraud Case Study: The Explorer Program.

The Challenges of Auditing in the Public Sector work session was a panel (round table) discussion that covered the unique challenges ranging from political pressures to changing laws and regulations to myriad reporting requirements across varying layers of government. The panel, which was moderated by Ken Clow, Chair of the Government Advisory Committee and current Board of Governor was composed of John Gasiorowski, Inspector General, City Colleges; Stephen Kirk, Director/Chief Internal Auditor, Illinois Department of Transportation (IDOT) and Mark Maraccini, Partner, Crowe Horwath LLP. Each brought their internal audit or external audit perspective on the challenging landscape by sharing their experience on how to overcome hurdles, properly establish and operate an audit practice, and maintain independence. The role of audit and fraud risk mitigation was also explored.

Michael Malatt, CPA, Senior Manager, Baker Tilly, spoke to the attendees about the fraud in government. The session focused on risks of fraud in the government sector such as the types of fraud that are common in government organizations. A walk through strategy was provided...
for detecting and preventing fraud and the importance of the fraud risk assessment process.

The Robotic Process Automation: Transforming Business Process was presented by Andy Bollinger and Kirk Neal of Robotic Process Automation. The Robotic Process Automation team described how robotic process automation streamlines processes, eliminates errors and frees employees to focus on more productive and engaging activities. This session discussed robotic automation and why a company would pursue robotic automation. In addition, this session discussed how to evaluate robotic automation technology and what to consider before implementing a robot.

The CIA, CGAP and CGFM Certification Game was a networking game that was moderated by Catherine Fisher of the Chicago Transit Authority. This game allowed participants to introduce themselves to others at their table and worked to solve questions from the CIA, CGAP and CGFM examination. The teams were given time to complete their answers with the top teams announced. This session allowed for networking with fellow government auditors and accountants and hopefully peaked interest in obtaining the CIA, CGAP or CGFM certification. The participants were able to gain knowledge and make a new friend with a person on their team.

The Cybersecurity Panel Discussion was a panel discussion moderated by Hugh Smith, CFE, CGFM of Brook Weiner, LLC and discussed by Steven H. Shapiro, JD of Krasnow Saunders Kaplan & Beninati LLP and Chris Mee, JD, Assistant Vice President, Cyber/E&O - Professional Risk Solutions Team with AON.

The Fraud Case Study: The Explorer Program was presented by Anne Marrin, Administrator of the Village of Fox Lake, Illinois. Ann provided details on the challenges she had in discovering and pursuing the corruption of popular police lieutenant “GI Joe” Gliniewicz who was hailed as a hero in the community. Later, it was discovered that he faked his own murder, attempting to cover up thousands of dollars in theft from the village of Fox Lake, Illinois and tried to put a “hit” contract on Anne as she closed in on his scheme.

Afterwards, attendees were given free drink tickets and complimentary delicious finger foods were provided at the Cavanaugh’s. This was a “cool” way to “Fall into Training” with some training and networking with fellow internal auditors!
On September 15, 2017, the IIA Chicago Board of Governors, IIA Chicago’s GAC Committee Members and Next Gen Advisory Group enjoyed a sunset cruise on the Chicago River with the Chapter leaders and committee members in this relaxing social event. The sunset cruise departed from the Wendella’s main dock at 400 North Michigan Avenue. The Wendella Sightseeing Boats offered a narrative tour of the city’s gorgeous architecture and history. The evening was an opportunity to strengthen connections with fellow auditors while enjoying the sights of Lake Michigan and the Chicago River. Afterwards, the group stopped to enjoy some light drinks, appetizers and good conversation at Howells & Hood restaurant in the legendary Tribune Tower.
### NEW MEMBERS

