JUNIOR ACHIEVEMENT EVENT

Save the date! Chapter Members are invited to attend JA of East Tennessee’s “Blind Pig” fundraising event on August 3 at The Press Room. More information is on page 2. To purchase tickets, visit JA of East Tennessee’s event website: www.blindpig.givesmart.com.

AUGUST—IIA-LED TRAINING—CHATTANOOGA

The IIA East Tennessee and the IIA Chattanooga Chapters are co-sponsoring a training event in Chattanooga, August 20-23. Attendees can earn up to 32 CPEs. See information on page 3 for topics and instructions for registration.

SEPTEMBER—CHAPTER MEETING

The Chapter will be welcoming Jay Taylor from UT-Knoxville for our September 26 Meeting—“Ethics and the Human Variable in the Compliance Environment”. This meeting meets the Ethics requirements for IIA-certified members, but will not count for CPAs seeking state-specific Ethics. See page 4. Registration begins in August.

OCTOBER—CHAPTER SEMINAR

Ryan Hubbs, an ACFE faculty member, will be presenting a full-day Fraud Seminar on October 24th. Registration will open in August. See page 5 for speaker bio.

Topics planned for the seminar include:

- Top Ten Reasons Why Hotline Reporting Programs Fail
- Whistleblowers: The cost of Doing the Right Thing
- DOJ Compliance Program and COSO Fraud Risk Management
- Investigating Shell Companies.

NEW CHAPTER LINKEDIN PAGE

The Chapter is transitioning to a new LinkedIn page. Members who followed our Group on LinkedIn should link to the new page to continue receiving Chapter updates. Please follow us on our new page: https://www.linkedin.com/company/iia-east-tennessee-chapter/.

CHAPTER WEBSITE AND EMAIL:

The IIA East Tennessee Chapter Website chapter85@iiachapters.org
AUGUST 3, 2019  5:30-9:00PM
THE PRESS ROOM
730 N. BROADWAY, KNOXVILLE, TN

BENEFITING JUNIOR ACHIEVEMENT OF EAST TENNESSEE
WWW.BLINDPIG.GIVESMART.COM

TICKETS
GENERAL ADMISSION  $25
includes admission, live music, BBQ Bites, (3) drink tickets & moonshine tasting

VIP $60
(limited availability)
includes admission, live music, specialty BBQ Bites, (5) drink tickets, custom cocktails & moonshine tasting in a reserved VIP area
SPECIAL RATES FOR EAST TENNESSEE CHAPTER MEMBERS!

As a member of The IIA’s East Tennessee Chapter, you can take advantage of special low rates for training coming to Chattanooga on August 20-23. The recognized authority and principal educator for the internal audit profession, The IIA provides comprehensive and in-depth training on a variety of topics. Courses include:

- **Advanced Risk-based Auditing** links internal audit to your organization’s overall risk management framework. You will learn to develop an audit universe and a risk-based internal audit plan, and the course incorporates topics such as governance risk, strategic risk, fraud risk, information technology risk, and auditing the risk management process. **August 20–21, 16 CPE. $720 chapter member pricing.**

- **Root Cause Analysis for Internal Auditors** provides some of the key root cause analysis tools and methods that can be used to enhance the effectiveness of audits, strengthen audit observations, and facilitate discussion on any issues. **August 22–23, 16 CPE. $720 chapter member pricing.**

EVENT DETAILS

**WHEN:** August 20–23, 2019  
**WHERE:** Chattanooga State Community College  
4501 Amnicola Hwy  
Health Science Center - HSC 1083  
Chattanooga, TN 37406

All IIA in-person courses include continental breakfast, lunch, morning and afternoon refreshments, and unlimited beverages.

To take advantage of this exclusive opportunity and get the chapter member discount, call IIA Customer Relations, 407-937-1111  
www.theiia.org/Training
• ETHICS AND THE HUMAN VARIABLE IN THE COMPLIANCE ENVIRONMENT

Companies focus so much attention on creating compliance policies to mitigate risks. They develop codes of conduct to ensure employees’ mindsets are aligned with their company’s mission, values, and processes. However, each employee is equipped with their own value system, which may be at odds with the one set by their employer. This session will discuss ways in which the human element can disrupt even the best developed policies and processes and look at ways to diminish those disruptions.

