Dear Chapter Members,

As 2019 comes to a close, the chapter officers and I would like to wish you a joyful holiday season and a happy new year. We are grateful to have your support, and enjoy having the opportunity to network and share knowledge with you.

We had a strong turnout for our November meeting with Frazier & Deeter, which included an informative session on data analytics and incident/breach response. LBMC will be presenting a full-day seminar on Cybersecurity and Microsoft Power Platform topics in January at Rothchild’s. In February, Paul Arab with Home Federal Bank will speak to our chapter on Soft Skills. Registration is now open for both events.

The East Tennessee IIA chapter is looking ahead to the 2020 – 2021 chapter year, and is interested in seeking officer and chapter volunteers for a number of opportunities. If interested, you may contact the chapter officers for more information at chapter85@iiachapters.org.

We look forward to seeing you soon!

Lindsey P. Shepherd
**In-process CIA Candidates, please check the table below to see how updates to the CIA program eligibility window may affect you.**

To align with the three-part CIA exam, the CIA program eligibility window has been adjusted from 4 years to 3 years. This reflects the amount of time typically required to complete the certification process. Candidates who are already approved into the program prior to September 1, 2019 will maintain a 4-year program window. Candidates approved into the program on or after September 1, 2019 will have 3 years to complete the program. Candidates can still request a one-year extension.

In addition, effective September 1, 2019, the waiting period to retake an exam will be shortened from 90 to 60 days. As long as it is still within the program eligibility window, candidates can retake the exam after waiting 60 days.

The following are candidate scenarios related to these changes to program eligibility and exam retake windows.

<table>
<thead>
<tr>
<th>Candidate Scenarios</th>
<th>Recommendation for Candidate</th>
</tr>
</thead>
<tbody>
<tr>
<td>Candidate was approved into the CIA program <strong>PRIOR</strong> to 1 September</td>
<td>Candidate has 4 years from application approval to complete the CIA program.</td>
</tr>
<tr>
<td>Candidate was approved into the CIA program <strong>AFTER</strong> 1 September</td>
<td>Candidate will have 3 years from application approval to complete the CIA program.</td>
</tr>
<tr>
<td>Candidate expired out of the CIA program <strong>PRIOR</strong> to 1 September, reapplied and was approved <strong>PRIOR</strong> to 1 September</td>
<td>Candidate will have 4 years to complete the CIA program.</td>
</tr>
<tr>
<td>Candidate expired out of the CIA program <strong>PRIOR</strong> to 1 September, reapplied and was approved <strong>AFTER</strong> 1 September</td>
<td>Candidate will have 3 years to complete the CIA program.</td>
</tr>
<tr>
<td>Candidate expired out of the CIA program <strong>AFTER</strong> 1 September</td>
<td>If candidate reappplies to the program, candidate will have 3 years to complete the CIA program.</td>
</tr>
<tr>
<td>Candidate passed a CIA exam part <strong>PRIOR</strong> to 1 September and wants to take the next CIA exam part</td>
<td>Candidate may schedule their exam anytime.</td>
</tr>
<tr>
<td>Candidate passed a CIA exam part <strong>AFTER</strong> 1 September and wants to take the next CIA exam part</td>
<td>Candidate may schedule their exam anytime.</td>
</tr>
<tr>
<td>Candidate failed an exam <strong>AFTER</strong> 1 September and wants to retake the exam</td>
<td>Candidate has a 60-day waiting period to retake the CIA exam.</td>
</tr>
</tbody>
</table>
IIA Announces CRMA, Internal Audit Practitioner Updates

New Exams Ensure Relevance and Validity for Professional Designations

LAKE MARY, Fla. (Nov. 19, 2019) — The Institute of Internal Auditors (IIA), the internal audit profession’s most widely recognized advocate, educator, and provider of standards, guidance, and certifications, today announced changes to the Certification in Risk Management Assurance (CRMA) and Internal Audit Practitioner programs for 2020 to meet the evolving demands for the professional practice of internal auditing.

