President’s Message

Dear Members,

Welcome to our third newsletter issue of the 2019-2020 Chapter year! We had a very successful first breakfast meeting this September 18th at the City Club of Fort Worth. A big thank you to all attendees for joining us. Also, congratulations to our door prize winner, Nicole Lugo from the City of Fort Worth. Steve Goepfert gave a very engaging and educational presentation on Value Added Auditing with suggested internal audit best practices.

Our next event is a full day fraud meeting on October 18, 2019 where Scott Langlini will present on “Fraud Detection and Investigation” from 8:00 AM to 4:30 PM for 8 CPEs at the City Club of Fort Worth. This will be a very engaging and interactive event. Parking will be validated and lunch will be provided!

We strive to provide quality training opportunities at affordable prices. We have a number of great events planned for this year, so mark your calendars:

- November 13, 2019 - 1/2 day Ethics training presented by Richard Mark
- December 13, 2019 - Full Day Yellow Book training by Liana Hart-Fanta

We are also planning back to back joint meetings at the beginning of 2020. Stay tuned for more details:

- Our January 2020 meeting will be with ACFE’s Fort Worth Chapter
- Our February 2020 meeting will be with ISACA North Texas

We always welcome your input on how we can improve and grow our Chapter, develop our members and better serve our professional community. If you have a special interest or talent, we value your help. I encourage you to check out all the other exciting things happening at the Chapter and the profession that are included in this newsletter. Follow us on Facebook, Twitter and on our website.

I look forward to seeing you at our next meeting Friday, October 18! A lucky attendee will receive a $20 gift certificate. You must be present to win!!!

Loretta

Our October full day fraud training opportunity 10/18/2019, will be from 8:00 AM to 4:30 PM at the City Club of Fort Worth. Lunch will be provided:

8 CPEs and the cost is $200
Meeting's and Registration

Almost all of our meetings are held at City Club of Fort Worth. It is a great downtown location. You can register on our website. If you experience difficulty with our website, you can register directly with Vincent Leal (Vincent J.Leal@fortworthtexas.gov)

We highly recommend pre-registration. Walk-ins availability may be limited.

2019 Calendar

8:00 am - 4:30 pm, Fri, Oct 18, 2019 — Full Day Seminar (8 CPEs)
Speaker: Scott Langlinais
Topic: Fraud Detection & Investigation
Location: City Club of Fort Worth

9:30 am - 2:00 pm, Wed, Nov 13, 2019 — Half Day Seminar (4 CPEs)
Speaker: Richard Mark
Topic: Ethics
Location: City Club of Fort Worth

8:00 am - 4:30 pm, Wed, Dec 13, 2019 — Full Day Seminar (8 CPEs)
Speaker: Leita Hart-Fanta
Topic: Yellow Book
Location: City Club of Fort Worth

January Meeting TBD — ACFE Joint Meeting (2 CPEs)
Speaker: Tony Gerth
Topic: Strategic Storytelling
Location: City Club of Fort Worth

Student Memberships

Students remember that you get 2 free monthly meetings when you join the IIA as a member of the Fort Worth chapter. You pay only $40 to join the IIA as a student, then the Fort Worth chapter pays for you to attend 2 monthly meetings. Net cost for your membership to you becomes just $10!! For $40 you mingle with potential employers, get more connected with the Internal Auditing profession, and get 2 great meals for which our professional members pay $35 each. After you have had your 2 free meals, future meetings cost you only $15. Sign up today at www.theia.org!!!

Calling all CAE’s

If you are a CAE and haven’t been contacted about attending our CAE meetings, please contact Michelle Atkins, matkins@JPSHealth.org, our CAE Chair. As a CAE, you have proven your ability to lead; come join us and help lead our chapter into a bright future!

Looking to recruit and get your company visibility at a local university? Jose Lineros will put you in touch with the right individuals and arrange a visit! jose.lineros@unt.edu
October 2019 Full Day Fraud Seminar

**Monthly Meeting:** 10/18/2019 @ 8:00 AM – 4:30 PM

**Location:** City Club of Fort Worth (301 Commerce St., Fort Worth, TX)

**Speaker:** Scott Langlinais

**Presentation:** “Detecting & Responding to Fraud”

**Topic Descriptions:**

Detecting & Responding to Fraud offers the knowledge and skills necessary to reduce losses in your organization and respond to fraud professionally. The practical methods we teach provide solutions for addressing difficult issues, and we reinforce key learning points through case studies and practical group exercises. You will see frauds and solve problems as we encounter them in the field..