<table>
<thead>
<tr>
<th>Name</th>
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<tbody>
<tr>
<td>Piyush Agrawal</td>
<td>Jennifer Gelman</td>
<td>Nikita Mulaikal</td>
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<td>Anuj Akhand</td>
<td>Brian Gilbert</td>
<td>Paul Neilan</td>
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<td>Saqer Al Ben Ali</td>
<td>Angela Gonzales</td>
<td>Afsae Nomani</td>
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<td>William Allen</td>
<td>Erin Goodman</td>
<td>Lauren Parrott</td>
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<td>Sara Alzate</td>
<td>Vanessa Guillermo</td>
<td>Sabled Patel</td>
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<td>Cynthia Anderson</td>
<td>Brian Hansen</td>
<td>Steven Petrovas</td>
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<td>Daniyar Argynov</td>
<td>Austin Heemskerk</td>
<td>Joseph Pierri</td>
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<td>Daniel Auerbach</td>
<td>Mahdi Hemingway</td>
<td>Taylor Provenzano</td>
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<td>Kirstie Banh</td>
<td>Ryan Heuer</td>
<td>Steve Rummel</td>
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<td>Blake Bieg</td>
<td>Katherine Hosteny</td>
<td>Valentina Rybalova</td>
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<td>Nicole Brackenridge</td>
<td>Shirley Huang</td>
<td>Daniel Sanchez</td>
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<td>D’Andre Brackins</td>
<td>Wei Huang</td>
<td>Kyle Schultz</td>
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<td>Catherine Caporaso</td>
<td>Jonathan Johnson</td>
<td>Tatiana Scripnic</td>
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<td>Sally Cardenas</td>
<td>Daniel Kim</td>
<td>David Sharley</td>
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<td>George Charles</td>
<td>Angela Kopec</td>
<td>Pankaj Sharma</td>
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<td>Joseph Clary</td>
<td>Thomas Larchey</td>
<td>Evan Shuris</td>
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<td>Genevieve Corpuz</td>
<td>David Lee</td>
<td>Teri Smith</td>
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<td>Brian Cummings</td>
<td>Anupama Lettenberger</td>
<td>Arthi Sridar</td>
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<td>Jason Deppisch</td>
<td>Zhe Li</td>
<td>Conner Steines</td>
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<td>Thomas Dubinski</td>
<td>Marisa Manuel</td>
<td>Adriana Strzynska</td>
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<td>Gary Eppen</td>
<td>Mark Maraccini</td>
<td>Casey Vercauteren</td>
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<td>Sobia Farid</td>
<td>Christopher Marzec</td>
<td>Karen Walter</td>
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<tr>
<td>Sharmaine Fleet</td>
<td>Jennifer McHan</td>
<td>Natalie Yarmit</td>
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<tr>
<td>Beverly Garchie</td>
<td>Jessica Moy</td>
<td>Zaneta Zak</td>
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### NEW CERTIFICATIONS

<table>
<thead>
<tr>
<th>Name</th>
<th>Certifications</th>
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<tbody>
<tr>
<td>Adam Boules</td>
<td>Certified Internal Auditor (CIA)</td>
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<tr>
<td>Dana Gildersleeve</td>
<td>Certified Internal Auditor (CIA)</td>
</tr>
<tr>
<td>David Gourley</td>
<td>Certified Internal Auditor (CIA)</td>
</tr>
<tr>
<td>David E. Haronik</td>
<td>Certification in Control Self-Assessment (CCSA)</td>
</tr>
<tr>
<td>Aditi Mahajan</td>
<td>Certified Internal Auditor (CIA)</td>
</tr>
<tr>
<td>Shawn Phelan</td>
<td>Certified Internal Auditor (CIA)</td>
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<tr>
<td>Michael Pusateri</td>
<td>Certified Internal Auditor (CIA)</td>
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<tr>
<td>Keith Reid</td>
<td>Certified Internal Auditor (CIA)</td>
</tr>
<tr>
<td>Carrie Rose</td>
<td>Certification in Control Self-Assessment (CCSA)</td>
</tr>
<tr>
<td>Wladyslaw Tylka</td>
<td>Certified Internal Auditor (CIA)</td>
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### Member Anniversaries

#### 35 Years
- Louis S. Mazza

#### 25 Years
- Rudolph Lynn Tracy

#### 20 Years
- Vincent DiRaddo
- Thomas A. Walz

#### 15 Years
- Eliaye A. Accoh
- Melissa D. Blaser
- Kristine Gaeta
- Mark Ganan
- Maria Teresa Gonzales
- Paul Higgins
- Anna Lesnicki
- Sandra Lozano
- Jay Mages
- Karen Mason
- Nicholas E. McKeehan
- David A. McRoberts
- Madhuri Patel
- Linda S. Reiff
- Frances Roybal
- Catherine Shellabarger
- Leticia Verastegui
- Mark Wehren
- Christopher R. Wenthold
- James Werner