To keep pace with dynamic global risks, The IIA will be relaunching its CRMA exam in October 2020 to reflect the in-depth organizational knowledge and advanced skill sets that are required to succeed in risk management assurance.

The CRMA will include a new exam delivered in English, as well as updated prerequisites and experience requirements. The CRMA, the only risk management assurance certification for internal auditors globally, will be positioned as a career pathway for internal auditors who have achieved the full CIA designation. Prior to the effective date, current CRMA requirements will remain in effect.

Changes to the Internal Audit Practitioner program, scheduled to go into effect March 1, 2020, include implementation of a new exam and waiving of the educational requirement for active Internal Audit Practitioner designation holders applying into the Certified Internal Auditor (CIA) program. In addition, the Internal Audit Practitioner application and registration fees have been reduced. There is no experience requirement for the Internal Audit Practitioner program.

“As the internal audit and risk landscape continues to expand and change, The IIA recognizes the need for the CRMA and Internal Audit Practitioner programs to keep pace,” said IIA President and CEO Richard F. Chambers, CIA, QIAL, CGAP, CCSA, CRMA. “These new exams reflect the latest knowledge of essential internal audit responsibilities and more accurately reflect the advanced level of internal audit and risk management assurance knowledge required in today’s business world.

Please visit the CRMA page or the Internal Audit Practitioner page on The IIA’s website for more information, including all changes going into effect in 2020.

Contact:
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+1-407-937-1240/+1-407-325-5560
John.Babinchak@TheIIA.org

Suzanne Dawson
+1-646-941-9140
sdawson@scprgroup.com
EDUCATOR AND PROFESSIONAL MEMBERSHIP DISCOUNTS AVAILABLE

Through December 31, 2019, the IIA is offering an end-of-year new member campaign discount. New Professional or Educator memberships are available with the following promo codes for a 25% discount (does not apply to new Group members).

- New Individual Professional Membership – PROF25
- New Individual Government Membership – PROFGOV25
- New Individual Educator Membership – EDU25

CHIEF AUDIT EXECUTIVES—AUDIT EXECUTIVE CENTER DISCOUNT

Chief Audit Executives can add the Audit Executive Center to their memberships through December 31, 2019 using promo code AEC20 to receive 20% off. This discount is for those members who are new to the Audit Executive Center.

RECERTIFY & REJOIN

Spread the word! Former members who have allowed their certification to lapse, and are currently non-members, can receive a bundle rate for reinstating their certification and rejoining the IIA. The rate to recertify and rejoin is now only $270 (a $240 savings)! The bundle rate is being offered through December 31, 2019.
<table>
<thead>
<tr>
<th>Date</th>
<th>Event</th>
</tr>
</thead>
<tbody>
<tr>
<td>JAN 23</td>
<td>Cybersecurity and Microsoft Power Platform Seminar with LBMC&lt;br&gt;Rothchild’s — $150 8 CPEs</td>
</tr>
<tr>
<td>FEB 11</td>
<td>Soft Skills with Paul Arab — UT Culinary Institute and Creamery — $30 2 CPEs</td>
</tr>
<tr>
<td>MAR 5</td>
<td>CAE Roundtable — Discovery — No charge. 1.5 CPEs</td>
</tr>
<tr>
<td>MAR 5</td>
<td>Compliance Program Overview &amp; Automation Initiatives&lt;br&gt;Case Mosby/Matthew Rekers — Discovery — $30 2 CPEs</td>
</tr>
<tr>
<td>APR 2</td>
<td>Agile Auditing, AI/RPA, and IT Auditing for Non-IT Auditors with Danny Goldberg&lt;br&gt;Rothchild’s—$150 8 CPEs</td>
</tr>
<tr>
<td>MAY 7</td>
<td>Internal Audit Awareness Month Luncheon — The Politics of Internal Auditing with Steve Goepfert&lt;br&gt;Calhoun’s on the River — $40 2 CPEs</td>
</tr>
</tbody>
</table>
CYBERSECURITY AND MICROSOFT POWER PLATFORM SEMINAR

Morning Session: Cybersecurity Topics with LBMC

Cybersecurity topic information coming soon.