Auditors, finance personnel, and investigators, possess the unique ability to defend against fraud, protect their leaders from surprises, and help keep their organizations and clients away from unwelcome media attention.

**Learning Objectives:**

- Overcome mindsets that prevent us from properly addressing fraud;
- Apply a consistent methodology for fraud detection;
- Build discipline into routine activities;
- Avoid common pitfalls in fraud detection;
- Evaluate how well their organization is equipped to handle problems;
- Build proper communication channels for reports of wrongdoing;
- Balance fraud matters with trust and the organization’s mission;
- Identify symptoms of theft and fraudulent reporting within common processes;
- Apply creative approaches to detection;
- Use a checklist for professional response to fraud;
- Use principles of effective thinking and presentation to compile strong evidence; and
- Put it all together into an effective plan.

**Parking:**
Complimentary parking is provided in Garage I and II. Parking tickets will be validated at the third-floor reception desk.

**Cost:** $200.00

**CPE Credits:** 8.0

**Prerequisites:** None

**Learning Level:** Basic
Employment Opportunities

Texas Exchange Bank - Senior Internal Auditor

Location: Fort Worth TX

Closes: Continuous

Advertise with us !!!

Employment advertisements may be placed on the Ft. Worth IIA website.

All submittals should be sent via email in finalized format and preferably using Microsoft Office Software.

IIA members may post jobs for free. All other postings are $50 for one month or $100 for 3 months. Contact Roshan Jayawardene at: Roshan.Jayawardene@arlingtontx.gov or 817-459-6245 for more information or to submit an advertisement.
Membership Corner

Our Newest Members:

We would like to extend our warmest welcome to the newest members:

<table>
<thead>
<tr>
<th>Name</th>
<th>Company</th>
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<tbody>
<tr>
<td>Channing Werts</td>
<td>Charles Swab Company</td>
</tr>
<tr>
<td>Wesley Stinson</td>
<td>Q Investments</td>
</tr>
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Please introduce yourself and welcome them to the Fort Worth Chapter!

How to Join:

To join our chapter, please visit the IIA website at [www.theiia.org](http://www.theiia.org). Please contact our Membership Chairs Eric Peeters ([Eric.Peeters@weaver.com](mailto:Eric.Peeters@weaver.com)) or Lulu Hernandez ([Lulu.Hernandez@weaver.com](mailto:Lulu.Hernandez@weaver.com)) to learn about local Chapter membership benefits and how you can get involved.

Contribute to our newsletter

We welcome articles and suggestions for our newsletter. Please send your newsletter article contributions to be featured in our next edition to our [editor](mailto:editor).

The Fort Worth Chapter of the Institute of Internal Auditors
Certification Central

CONGRATULATIONS to our newly certified members! All your hard work has paid off!

<table>
<thead>
<tr>
<th>Name</th>
<th>Certification</th>
<th>Completion Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dale Russell</td>
<td>CIA</td>
<td>8/26/19</td>
</tr>
<tr>
<td>Ema Mujagic</td>
<td>CIA</td>
<td>8/31/19</td>
</tr>
<tr>
<td>Lise Boulanger</td>
<td>CIA</td>
<td>9/18/19</td>
</tr>
</tbody>
</table>

Unlock Your Door to Opportunity:

CIA Designation

The Certified Internal Auditor (CIA) designation is the only globally accepted certification for internal auditors and remains the standard by which individuals demonstrate their competency and professionalism in the internal auditing field.

If you decided to open the door to this professional opportunity, the Fort Worth Chapter can help.

The IIA’s CIA Learning System® is an interactive Certified Internal Auditor® (CIA®) review program combining reading materials and online study tools with optional facilitator-led courses to teach and reinforce the entire global CIA exam syllabus in a flexible, on-demand format.

