President’s Message

Hi FWIIA members,

Our November meeting included two very thought-provoking presentations on case studies of fraud, and having the meeting jointly with the FWACFE made for some very interesting discussions over the speaker presentations. There were also several students that attended the meeting. It was very inspiring to hear them identify what controls were disregarded that may have allowed the fraud to occur, in one of the case studies.

Our December training opportunity on 12/13/16, will be a half day seminar with lunch; held 8am to 1pm at the Petroleum Club, 4 CPE’s and the cost is $75.00. The presenter Mike Jacka is an award-winning columnist and author known for his work with Internal Auditor magazine. The seminar topic is “Auditing Social Media: Helping the Organization Control the Conversation”. Organizations in all areas are recognizing social media as a significant risk. However, they are often caught unaware by the emerging issues that are part of this new technology. This session, will discuss the basics of social media, the emerging risks, and approaches to mitigation of these risks.

The Fort Worth Chapter of the IIA will be giving away 2 free registrations to the IIA’s Dallas seminars offered June 27-30, 2017 and August 22-25, 2017. In order to qualify, Fort Worth chapter members must attend 6 of the 7 monthly meetings and seminars, from November to May. The free registrations will be given away in a drawing at the May meeting.

Our meetings and seminars are open to members and nonmembers, so if you have coworkers, staff or friends that you think could benefit from our training opportunities, please invite them.

Debbie
Meeting's and Registration

Almost all of our meetings are held at the Fort Worth Petroleum Club. It is a great downtown location. Beautiful views from the 40th floor.

We highly recommend pre-registration. Walk-ins availability may be limited.

You can register on our website. If you experience difficulty with our website, you can register directly with Lee Hagelstein at Lee.Hagelstein@arlingtontx.gov

Please visit the Petroleum club website for Map & Directions at: www.fwpetroleumclub.com

2016-2017 Calendar

<table>
<thead>
<tr>
<th>Event Date</th>
<th>Event Time</th>
<th>Event Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dec 13, 2016</td>
<td>8:00 am - 1:00 pm</td>
<td>Half Day Seminar (4 CPEs) Mike Jacka — Auditing Social Media: Helping the</td>
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<td>Organization Control the Conversation</td>
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<tr>
<td>Jan 10, 2017</td>
<td>10:30 am - 1:30 pm</td>
<td>Monthly Meeting (2 CPEs) Whitley Penn — Cybersecurity</td>
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<tr>
<td>Feb 14, 2017</td>
<td>7:45 am</td>
<td>CAE meetings</td>
</tr>
<tr>
<td>Feb 14, 2017</td>
<td>10:30 am - 1:30 pm</td>
<td>Joint ISACA Meeting (2 CPEs) Chris Pretiger &amp; Justin Pell—Report Writing</td>
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<tr>
<td>Feb 14, 2017</td>
<td></td>
<td>Geoffreys Woodbury &amp; Matt Wilson—Next-gen audit and compliance</td>
</tr>
<tr>
<td>Mar 14, 2017</td>
<td>10:30 am - 1:30 pm</td>
<td>Monthly Meeting (2 CPEs) Debbie &amp; Rich Townsend — Construction</td>
</tr>
<tr>
<td>Apr 7, 2017</td>
<td>8:00 am - 5:00 pm</td>
<td>Full Day Seminar (8 CPEs) Angela Morelock—Fraud &amp; Embezzlement: Lessons From</td>
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<tr>
<td></td>
<td></td>
<td>the Trenches</td>
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<tr>
<td>May 9, 2017</td>
<td>7:45 am</td>
<td>CAE meetings</td>
</tr>
<tr>
<td>May 9, 2017</td>
<td>10:30 am - 1:30 pm</td>
<td>Monthly Meeting (2 CPEs) Richard M. Stohl — Keys to Success in Auditing—Health</td>
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<td>Benefits Administration</td>
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<td>Richard M. Stohl — Ten Ways Internal Auditors Can Assist in Controlling Health</td>
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<td></td>
<td></td>
<td>Benefits Costs.</td>
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</tbody>
</table>
December Half-Day Seminar

Half Day Seminar: 8:00 am – 1:00 pm (Lunch will be provided)

Speaker: Mike Jacka, CIA, CPA, CPCU, CLU

Presentation Subject: Auditing Social Media: Helping the Organization Control the Conversation

Location: Petroleum Club

Speaker's Bio: Mike Jacka is an award-winning columnist and author known for his work with Internal Auditor magazine including the blog “From the Mind of Mike Jacka” and the magazine’s lighter side pieces such as “Alice in Auditland”, “Auditing Songs for the Holidays”, and “Auditors Anonymous”. Retiring from a 30-year career in internal audit with Farmers Insurance, he is now the Chief Creative Pilot for Flying Pig Audit, Consulting, and Training Solutions (FPACTS). He is a top-rated presenter and the co-author of the books Business Process Mapping: Improving Customer Satisfaction (now in its second edition), Auditing Social Media: A Governance and Risk Guide, and The Marketing Strategy: A Risk and Governance Guide to Building a Brand. He has also recently published Auditing Humor and Other Oxymorons.

