President’s Message

Dear Members,

Welcome to our fifth newsletter issue of the 2019-2020 Chapter year! We had a very successful half day Ethics training this November 13th. A big thank you to all attendees for joining us. Congratulations to our door prize winner, Vincent Leal from the City of Fort Worth. Richard Mark gave an engaging training session on Ethics with a session of Ethics Jeopardy.

Our next event is a full day Yellow book training Friday, December 13, 2019 with Leita Hart-Fanta from 8:00 AM to 4:30 PM for 8 CPEs at the City Club of Fort Worth. Leita is an experienced facilitator, having led over 1200 full or multi-day seminars. She was named an “Outstanding Presenter” by the Institute of Internal Auditors in 2014 and is the founder of “Yellowbook-CPE.” This is a great opportunity to get your Yellow book training in before the end of the year! Parking will be validated and lunch will be provided!

We are taking a collection for Ronald McDonald House Fort Worth (RMHFW) at the December 13th meeting and will deliver these items after the meeting to RMHFW. The items include: individually wrapped snack foods, pop tarts, regular and decaf ground coffee, creamer and sugar packets (individually wrapped), hand soap/sanitizer, dryer sheets, HE laundry detergent, disinfectant wipes, dishwasher detergent, dishwashing liquid, paper towels, plates, bowls, and napkins (no Styrofoam), disposable cups, plastic utensils, toilet paper, facial tissue, food prep gloves. What a great opportunity to donate to an organization who helps lessen the burden on families with sick children, especially during the holidays!

We strive to provide quality training opportunities at affordable prices. We have a number of great events planned for this year, so mark your calendars:

Our joint meeting with the Fort Worth Chapter ACFE will be a breakfast meeting on January 29, 2020. Dr. Tony Gerth-UNT will present on “Strategic Storytelling- How to listen, be credible, and exert more influence”. Amanda Stevens-Senior Associate at Whitley Penn will present on “Marital Dissolution-Investigating Financial Infidelity.”

Our February 2020 meeting will be with ISACA North Texas (3 CPE) City Club Fort Worth Ballroom. Stay tuned for more details.

We always welcome your input on how we can improve and grow our Chapter, develop our members and better serve our professional community. I encourage you to check out all the other exciting things happening at the Chapter and the profession that are included in this newsletter. Follow us on Facebook, Twitter and on our website. I look forward to seeing you at our next training December 13! Don’t forget to bring your donations to the training for RMHFW! Loretta

Our December training opportunity 12/13/2019, will be from 8:00 am to 4:30 pm at the City Club of Fort Worth. Lunch will be provided.

8 CPEs and the cost is $200
Meeting's and Registration

Almost all of our meetings are held at City Club of Fort Worth. It is a great downtown location. You can register on our website. If you experience difficulty with our website, you can register directly with Vincent Leal (Vincent J.Leal@fortworthtexas.gov)

We highly recommend pre-registration. Walk-ins availability may be limited.

2019 Calendar

8:00 am - 4:30 pm, Wed, Dec 13, 2019 — Full Day Seminar (8 CPEs)
Speaker: Leita Hart-Fanta
Topic: Yellow Book
Location: City Club of Fort Worth

8:30 am - 10:30 am, Wed, Jan 29, 2020 — Monthly Meeting (2 CPEs)
Speaker: Dr. Tony Gerth and Amanda Stephens
Topic: Strategic Storytelling and Marital Dissolution: Investigating Financial Infidelity
Location: City Club of Fort Worth

TBD, Wed, Feb 19, 2020 — Joint Meeting with ISACA (2 CPEs)
Speaker: TBD
Topic: TBD
Location: City Club of Fort Worth

Student Memberships

Students remember that you get 2 free monthly meetings when you join the IIA as a member of the Fort Worth chapter. You pay only $40 to join the IIA as a student, then the Fort Worth chapter pays for you to attend 2 monthly meetings. Net cost for your membership to you becomes just $10!!! For $40 you mingle with potential employers, get more connected with the Internal Auditing profession, and get 2 great meals for which our professional members pay $35 each. After you have had your 2 free meals, future meetings cost you only $15. Sign up today at www.theiia.org!!!

Calling all CAE’s

If you are a CAE and haven’t been contacted about attending our CAE meetings, please contact Michelle Atkins, matkins@JPSHealth.org, our CAE Chair. As a CAE, you have proven your ability to lead; come join us and help lead our chapter into a bright future!

