Dear Chapter Members,

Thank you for participating in the annual voting for the upcoming East Tennessee Officer positions. Please see below for your new Officers for the 2020 – 2021 Chapter year, congratulations to this exceptional group! We will be updating our website with the new officers over the summer once this term has ended.

**President** – Laura Sharp  
**Vice President** – Miriam Lara  
**Treasurer** – Dakota Jenkins  
**Secretary** – Carolina Khoury

The officers wish you a safe and healthy summer! Please reference page 3 for upcoming programming opportunities. We hope to see you all in September for our first meeting on Ethics.

Sincerely,  
Lindsey P. Shepherd
Upcoming Member Webinars

June 2  Model Risk Management
Effective management of model risk is one key to a financial services organization’s success and is a focus of regulators across the globe. Are you familiar with model risk management components and concepts? What is internal audit’s role in assessing model risk management activities? How can internal audit fulfill its role in a way that aligns with IIA standards and the size of their organization? Join Stacey Schabel, North American audit director for Jackson National Life Insurance Company, as she examines best practices related to managing model risk.

August 6  Governance Over AI and Robotics
Financial organizations have spent millions of dollars to secure software, train and hire exceptional personnel, and establish strong operational programs in the Lines of Business. However, how do you examine Machine Learning and institute a well-equipped Audit Program for Robotics to ensure full coverage and mitigate the risk with these emerging technologies? As the business continues to evolve their processes and enter into more unconventional endeavors to drive revenue and keep pace with competitors, internal audit also needs to be innovative and cutting-edge in order to protect the organization.

John Hall Fraud Training

May 20  Business Ethics 2020: Challenges, Risks & Response
2 CPEs in Behavioral Ethics  $29.00

INTERNAL AUDIT AWARENESS MONTH

Each year in May, The IIA encourages members, chapters, and Affiliates around the world to celebrate internal audit accomplishments as part of International Internal Audit Awareness Month. We have much to be proud of, and “spreading the word” each May allows us to display the great value internal audit brings to organizations and the public. But, we are in uncharted territory now with the devastating coronavirus impacting virtually every corner of the globe. Our lives and jobs have been directly affected, with greater demands placed on internal auditors at all levels as we work through the short- and long-term challenges.

That’s why, this May, we’re going to respect your priorities, your commitment to helping your organizations, and postpone formal activities for Awareness Month. Focus now on what matters most, but we ask that you also pause now and then to chronicle your successes through these times, where you and your team have risen to the occasion and demonstrated the value your efforts bring to your organizations.

In a few months, we will be reaching out to you to find out about those great accomplishments, how internal audit was a beacon of light during the darkest of days. And we’ll share your stories.

Internal audit plays a vital role at all times, but especially during crises. Let’s spread the word and tell the world!
<table>
<thead>
<tr>
<th>Date</th>
<th>Event Description</th>
<th>Speaker/Dates</th>
<th>Location</th>
<th>Fee</th>
<th>CPEs</th>
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</thead>
<tbody>
<tr>
<td>SEP 17</td>
<td>Standards for Tennessee CPAs—State Specific Ethics</td>
<td>Dr. Perry Moore</td>
<td>TBD</td>
<td>TBD</td>
<td>2 CPEs</td>
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<tr>
<td>OCT TBD</td>
<td>Using Data Analytics to Detect Fraud</td>
<td>ACFE Speaker</td>
<td>Rothchild’s</td>
<td>TBD</td>
<td>8 CPEs</td>
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<td>NOV 12</td>
<td>Topic to be announced</td>
<td>FBI Speaker</td>
<td>UT Culinary Institute &amp; Creamery</td>
<td>TBD</td>
<td>2 CPEs</td>
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<tr>
<td>DEC 3</td>
<td>Agile Auditing, AI/RPA, and IT Auditing for Non-IT Auditors</td>
<td>Danny Goldberg</td>
<td>Rothchild’s</td>
<td>$150</td>
<td>8 CPEs</td>
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<tr>
<td>JAN 12</td>
<td>The Politics of Internal Auditing</td>
<td>Steve Goepfert</td>
<td>UT Culinary Institute &amp;</td>
<td>$30</td>
<td>2 CPEs</td>
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The Chapter Achievement Program (CAP) is an achievement/recognition program for chapters that uses points to recognize chapter activities that align with The IIA’s North American Strategic Plan in four service categories:

- **Chapter Health**—Focusing on items from the chapter’s reporting calendar and chapter standards.
- **Service to Members**—Focusing on growing our chapter membership, volunteers, certifications and accounts for programming that we offer our members.
- **Professionalism**—Focusing on giving back to the internal audit profession.
- **Leadership Development**—Focusing on the development of local leaders through online training and cross-leader collaboration.