Afternoon Session: Empowering Organizations with Microsoft Power Platform with Aaron Kelly

Organizations large and small are learning the value of business-driven process automation and rich insights from analytics. In this informative and interactive session, you will see how organizations are using Microsoft’s low/no-code cloud-based Power Platform to build innovative solutions to drive efficiencies and gain a competitive advantage in the marketplace. Power Platform consists of three independent cloud-based services: Power BI, Power Apps, and Power Automate.

This session is for anyone serving in a business, financial, or information analyst or leadership role, covering the value of impactful data storytelling, process automation, and user-driven workflow solutions.

At the end of this session, attendees will better understand:

- The challenge big data presents to organizations
- The opportunity analytics and automation bring to the modern workplace
- How Power BI can transform data into powerful and stunning data stories that can be shared throughout the organization
- Why Power Apps and what can Power Apps do for my business?
- Robotic Process Automation (RPA) - What is it and why do we need it?
- Power Automate—Transformative process automation, bringing to life otherwise obscure and very manual processes
- Strategy of empowering an organization with the Power Platform capabilities
- Where do I start? Defining the next steps for your company or team

**WHEN:** January 23, 2020

8:00 AM—4:30 PM

**WHERE:** Rothchild Catering

8807 Kingston Pk.

**FEE:** $150

**CPE:** 8 CPEs

**REGISTRATION:**

Registration is available on The IIA East Tennessee Chapter’s website or click the following: January 2020 Seminar

**CANCELLATION:**

Our Cancellation Policy is intended to keep cost low for attendees. Unless specifically stated for the event, our Cancellation Policy is as follows:

Cancellation requests must be submitted via Modify Registration Page (link provided in Confirmation Email). No refunds or credits will be issued for cancellation requests received within three business days from the start of the event. Event “no-shows” will not be provided a refund or credit. If the “Pay at Door” method of payment is selected and registration is not canceled within the required time period, you will owe the registration amount and be invoiced after the event. Substitutions are encouraged.
SOFT SKILLS FOR AUDITORS

“Soft skills” play an increasingly important role in the business world today. Hiring managers, recruiters and employers in general are putting more and more emphasis on “soft skills,” but what exactly does that mean? How can auditors learn these skills and apply them to our everyday tasks? Join us for an in-depth discussion of “soft skills” including what areas are covered under the umbrella, explore the hype, and develop use cases. We will cover some very important areas such as listening skills, self-awareness, body language, critical thinking, communication channels, and so much more!

WHEN: February 13, 2020
3-4:40 PM

WHERE: UT Culinary Institute and Creamery (formerly UT Visitor’s Center)
2712 Neyland Dr., Room CIC A/B 12/129

FEE: $30
CPE: 2 CPEs

SPEAKER:

Paul Arab is an officer at Home Federal Bank of Tennessee and oversees the Audit and Advisory Services Department. His duties include managing an audit staff of 6, advising management on internal controls and risk management, and updating the Audit Committee quarterly. He has been with Home Federal for 12 years and lives with his wife and three children in south Knox County.

Paul graduated Summa Cum Laude from East Tennessee State University with a Bachelor of Business Administration, majoring in Economics. Paul holds several certifications and has been awarded both the Elijah Watt Sells award from the AICPA and the William S. Smith Gold Medal Award from the IIA. Paul is also a graduate of the 2018 AICPA Leadership Academy.

Paul has experience in both audit and accounting in the financial services industry. He also has a background in customer service and management, first in retail and then in banking. His primary skills include: Internal Controls, Risk Management, Financial Analysis, and Strategic Planning.

Paul is involved in several professional organizations as well as with local civic and charitable organizations, including the IIA, AICPA, TSCPA (Financial Institutions Committee 2018-2019; Scholarship Awards Committee 2016-2107), and ISACA. He also serves as volunteer treasurer for his church, Gloria Dei Lutheran.