#### 10 Years
- Ricky Barr
- Glen Blanton
- William Carrier
- Binita Damania
- Scott Diaz
- Dawn Eber
- Lea Fox
- Lynne Goldman
- Joseph Griglione
- Brian Holmes
- Conrad Houeto
- Valerie Katula
- Matthew Kmiec
- Amy Knizner
- Phillip F. Long
- William Robert Lubecke
- Nadine Mukanya
- Jacqueline Quinonez
- Antonio Rodriguez
- Heather Signore
- Rosemarie Sison
- Kevin Waller
- Kevin Zagers

#### 5 Years
- Joshua Barnett
- Allison Bernard

#### 1 Year
- Isaac Ackerman
- Aleicha Addison
- Anuj Akhand
- Mercy Akintounde
- Nicolette Anderson
- Benjamin Anderson
- Sneha Babu
- Tobiasz Barnas
- Hrishikesh Barve
- Giorgia Basso
- Jonathan Beldon
- Timothy Boland
- Adam Boules
- Lindsay Brightwell
- Deanna Brooks
- Lauren Browne
- LaTonya Caldwell
- Philip Casey
- Laura Cebrij
- Shallu Chander
- Sanaa Chaudhry
- Christine Chen
- Christopher Chrencik
- Tyler Christianson
- Katarzyna Chwascinska
- Kathryn Coetzee
- Philip Conforti
- Julianna Cruz
- Miranda Davis
- Andy Delaney
- Katy Dettman
- Erin Dillon
- Kathleen Edwards
- Alexis Euwema
- Laura Filip
- Sandy Gegan
- Pavlos Giannakis
- Emi Gijini
- Bobbie Gray
- Peter Hackley
- Nicole Hecker
- Johnathan Henderson
- Samantha Hernandez
- Della Hernandez
- Katie Hibberd
- Allison Hirsch
- Michael Holtrop
- Jamie Hooper
- Ashley Hirabe
- Marcia Isaacson
- Andrew Jameson
- Hasan Jamil
- Timothy Jewell
- Chris Jin
- Adam Karpinski
- Megan Kave
- Emmett B. Keegan
- Nisreen Khatib
- Adam Klinger
- Marta Klinkon
- Darryl Levine
- Jared Lipman
- Lisa Mansmith
- Flavia Martins
- Nicholas McDonnell
- Christine McDwayne
- Jeremy Monroe
- Lindsey Norway
- Bryan O’Neill
- Leah Painter
- Bhavesh Patel
- Alexander Prodey
- Cameron Randle
- Shane Rector
- Hope Rubenacker
- Mohammad Said
- Timothy Sakaris
- Jay Shah
- Nidhee Sharma
- Amy Shen
- Eddie Shih
- Steven Stachnick
- Morgan Stachura
- Ryan Strukoff
- Amanda Swanson
- Jennifer Tai
- Matthew Toohey
- Anna Valavanis
- Siyao Wang
- Matthew Wibie
- Adam Widener
- Donald Wilkinson
- Eboni Williams
- Travis Wood
- He Yang
What made you get involved in the Chicago Chapter and what do you find the most rewarding about volunteering for the Chapter?

At my first job out of college in Memphis, a coworker introduced me to the IIA along with the idea to pursue its CIA credentials. Soon after moving to the city, I found the opportunity to join the Chicago Chapter and began attending events. At a networking event called Little By Little, A Little Becomes A Lot, I enjoyed the introduction to the chapter committees, the dedication shown by the volunteers, and the format of the event. I then joined the website committee and have been volunteering in it since June 2015. This experience has allowed me to become familiar with the membership and be aware of all the events happening. By 2016, I had also joined the Next Gen Committee. The committee members are enthusiastic and hardworking, which is strongly reflected to the membership in all events hosted.