Topic:

Auditing Social Media: Helping the Organization Control the Conversation

Organizations in all areas are recognizing social media as a significant risk. However, they are often caught unaware by the emerging issues that are part of this new technology. This session, by discussing the basics of social media, the emerging risks, and approaches to mitigation of these risks, will provide internal auditors the information they need to help their organizations navigate this constantly evolving area.

Hours Available: 4.0
Prerequisites: None
Knowledge Level: Basic/ Intermediate
Advance Preparation: None
Registration is now Open!

We highly recommend pre-registration. Walk-ins availability may be limited.

Registration is OPEN for the December Half Day Seminar:


If you experience difficulty with our website, you can register directly with Lee Hagelstein at Lee.Hagelstein@arlingtontx.gov.
Upcoming Events

General Audit Management Conference

When: March 20–22, 2017

Where: Gaylord Palms / Orlando, FL

Support your organization by Fostering Risk Resilience, the theme for the 2017 General Audit Management (GAM) Conference. For nearly 40 years, GAM has been the premier experience for leaders to explore emerging issues and exchange leading practices for positive outcomes. This year’s program perfectly aligns with recent headlines and can help you establish security measures that abide by proven strategies for optimal results.

The tradition of excellence will continue in 2017 with the industry’s best and brightest thought leaders from Fortune 500 companies and more who will share their perspectives on how to best position internal audit leaders for the future.

Who Should Attend?

Audit executives including CAEs, audit directors, audit committee and board members, and other stakeholders affected by the internal audit process will find the in-depth exploration of the latest issues facing today’s executives and the opportunity to network with peers over 2.5 days an invaluable experience.

If you want to earn valuable CPE credits with a world-class educational program geared specifically to GAM’s executive level delegates, this conference is a must.

Make connections and share leading practices with peers during the many networking opportunities planned throughout the conference.

Check out last year’s recap for highlights.

Earn up to 18.3 CPE credits!
Upcoming Events

2017 IIA International Conference

When: July 23–26, 2017

Where: Sydney, Australia

Join us for The IIA’s 76th annual International Conference, July 23–26 in Sydney, Australia, as we explore the land down under and “L.I.V.E. the Global Experience.”

HOT TOPICS – This event will focus on timely global issues through an exciting and relevant program featuring 10 educational tracks plus an additional one-day track designed for Audit and Risk Committee Members.

OUTSTANDING SPEAKERS – Connect with world-class speakers who will share insights on matters impacting the profession, and enjoy specific sessions designed to allow greater interaction between these thought-leaders and conference delegates.

SOMETHING FOR EVERYONE – With more than 70 educational sessions to choose from, internal audit professionals – from CAEs and heads of internal audit, to directors, managers, and audit staff – can customize a program that is right for them.

NETWORKING – Network and share best practices with 1,500+ colleagues from more than 100 countries.

EARN CONTINUING PROFESSIONAL EDUCATION (CPE) CREDITS – Maintain your IIA certifications by earning your CPE credit requirements.

LOCATION – From the pristine beaches and botanical gardens to the Harbour Bridge, Sydney Opera House, Taronga Zoo, and more, Sydney is a stunning destination that is not to be missed.

VENUE – The newly constructed International Conference Centre Sydney is located within the city’s vibrant business, cultural, and entertainment district and is the ideal platform to collaborate, learn, and innovate.

Mark your calendar now and complete the form to sign up to receive exciting conference developments.
IIA Learning Seminars—Dallas

The following courses are being offered in Dallas, Texas. Click on any course for details and pricing, individual course dates and times, and registration information.