WHEN: September 26, 2019 - 3pm-5pm
WHERE: Discovery, Inc.
550 Frank Gardner Ln.
FEE: $30
CPE: 2
NOTE: This course is suitable for members seeking ethics CPEs to support their IIA certifications. It does not fulfill the requirement of state-specific ethics for CPAs.

SPEAKER:
Ms. Jay Taylor is a Certified Fraud Examiner and Internal Controls Auditor. She holds a B.A. in Political Science and a M.S. in Management. Jay has been employed with The University of Tennessee Knoxville for 21 years. She previously held the position of Investigative Auditor for UT’s Office of Audit and Compliance. She currently serves as Financial Compliance Officer for UT’s Sponsored Projects Accounting department.

REGISTRATION: Opening in August.
OCTOBER FRAUD SEMINAR

- Top Ten Reasons Why Hotline Reporting Programs Fail
- Whistleblowers: The Cost of Doing the Right Thing
- DOJ Compliance Program and COSO Fraud Risk Management Overview
- Investigating Shell Companies

WHEN: October 24, 2019
8am-4:30pm
WHERE: Rothchild Catering
8807 Kingston Pk.
FEE: $150
CPE: 8
NOTE: Breakfast and Lunch will be provided.

SPEAKER:
Ryan C. Hubbs is the global anticorruption and fraud manager for Schlumberger in Houston, Texas. Hubbs has more than 18 years of experience managing corporate investigations, forensic audits and compliance initiatives. He has conducted hundreds of sensitive internal engagements concerning fraud, corruption, contracts, vendors and suppliers, and employee-related issues. Hubbs also has extensive experience with organization-wide anti-fraud and compliance programs and measures, corporate investigation programs and protocols, and fraud data analytics. He has extensive experience investigating and researching anonymous shell company networks as well as developing analytics and process improvements to combat this emerging fraud risk area. Hubbs also previously served 13 years as a commissioned law enforcement officer in both Louisiana and Texas.

Hubbs currently sits on the ACFE’s Board of Regents. Hubbs is also a member of the ACFE Faculty where he co-presents the CFE Exam Review Course, as well as specialized training such as Interviewing Techniques for Auditors, Auditing for Internal Fraud, Contract and Procurement Fraud, Detecting Fraud Through Vendor Audits, Principles of Fraud Examination and Conducting Internal Investigations. Hubbs co-wrote the guidance to the ACFE Standards and developed the ACFE Chapter Leaders Resource Guide. He has served ten years as an ACFE Chapter President and officer for both the New Orleans and Houston ACFE Chapters. In his role as President of the Houston ACFE Chapter he helped guide the chapter to be recognized as the ACFE’s 2014 Chapter of the Year and the recipient of the ACFE’s 2015 Chapter Newsletter of the Year. Hubbs’ continued involvement and contributions in the anti-fraud profession resulted in him being awarded the Greater Houston Fraud Impact Award in 2014.

Hubbs is also active in education, training and research. Hubbs has published articles in both Fraud Magazine and Security Management Magazine. He was the recipient of the 2015 ACFE James R. Baker Speaker of the Year Award. He was also the recipient of the 2013 ACFE Hubbard Award for having the most recognized article in Fraud Magazine. He has contributed to the ACFE by providing original content for several ACFE training programs. He is an active and highly sought after presenter on anti-fraud topics and is one of the ACFE’s highest-rated speakers. Hubbs has been a repeat presenter at several of the ACFE Global Fraud Conferences.

Hubbs graduated from Louisiana State University with a Bachelor of Science in Business Administration and a minor in Sociology/Criminology. He later graduated from Louisiana State University with a Master’s in Business Administration. He is a Certified Fraud Examiner (CFE), Certified Internal Auditor (CIA), Certified Compliance and Ethics Professional (CCEP), Certified in Control Self-Assessment (CCSA) and Certified Human Resources Professional (PHR).

REGISTRATION: Opening in August.