Volunteering in the Chicago Chapter makes it easier for me to challenge myself to do more. Networking is the most rewarding part of volunteering for me. I find most volunteers are dedicated and driven. They are open to talk about their responsibilities, successes, and challenges. I enjoy getting advice from more experienced auditors. Additionally, it’s very interesting to learn about the many industries in which volunteers work like housing and EHS.

What benefits of being a member of the Chicago Chapter do you value the most?

Professional development is by far the member benefit that I value the most. The Chicago Chapter offers training on many topics that, along with the IIA resources, make me a more confident auditor. I enjoy the option of being an attendee or volunteer at different conferences. Furthermore, all the Chicago Chapter training options make it convenient for me to collect CPE hours.

What do you like the most about auditing?

I really enjoy learning about my clients and their various sectors. As a compliance auditor with AAM, I’ve been able to do audits for magazine, business and farm publications, some in areas that I didn’t even know existed like electrical cooperatives. The whole auditing process is fascinating to me, especially when I learn about my clients’ strategy and current and past performance. Travel has also made it an appealing career. At this point, I would not have been able to visit as many cities as I have if I wasn’t an auditor.

What advice do you have for young auditing professionals?

Focus on being genuine by mastering those skills you are passionate about and develop them into an area of expertise, then share those skills when possible. Lunch & learns and seminars are excellent opportunities - take advantage of them. If you want to be faster or save resources, please dedicate time and patience to your clients. The more questions you ask, the less guesswork or assumptions you make. On the career ladder, communicate your goals and vision to everyone, including your superiors. With time, managers may forget why they hired you, so it’s always a good idea to remind them.
Another solid Managers Forum on September 26 with 70 people in attendance at the offices of CBOE, with thanks to Jim Enstrom and Suzanne Clune for hosting!

Bob Hirth, the Chairman of COSO, gave an informative and interactive presentation about the new ERM COSO Framework with some key takeaways that attendees could use to implement in their particular organizations. Bob’s presentation was followed up by an ERM and Internal Audit panel discussion with the following folks involved:

Moderator – Alexander Speck, Director, Protiviti; Bob Hirth, COSO Chairman; Jim Enstrom, CAE, CBOE; Jim Werner, CAE, Hyatt Hotels; Michael McGarry, Senior Director of ERM, Walgreens Boots Alliance

Our next Managers Forum will be December 11 at the offices of HCSC (special thanks to Tracy Trice-Watts and Aneta Golubowska!).

We are always looking for both presenters and hosting organizations. Please contact a member of our planning team for more information!

Jason Torres – IT Audit Manager, Rush University Medical Center
Jason_torres@rush.edu

Heather Signore – Audit Director, Equity Residential
hsignore@eqr.com

Aneta Golubowska – Advisory/Internal Control Consultant, HCSC
Aneta_Golubowska@bcbsil.com

Nick Squire – Manager, RSM
Nick.Squier@rsmus.com
CHICAGO CHAPTER EVENTS

IIA Chicago Chapter
58th Annual Seminar
Monday, April 9, 2018
8AM - 6PM
Donald E. Stephens Convention Center, Rosemont, IL
Cost TBD
CLICK FOR MORE INFO

NATIONAL EVENTS

General Audit Management Conference
March 12 - 14, 2018
Aria Resort & Casino
Las Vegas, NV
CLICK FOR MORE INFO

International Conference Connecting Through Technology
May 6 - 9, 2018
Dubai World Trade Center
Dubai, UAE
CLICK FOR MORE INFO

Governance, Risk, and Control Conference
August 13 - 15, 2018
Omni Hotel
Nashville, TN
CLICK FOR MORE INFO

INDUSTRY & SPECIALTY EVENTS

Environmental, Health & Safety Exchange
March 15, 2018
Aria Resort & Casino
Las Vegas, NV
CLICK FOR MORE INFO

Financial Services Exchange
October 1 - 2, 2018
Renaissance Downtown Hotel
Washington, D.C.
CLICK FOR MORE INFO

Gaming & Hospitality Conference
October 24 - 25, 2018
Aria Resort & Casino
Las Vegas, NV
CLICK FOR MORE INFO

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