REGISTRATION:

Registration is available on The IIA East Tennessee Chapter’s website or click the following: February 2020 Meeting.

CANCELLATION:

Our Cancellation Policy is intended to keep cost low for attendees. Unless specifically stated for the event, our Cancellation Policy is as follows:

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CHAPTER ACHIEVEMENT PROGRAM (CAP)

The Chapter Achievement Program (CAP) is an achievement/recognition program for chapters that uses points to recognize chapter activities that align with The IIA’s North American Strategic Plan in four service categories:

- **Chapter Health**—Focusing on items from the chapter’s reporting calendar and chapter standards.
- **Service to Members**—Focusing on growing our chapter membership, volunteers, certifications and accounts for programming that we offer our members.
- **Professionalism**—Focusing on giving back to the internal audit profession.
- **Leadership Development**—Focusing on the development of local leaders through online training and cross-leader collaboration.

HOW OUR CHAPTER MEMBERS CAN CONTRIBUTE TO OUR SUCCESS

The IIA’s East Tennessee Chapter appreciate your involvement with the internal audit profession. Under the “Professionalism” category of CAP, our members can help the chapter meet its goals by:

- Attending a Chapter event.
- Volunteering with The IIA East Tennessee Chapter.
- Being nominated or appointed to a committee of The IIA.
- Hosting an internal audit event at work (“Lunch and Learn”).
- Meeting with a legislator or local politician to discuss The IIA, legislation, etc.
- Conducting an unpaid speaking engagement inside or outside of the US, Canada or Caribbean.
- Earning an IIA certification.
- Publishing an article in a professional journal or Internal Auditor magazine.

JUNIOR ACHIEVEMENT INVOLVEMENT

Did you attend the Blind Pig 2019 fundraiser to support Junior Achievement of East Tennessee on 8/3/19? Do you volunteer with Junior Achievement? Our chapter receives chapter achievement points for these types of activities, too! Please contact Lindsey Shepherd at chapter85@iiachapters.org if you participated.

CAP LEVELS AND CHAPTER PROGRESS

*(as of Nov 2019)*

**CAP LEVELS**

- **BRONZE**—685 Points
- **SILVER**—1,060 Points
- **GOLD**—1,560 Points

BRONZE 918 POINTS 59%
It’s the First Report of Its Kind!

*OnRisk 2020: A Guide to Understanding, Aligning, and Optimizing Risk* will change the way organizations view and understand risk. This unique report captures the views of key risk management players and exposes troubling misalignments in perceptions on risk management. The report not only exposes these misalignments, but also provides actionable guidance on how to bring all parties’ perceptions of 11 critical risks facing organizations into closer alignment.

Click here to obtain a complimentary copy of this report for your organization.

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**SPECIALTY CENTERS UPDATE**

The IIA has announced that all IIA members will have access to the Financial Services Audit Center, the Environmental, Health & Safety Audit Center, the Public Sector Audit Center, and the Gaming Audit Center, beginning in March 2020.

The Audit Executive Center will remain an add-on to your base membership fees.

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**Learn. Earn. Report. Retain.**

Don’t Forget to Earn & Report Your CPEs

The 2019 CPE/CPD reporting window is now open. To report your CPEs, visit The IIA’s website: The IIA CPE Reporting

A new CCMS reporting portal is launching soon!

Features include:

- Streamlined certification application process
- Real-time exam registration and single sign-on with Pearson VUE
- User-friendly interface
- On-demand electronic certificates in .pdf form
MEMBER WEBINARS:

December 17
January 21
Data Ethics
February 18
IT Change Management

NEW STUDENT MEMBER
Christopher Hyde

MEMBER NEEDS SURVEY
—OUR CHAPTER CAN EARN 300 CAP POINTS FOR YOUR PARTICIPATION—

The IIA sent a Member Needs Survey to members on December 10, 2019. The email came from ResearchInsights@iia-communications.org. The email may be routed to a Junk folder or an email quarantine. Your feedback is greatly appreciated and will be used by the IIA to refine the IIA’s strategic goals and objectives for Chapter management and engagement. The survey period closes January 12, 2020. If 30 East Tennessee Chapter members participate, the Chapter will earn 300 CAP Points!