CIA Program at Rice University

*Rice University partners with the Institute of Internal Auditors to offer a three-part live, instructor-led online Certified Internal Auditor® (CIA®) program taught by Vicki McIntyre [www.glasscock.rice.edu/cia](http://www.glasscock.rice.edu/cia)*

Our expert instructor will deliver live, scheduled lectures...
IIA Learning Seminars—Online

The following courses are being offered online during August. Click on any course for details and pricing, individual course dates and times, and registration information.

Sept. 30 - Oct. 11
⇒ CIA Exam Preparation—Part 3

Oct. 8-17
⇒ Building a Sustainable Quality Program

Oct. 15-17
⇒ IT General Controls

Oct. 21-30
⇒ Enterprise Risk Management: A Driver for Organizational Success

Oct. 22-31
⇒ Advanced Risk-based Auditing (NEW)

Oct. 28-30
⇒ Leveraging and Influencing Generational Differences

IIA Members Only Webinar Series

Don’t miss this opportunity for free CPE! The IIA’s webinars are free informational programs available in both live and on-demand formats for 24/7 access. Webinars provide viewers with a cost-effective and convenient way to immediately boost business know-how. Downloadable presentation materials are available for each webinar.

Upcoming Webinars

October 15, 2019
⇒ Members-only Webinar: Enhancing Internal Audit Effectiveness Through the Core Principles

November 12, 2019
⇒ Members-only Webinar: Blockchain Technology: What Internal Auditors Should Know
Upcoming Events

2019 Dallas Super Conference

When: Oct 28, 2019
Where: Renaissance Hotel / Dallas, TX

Objective: We are excited to announce that the 1 day conference will provide general sessions and concurrent sessions for a total of 8 CPE hours. Concurrent Sessions will be segmented within the following eight tracks to provide a smaller class size and more intimate experience:

♦ Ethics & Corporate Governance
♦ Emerging Risks
♦ IT Audit Best Practices
♦ IA Department Leadership and Communication
♦ Auditing Techniques
♦ Industry Specific Audit Topics
♦ Analytics, Analysis & Software
♦ IA and SOX Best Practices

Event CPE Details:
♦ CPE Hours: 8.0
♦ Prerequisites Required: None
♦ Advanced Preparation: None
♦ Delivery Method: Group-Live

You must sign in at the conference to receive CPE credit. An online CPE certificate will be available to print after you have completed the online evaluation survey.
Upcoming Events

2019 All Star Conference

When: Oct 21-23, 2019
Where: MGM Grand/Las Vegas, NV

All Star 2019 sees the addition of two dedicated tracks for gaming and hospitality industries, in addition to new keynote speakers and second appearances from top-rated presenters from previous IIA events. This addition brings more value to all attendees, by allowing networking and interaction with a larger variety of internal auditors from more industries.

WHO SHOULD ATTEND?

IIA members and nonmembers at every level in their career will benefit from this unique blend of top-rated presentations from a year of stellar IIA Headquarters, International, and chapter conferences along with new insightful keynote presenters. Go beyond processes and controls to explore the essential role internal audit can play within the organization by identifying enterprise-wide business efficiencies, providing strategic insights that improve business performance, and provoking insights that focus on the risks that matter.

WHAT’S IN IT FOR YOU?

- **Experience customized learning** — choose the sessions that matter most to you and your enterprise.
- **Interact face-to-face** — Gain insights and share ideas with colleagues from a cross section of industries at this one-of-a-kind of event with in-demand, encore presentations.
- **Update your knowledge and skills** — earn valuable CPE credits, and leave prepared to take new techniques, tools and strategies home with you to help improve your job performance and meet stakeholder expectations.

WHAT’S IN IT FOR YOUR ORGANIZATION?

- **Exceptional value for your training dollars** — Receive documentation from every session that can be shared with colleagues.
- **Access to industry experts** — To-ranked industry leaders/presenters will share best practices and reveal insights that can be implemented immediately.
- **Meet leading vendors** — Visit the exhibit area to discover products that decrease enterprise expenses and increase return on investment. Get answers directly from product representatives.
Upcoming Events

Fort Worth IIA Service Event

**When:**  Nov. 3, 2019 10 AM - 2 PM  
**Where:**  Ronald McDonald House in Fort Worth  
*(1001 8th Ave, Fort Worth, TX 76104)*

Our Chapter has signed up to prepare and serve lunch to families as part of the Ronald McDonald House’s Meals from the Heart Program.

