April 2017 Fort Wayne Chapter Meeting

CAE Panel Discussion
And
Internal Controls and Fraud Case Study

Date:  Wednesday, April 19, 2017

CPE:  Up to 2 CPE credits available

Cost:  Free to IIA Members and Non-Members

Schedule:
9:00 AM – 9:30 AM  Registration and Light Breakfast
9:30 AM – 10:20 AM  Panel Discussion
10:20 AM – 10:30 AM  Break/Session 2 Registrants
10:30 AM – 11:30 AM  Case Study

Registration:  To register, please click here.

Location:  University of Saint Francis
Room BUS 314 – Historic Woman’s Club ballroom
826 Ewing Street
Fort Wayne, IN 46808

Parking:  Free parking is available at the USF Performing Arts Center located at 431 W. Berry Street. See the USF website for directions. Parking maps are also available through Visit Fort Wayne and the Downtown Improvement District.

About:  Join us for a discussion with local chapter members and CAEs as they discuss their experiences and challenges in managing an internal audit function. Topics of discussion to include – the business case for internal audit, structure options, establishing authority and independence, stakeholder expectations, developing the audit universe, executing the audit plan, reporting results, quality assurance, and other resources.

We’ll spend the second hour of the meeting performing a case study on internal controls and fraud.

Panelists:  Eve-Lynn Clarke, MBA, CISA, CGEIT, CRISC, CFSA, CRMA has over 25 years of audit, compliance and risk management experience. She is a former AVP and Director of IT Internal Audit at a fortune 500 financial services company. She has in-sourced, built, and led a new IT internal audit team covering multiple locations and facets of ITGC auditing. She has managed high performance teams of technical specialist from outsourced service providers. Eve-Lynn also formerly worked in risk and advisory services for Big Four firms Ernst & Young and KPMG, LLP. Eve-Lynn received her B.S. in Accounting from Indiana Tech, her MBA from Indiana University and has her CISA, CGEIT, CRISC, CFSA, and CRMA certifications. Eve-Lynn is an Assistant Professor in the Keith Busse School of Business and Entrepreneurial Leadership at the University of Saint Francis; teaching Risk Management, Auditing, Management Information Systems, and Accounting Information Systems courses. She is a Past
President of the Fort Wayne Chapter of the Institute of Internal Auditors and currently serves on the chapter’s Board of Governors.

Jerry Danielson, JD has over 30 years of law, audit, and compliance experience and is currently Assistant Vice President – Field Assurance with the Lincoln Financial Network. In this role, he is responsible for managing field inspections for Lincoln Financial Groups retail distribution. Formerly, he was AVP – LFG Internal Audit Compliance with duties which included overseeing audits of compliance on an enterprise-wide basis and include topics within insurance, anti-money laundering, privacy, securities laws and regulations as well as other subject matters. Previous to that, Jerry held various legal and compliance roles within Lincoln Financial and Mutual Security Life Insurance Company, including Chief Compliance Officer and Associate General Counsel. He is on the Board of Directors of the National Society of Compliance Professionals (NSCP), the Editorial Board of Practical Compliance & Risk Management for the Securities Industry, and is a Past President and former Board member of the Fort Wayne Chapter of the Institute of Internal Auditors (IIA). Jerry is also a frequent panelist for seminars dealing with audit, compliance and anti-money laundering matters, including sessions for NSCP, American Council of Life Insurers (ACLI), and the American Conference Institute, as well as being a former lecturer on audit and investigation topics for National Association of Securities Dealers (now known as FINRA).

Amy Dougal, CPA, CIA, CFSA, CPCU has 15 years of audit experience in the manufacturing and financial services industries, including with Central Insurance Companies where she is currently the Head of Internal Audit. She received a Bachelor of Science in Accounting from Bowling Green State University and a Master of Science in Accountancy degree from the University of Notre Dame. Amy is a member of the Institute of Internal Auditors (IIA), where she serves on the Board of Governors for the Fort Wayne chapter. She is also a member of the City of Fort Wayne Audit Committee, the American Institute of Certified Public Accountants (AICPA), and the Chartered Property Casualty Underwriter (CPCU) Society’s Grand Lake chapter.

Mitch Evans joined Parkview Health as Vice President, Internal Audit, in February 2016. Most recently he led the Zimmer Biomet Internal Audit teams covering Financial, Operational, Strategic, FCPA, IT and Compliance audits. Previous to that, he created Internal Audit functions for Hudson Highland Group, SkypePharma PLC and IKON Office Solutions and worked in world class audit teams at Intel Corporation and Pfizer. He has over 20 years of finance and auditing experience working in more than 75 countries around the world. He is a British Chartered Accountant and a Fellow of the Institute of Chartered Accountants England and Wales. He obtained his B.A. (Hons) in Accounting and Finance from Manchester Metropolitan University, England.

Dan Hines, CPA has 17 years of experience providing risk management and financial reporting guidance to organizations in the insurance, health care and manufacturing industries. He is currently the Internal Audit Director at the Brotherhood Mutual Insurance Company where he is responsible for leading the entity’s Model Audit Rule and Own Risk Solvency Act compliance programs. Dan serves as the Chair of the Membership and Retention Committee for the Fort Wayne Chapter of the Institute of Internal Auditors, and resides with his wife, Lindsay, and children, Charlotte and Grant, in New Haven, Indiana.