RICHARD CHAMBERS’ BLOGS:

When Boards Are Surprised, Who’s At Fault?

Internal Audit and the Public Good

The Road Ahead for Internal Audit: 5 Bold Predictions for the 2020s

Enforce or Enlighten? This Should Not Be a Dilemma for Internal Audit

Keeping an Eye on Culture Using New IIA Guidance

STAY CONNECTED

Stay in the loop with Chapter events by following us on LinkedIn and bookmarking our Chapter website.

CONTACT US
chapter85@iiachapters.org

IIA CONFERENCES

2020
Mar. 16-18
General Audit Management (GAM)
Dallas/Ft. Worth
Jul. 20-22
IIA International Conference
Miami
Sep. 14-15
Financial Services Exchange
Washington, DC
Sep. 16-17
Women in Internal Audit Leadership Forum
Washington, DC
Nov. 2-4
All Star Conference
Las Vegas

IIA INSTRUCTOR-LED CIA COURSES

Online and in-person courses are available. Visit The IIA’s Learning & Events webpage for more information.
MEETING AND SEMINAR CANCELLATION POLICY

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CHAPTER OFFICERS

President
Lindsey Peresich Shepherd
Discovery, Inc.

Vice President
Amanda Chandler
Clayton Homes

Treasurer
Laura Sheppard
PYA

Secretary
Miriam Lara
University of Tennessee

BOARD OF GOVERNORS

April Bailey
Vinnie Beichler
Leigh Cheek
Andrea Griffitts
Gail Lewis
Patty Lowe
Tracy Riggins
Philip Schmidt

Contact us to find out about available volunteer opportunities!

chapter85@iiachapters.org
Prepare for the CIA® Exam
With Self-study Materials.

Prepare to pass the 3-part Certified Internal Auditor® (CIA®) exam and arm yourself with critical tools and knowledge to excel in your internal audit career. The IIA's CIA Learning System™ was created by a team of CIA-certified industry experts and is a proven, relevant, comprehensive, and effective CIA review program.

The IIA's CIA Learning System self-study program combines comprehensive reading materials, in printed and e-book formats, with interactive online study tools to teach and reinforce the entire 3-part CIA exam syllabus in a flexible, on-demand format.

Reading Materials
Materials teach the entire global 3-part CIA exam syllabus:
Part 1: Essentials of Internal Auditing
Part 2: Practice of Internal Auditing
Part 3: Business Knowledge for Internal Auditing
- Download books to your e-reader, read online, or choose printed books (optional add-on).
- Topics presented in a concise, easy-to-understand format.
- Newly updated program teaches the latest CIA exam syllabi.
- Aligned with the current standards.

Online Study Tools
- Pre-test evaluates current knowledge and identifies topics requiring intensive study.
- SmartStudy™ tools help you create a customized study plan.
- Video tutorial provides an overview of the IPPF components.
- Quizzes, incorporating 500 new questions, test your comprehension and ability to apply concepts.
- Flashcards offer review of key terms and definitions.
- Post-test gauges knowledge gained and identifies areas requiring further study.
- CIA Practice Exam helps build confidence using the computer-based CIA exam software.
- Progress report tracks activities and scores.
- Online study tools are optimized for mobile devices.

Order Information
For more information, contact The IIA East Tennessee Chapter at:
Chapter85@iiachapters.org

<table>
<thead>
<tr>
<th></th>
<th>Individual Parts</th>
<th>Full Kit (Parts 1, 2, 3)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Online Only Program</td>
<td>$295</td>
<td>$795</td>
</tr>
<tr>
<td>Online + Printed Books</td>
<td>$335</td>
<td>$895</td>
</tr>
</tbody>
</table>

Plus applicable taxes and shipping charges

www.LearnCIA.com