Please make sure to read the full information on the event page before you commit. We are limited to 15 FW IIA volunteer members, so act fast!

Register Here!

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Exchanges & Symposiums

Click on each banner to see more information.

**Public Sector Virtual Symposium**

*Preparing Public Sector Auditors for Today’s Terrain*

**When:**  Nov 5 & 7, 2019  
**Where:**  Web Console Live Stream
Continuing Professional Education (CPE) and Continuing Professional Development (CPD) Requirements—Ethics!

In 2019, two of your CPE/CPD credits must be earned in Ethics. Learn more. The IIA currently provides Ethics for Internal Auditors, an OnDemand course that can help you obtain these CPE. The IIA will be adding additional ethics training options throughout 2019 and beyond.

ETHICS TRAINING

Kill 2 Birds With One Stone!!!

You Can’t Beat This Deal With a Stick!!!

Individuals with certifications from the IIA are required to complete two CPE hours focused on the subject of ethics annually. Ethics training hours may be applied toward the individual’s total annual CPE requirement. The IIA does not prescribe where an individual must obtain this training. However, the training must occur annually, within the reporting period. This course is designed to meet the IIA’s annual Ethics requirement.

This course is also designed to meet the four-hour ethics CPE requirement for Texas CPA’s. The course overviews ethical judgment and the ethical standards of the profession and will aid Texas CPA’s in applying ethical judgment when interpreting the various standards and rules applicable to the practice of public accountancy within the State of Texas. The materials provide illustrative examples of real-life ethical dilemmas and include preventative measures to avoid ethical violations.

COST: $100, includes lunch

LOCATION: City Club of Fort Worth

DATE: November 20, 2019
CAP Reporting

We have achieved GOLD for 2019!!

Overview

Chapter Achievement Program (CAP) is a chapter benchmarking tool that provides guidelines for expected performance at the chapter level. The IIA sponsors the CAP as a way to recognize and encourage local chapters to participate in activities that promote the overall IIA and chapter objectives and membership growth. The chapter earns points by participating in activities that support its members, the profession, and the community.

IIA currently offers four levels of CAP performance. A chapter can advance from one level to the next by accumulating the points noted below:

- **BRONZE** – 685 CAP Points
- **SILVER** – 1,060 CAP Points
- **GOLD** – 1,560 CAP Points
- **PLATINUM** – Special level to recognize chapters that attain GOLD status for 10 of 11 consecutive years.

CAP points are earned for our accomplishments in three service categories:

- Service to Members
- Service to the Profession
- Chapter Administration

How can you help us reach our goal?

- Any speaking engagement on internal auditing for which CPE was awarded, date, location and number of CPE
- Submit articles to be published in the Internal Auditor (extra points for getting published!!!)
- Submit articles to be published in other professional journals
- Serve on an IIA Quality Review Team
- Submit exam questions for CIA
- Teach in Junior Achievement

These are few examples. If you have performed any of the above, please email our President, Loretta Salvatore at [Loretta.Salvatore@fwsd.org](mailto:Loretta.Salvatore@fwsd.org) with details.
CIA Program Changes

Effective September 1
The following changes to the certification programs are effective September 1:
- The exam waiting period (formerly 90 days) will now be 60 days. This applies to all IIA programs;
- The Certified Internal Auditor® (CIA®) program window (formerly 4 years) will now be 3 years; and
- The Internal Audit Practitioner program will no longer require work experience.

Two New Practice Guides

♦ Demonstrating the Core Principles for the Professional Practice of Internal Auditing

This practice guide explains how conforming with the Mandatory Guidance of the IPPF supports the realization of the broader, more encompassing Core Principles.

♦ Foundations of Internal Auditing in Financial Services Firms

The demand for internal auditors with financial services knowledge and experience is growing rapidly, propelled by regulatory pressures to appropriately staff internal audit programs with the right volume and caliber of resources. This has led many internal audit activities within the highly regulated financial services industry to increase their staff in accordance with the new global operating environment and in terms of quality and quantity. This practice guide provides practitioners with specific knowledge that addresses functions in a unique atmosphere, such as requirements and expectations of regulators, complexity of products, and the role of internal auditors.

Volunteer for IIA Leadership!

Volunteers
Influence, Innovate, and Lead!

Apply for 2020-21