Looking to recruit and get your company visibility at a local university? Jose Lineros will put you in touch with the right individuals and arrange a visit! jose.lineros@unt.edu

City Club of Fort Worth:
301 Commerce St
Fort Worth
TX 76102
www.cityclubfw.com
December 2019 Full Day Fraud Seminar

Monthly Meeting: 12/13/2019 @ 8:00 am – 4:30 pm

Location: City Club of Fort Worth (301 Commerce St., Fort Worth, TX)

Speaker: Leita Hart-Fanta

Presentation: “Yellow Book 2018”

Topic Descriptions:

The GAO’s 20218 Yellow Book (Generally Accepted Government Auditing Standards) sets a high bar for government auditors. In this eight-hour course we will apply foundational concepts and requirements such as auditor independence, competence, and quality control to our engagements. This will be a refresher of all Yellow Book standards applicable to performance audits, with specific emphasis on the changes in the 2018 revisions. We will also dig into the new internal control documentation and reporting standards.

Objectives:

• Apply the ethics, independence, and competence standards to your team
• Define the new internal control documentation requirements
• Identify the layers of quality control on a Yellow Book engagement
• Refine objectives and related conclusions and opinions
• Create a finding using the five elements of a finding
• Define planning, documentation and reporting requirements
• Identify reportable conditions including internal control weakness

Parking:
Complimentary parking is provided in Garage I and II. Parking tickets will be validated at the third-floor reception desk.

Cost: $200.00
CPE Credits: 8.0
Prerequisites: None
Learning Level: Basic
Employment Opportunities

GM Financial—Senior Auditor

Location: Fort Worth TX

Closes: Continuous

GM Financial—IT Controls Assurance Analyst II

Location: Fort Worth, TX

Closes: Continuous

Advertise with us !!!

Employment advertisements may be placed on the Ft. Worth IIA website.

All submittals should be sent via email in finalized format and preferably using Microsoft Office Software.

IIA members may post jobs for free. All other postings are $50 for one month or $100 for 3 months. Contact Roshan Jayawardene at: Roshan.Jayawardene@arlingtontx.gov or 817-459-6245 for more information or to submit an advertisement.
Membership Corner

Our Newest Members:

We would like to extend our warmest welcome to the newest members:

<table>
<thead>
<tr>
<th>Name</th>
<th>Company</th>
</tr>
</thead>
</table>

Please introduce yourself and welcome them to the Fort Worth Chapter!

How to Join:

To join our chapter, please visit the IIA website at [www.theiia.org](http://www.theiia.org). Please contact our Membership Chair Lulu Hernandez ([Lulu.Hernandez@weaver.com](mailto:Lulu.Hernandez@weaver.com)) to learn about local Chapter membership benefits and how you can get involved.

Contribute to our newsletter

We welcome articles and suggestions for our newsletter. Please send your newsletter article contributions to be featured in our next edition to our [editor](mailto:editor).
Certification Central

CONGRATULATIONS to our newly certified members! All your hard work has paid off!

<table>
<thead>
<tr>
<th>Name</th>
<th>Certification</th>
<th>Completion Date</th>
</tr>
</thead>
</table>

Unlock Your Door to Opportunity:

CIA Designation

The Certified Internal Auditor (CIA) designation is the only globally accepted certification for internal auditors and remains the standard by which individuals demonstrate their competency and professionalism in the internal auditing field.

If you decided to open the door to this professional opportunity, the Fort Worth Chapter can help.

The IIA’s CIA Learning System® is an interactive Certified Internal Auditor® (CIA®) review program combining reading materials and online study tools with optional facilitator-led courses to teach and reinforce the entire global CIA exam syllabus in a flexible, on-demand format.

CIA Program at Rice University

Rice University partners with the Institute of Internal Auditors to offer a three-part live, instructor-led online Certified Internal Auditor® (CIA®) program taught by Vicki McIntyre www.glasscock.rice.edu/cia

Our expert instructor will deliver live, scheduled lectures...
IIA Learning Seminars—Online

The following courses are being offered online during August. Click on any course for details and pricing, individual course dates and times, and registration information.

Dec. 2-4
⇒ IT General Controls

Dec. 2-13
⇒ CIA Exam Preparation—Part 2: Practice of Internal Auditing

Dec. 3-12
⇒ Fundamentals of IT Auditing

Dec. 3-12
⇒ Operational Auditing: Influence Positive Change

Dec. 9-18
⇒ Building a Sustainable Quality Program

Dec. 11-12
⇒ Data Analysis for Internal Auditors

Dec. 16-19
⇒ Statistical Sampling for Internal Auditors

Dec. 17
⇒ Fundamentals of Internal Auditors

IIA Members Only Webinar Series

Don’t miss this opportunity for free CPE! The IIA’s webinars are free informational programs available in both live and on-demand formats for 24/7 access. Webinars provide viewers with a cost-effective and convenient way to immediately boost business know-how. Downloadable presentation materials are available for each webinar.

Upcoming Webinars

December 17, 2019

January 21, 2020
⇒ Members-only Webinar: Data Ethics
Upcoming Events

2020 General Audit Management Conference

When: Mar 16-18, 2020

Where: Las Vegas, NV

For 40 years, the GAM Conference has been the essential experience for audit executives to be influential, indispensable, and informative. The tradition of excellence will continue with a future-focused theme, 2020 Vision—Influencing the Next Decade of Internal Audit. The top-notch program features industry influencers who will share their perspectives on how to best position audit leaders for future advancements.