**HOW OUR CHAPTER MEMBERS CAN CONTRIBUTE TO OUR SUCCESS**

The IIA’s East Tennessee Chapter appreciate your involvement with the internal audit profession. Under the “Professionalism” category of CAP, our members can help the chapter meet its goals by:

- Attending a Chapter event.
- Volunteering with The IIA East Tennessee Chapter.
- Being nominated or appointed to a committee of The IIA.
- Hosting an internal audit event at work (“Lunch and Learn”).
- Meeting with a legislator or local politician to discuss The IIA, legislation, etc.
- Conducting an unpaid speaking engagement inside or outside of the US, Canada or Caribbean.
- Earning an IIA certification.
- Publishing an article in a professional journal or Internal Auditor magazine.

**JUNIOR ACHIEVEMENT INVOLVEMENT**

Do you volunteer with Junior Achievement? Our chapter receives chapter achievement points for these types of activities, too! Please contact Lindsey Shepherd at chapter85@iiachapters.org if you participated.

**CAP LEVELS AND CHAPTER PROGRESS**

*(as of May 2020)*

**CAP LEVELS**

- **BRONZE**—685 Points
- **SILVER**—1,060 Points
- **GOLD**—1,560 Points

Thank you to all of our members who helped us to achieve GOLD this Chapter Year!
NEWS FROM IIA HQ:
The IIA Releases New Auditing Corporate Culture Book
IIA to Offer Remote, Online Testing for CIA, CRMA Certifications
COVID-19 and Internal Audit: Preparing for the New Normal in 2020 and Beyond
NEW! IIA Bulleting: Considerations for IT Disruptions
IIA Launches a New Virtual Symposium Series

RICHARD CHAMBERS’ BLOGS:
COVID-19 Is No Black Swan—It’s in Technicolor
COVID-19 Quick Poll: Internal Auditors Cope With Threats While Embracing Opportunities
Fraud Report Affirms Internal Audit’s Value at Critical Time
Public Servants Are Vital to Defeating COVID-19
Returning to Workplaces Will Be Risky: Roll Up Your Sleeves, Internal Audit

IIA CONFERENCES

2020
Sep. 14-15 Financial Services Exchange
Sep. 16-17 Women in Internal Audit Leadership Forum
Nov. 2-4 IIA International Conference
Nov. 2-4 All Star Conference

IIA INSTRUCTOR-LED CIA COURSES

Online and in-person courses are available. Visit The IIA’s Learning & Events webpage for more information.

STAY CONNECTED
Stay in the loop with Chapter events by following us on LinkedIn and bookmarking our Chapter website.

CONTACT US
chapter85@iia chapters.org
MEETING AND SEMINAR CANCELLATION POLICY

Our Cancellation Policy is intended to keep cost low for attendees. Unless specifically stated for the event, our Cancellation Policy is as follows:

Cancellation requests must be submitted via Modify Registration Page (link provided in Confirmation Email). No refunds or credits will be issued for cancellation requests received within three business days from the start of the event. Event “no-shows” will not be provided a refund or credit.

If the “Pay at Door” method of payment is selected and registration is not canceled within the required time period, you will owe the registration amount and be invoiced after the event. Substitutions are encouraged.

CHAPTER OFFICERS

President
Lindsey Peresich Shepherd
Home Federal Bank of TN

Vice President
Amanda Chandler
Clayton Homes

Treasurer
Laura Sheppard Sharp
PYA

Secretary
Miriam Lara
University of Tennessee

BOARD OF GOVERNORS

April Bailey
Vinnie Beichler
Leigh Cheek
Andrea Griffitts
Gail Lewis
Patty Lowe
Tracy Riggins
Philip Schmidt

Contact us to find out about available volunteer opportunities!

chapter85@iiachapters.org