WHO SHOULD ATTEND?

Audit executives, including CAEs, VPs, audit committee and board members, audit directors, and other stakeholders affected by the internal audit process, will find in-depth exploration of issues facing today’s executives and the opportunity to network with peers for 3.5 days, an invaluable experience. The following topics will be covered:

• Elevating Value
• Innovating Use of Technology
• Effectively Assessing Risk
• Leading with Influence and Cultivating Talent
• Mitigating Cyber

WHAT’S IN IT FOR YOU?

• Innovation—Transform the future of audit executive management through relevant content and information from esteemed speakers.
• Interaction—Enjoy networking with leaders from national and international audit departments to share and exchange sustainable ideas and strategic insights for effective outcomes.
• Impact—Discover leading practices, success strategies, data-driven insights, and trends that will position you as a seasoned leader in internal audit and provide your organization with a competitive advantage in an increasingly globalized world.
• Partnerships—Explore new partnership opportunities with internal audit decision makers.
• Experts—Benefit from the expertise of some of the world’s leading authorities in and outside of the internal audit field.
• Value—Gain valuable take-home tools to facilitate positive outcomes.

WHAT’S IN IT FOR YOUR ORGANIZATION?

• Exceptional value for your training dollars — Receive documentation from sessions that can be utilized to train and share insights with your audit team.
• Access to industry experts—Industry leaders will be sharing strategies, tools, and skills to enhance your influence and value as a trusted leader.
• Meet leading vendors — Visit the exhibit area to get answers and discover products that move your organization forward while decreasing enterprise expense and increasing ROI.
• Immediately actionable—Gain competencies and resources to bolster your impact and credibility in audit management.
Upcoming Events

University of North Texas—Professional Accounting Student Society (PASS)

Mission is to expose University of North Texas (UNT) business students to the various accounting professions by developing networking opportunities with practitioners in those fields.

Weekly meetings are held on Wednesday evening from 5:00 to 6:00PM and bi-weekly business meetings are held on Monday evenings from 5:00 to 6:00PM. During these meetings, your company will have the opportunity to give a presentation to the UNT PASS Chapter and on current events and topics around the accounting profession. These meetings are a great way to meet and network with PASS ‘s student members.

To Schedule a Presentation with UNT PASS

E-Mail: untpass@gmail.com

Contact: Mark Baldwin

Faculty Advisor: Dr. Peter Kipp

Learn More Here!
CAP Reporting

We have achieved GOLD for 2019!!

Overview

Chapter Achievement Program (CAP) is a chapter bench-marking tool that provides guidelines for expected performance at the chapter level. The IIA sponsors the CAP as a way to recognize and encourage local chapters to participate in activities that promote the overall IIA and chapter objectives and membership growth. The chapter earns points by participating in activities that support its members, the profession, and the community.

IIA currently offers four levels of CAP performance. A chapter can advance from one level to the next by accumulating the points noted below:

- **BRONZE** – 685 CAP Points
- **SILVER** – 1,060 CAP Points
- **GOLD** – 1,560 CAP Points
- **PLATINUM** – Special level to recognize chapters that attain GOLD status for 10 of 11 consecutive years.

CAP points are earned for our accomplishments in three service categories:

- Service to Members
- Service to the Profession
- Chapter Administration

How can you help us reach our goal?

- Any speaking engagement on internal auditing for which CPE was awarded, date, location and number of CPE
- Submit articles to be published in the Internal Auditor (extra points for getting published!!!)
- Submit articles to be published in other professional journals
- Serve on an IIA Quality Review Team
- Submit exam questions for CIA
- Teach in Junior Achievement

These are few examples. If you have performed any of the above, please email our President, Loretta Salvatore at Loretta.Salvatore@fwisd.org with details.
CPE Reporting Window Now Open

Don’t forget to report your CPE by December 31!

In addition, members may opt-in to the IIA Certification Registry at this time, which provides an opportunity for employers and recruiters to verify your certification.

Download your complimentary copy of OnRisk 2020: A Guide to Understanding, Aligning, and Optimizing Risk. This unique report captures the views of key risk management players and exposes troubling misalignments in perceptions on risk management. Click on the banner above to find out more!

New Practice Guide

Unique Aspects of Internal Auditing in the Public Sector

Public Sector organizations are expected to serve the public good, uphold principles of ethical governance, and comply with myriad laws and regulations. Yet the nature of politics may put pressure on, or conflict with, ethical governance principles.

Based on professional guidance from the International Standards for the Professional Practice of Internal Auditing and practical insights from global internal audit professionals, the guide advises CAEs and internal auditors about planning and performing internal audit engagements while properly managing the opposing force of political pressures and ethical principles.