**December 6-9, 2016 - Deloitte and Touche Training Facility**

- SAP ERP Technical Audit

**June 27-30, 2017 - MicroTek Training Facility**

- Audit Report Writing
- Auditor-in-charge Tools and Techniques
- Beginning Auditor Tools and Techniques
- Building a Sustainable Quality Program
- Cybersecurity Auditing in an Unsecure World – NEW!
- Enterprise Risk Management: Elements of the Process – NEW!
- Financial Auditing for Internal Auditors
- Fundamentals of IT Auditing
- The Effective Auditor: Understanding and Applying Emotional Intelligence - NEW!
IIA Learning Seminars—Dallas

The following courses are being offered in Dallas, Texas. Click on any course for details and pricing, individual course dates and times, and registration information.

August 22-25, 2017 - MicroTek Training Facility

⇒ **Analyzing & Improving Business Processes**
⇒ **Assessing Risk: Ensuring Internal Audit's Value**
⇒ **Audit Manager Tools and Techniques**
⇒ **Auditing Culture: Challenges and Proven Techniques (NEW!)**
⇒ **Beginning Auditor Tools and Techniques**
⇒ **Communication Skills for Auditors**
⇒ **COSO Internal Control Certificate**
⇒ **Operational Auditing: Influencing Positive Change**
IIA Members Only Webinar Series

Don’t miss this opportunity for free CPE

The IIA’s webinars are free informational programs available in both live and on-demand formats for 24/7 access. Webinars provide viewers with a cost-effective and convenient way to immediately boost business know-how. Downloadable presentation materials are available for each webinar.

*Topics and dates are subject to change.

Upcoming Webinars

13-December-2016
⇒ Complimentary Fraud Partnership Webinar: SEC Investigations: Are There Benefits to Cooperation and Self-Reporting?

20-December-2016
⇒ Members-only Webinar: The Election’s Over, Now What? Understanding Washington’s Agenda for Public Policy Change in 2017

07-February-2017
⇒ EHS Webinar: Risk Proofing Your Organization And Probably Your Career

IIA eLearning
In-depth training from the comfort of your home or office.
Our goal is nothing short of Gold! We’ve already reached Silver!

Overview

Chapter Achievement Program (CAP) is a chapter benchmarking tool that provides guidelines for expected performance at the chapter level. The IIA sponsors the CAP as a way to recognize and encourage local chapters to participate in activities that promote the overall IIA and chapter objectives and membership growth. The chapter earns points by participating in activities that support its members, the profession, and the community.

IIA currently offers four levels of CAP performance. A chapter can advance from one level to the next by accumulating the points noted below:

- **BRONZE** – 685 CAP Points
- **SILVER** – 1,060 CAP Points
- **GOLD** – 1,560 CAP Points
- **PLATINUM** – Special level to recognize chapters that attain GOLD status for 10 of 11 consecutive years.

CAP points are earned for our accomplishments in three service categories:

- Service to Members
- Service to the Profession
- Chapter Administration

How can you help us reach our goal?

- Any speaking engagement on internal auditing for which CPE was awarded, date, location and number of CPE
- Submit articles to be published in the Internal Auditor (extra points for getting published!!!)
- Submit articles to be published in other professional journals
- Serve on an IIA Quality Review Team
- Submit exam questions for CIA
- Teach in Junior Achievement

These are few examples. If you have performed any of the above, please email our President, Debbie Egly at debbie.egly@fwisd.org with details.
Fund Internal Audit Research and Education

Can We Count On Your Support?

Internal audit's future is supported in two ways — knowledge and education. Research fuels deeper knowledge and understanding of emerging issues and establishing long-term solutions, while academic relations supports internal audit education to fuel a pipeline of new and stronger talent to address the emerging issues of tomorrow.

The IIA Research Foundation (IIARF) and The IIA’s Internal Auditing Academic Advancement Fund (IAAAF) were established specifically to address these ongoing and equally important initiatives.

Our charitable foundations solely exist to cultivate quality internal audit educational programs and relevant research. Our work is only made possible through generous contributions and investments in the future of the profession.

Pulse of Internal Audit

Since 2009, The IIA’s Audit Executive Center has conducted annual Pulse of Internal Audit surveys to assess the state of the internal audit profession and identify trends and emerging issues in the profession and with its stakeholders.

**Embracing Opportunities in a Dynamic Environment**

*Publication date: July 2015*

Emerging risk is not slowing down … it's accelerating. And CAEs are expected to adapt quickly to addressing those risks. The 2015 Global Pulse of Internal Audit report analyzes data from more than 4,500 CAEs and directors from around the world to provide a look at the challenges and opportunities present for internal audit in today’s dynamic business environment and identifies four major themes CAEs must have on their radar.

**DOWNLOAD YOUR FREE COPY**
Unlock Your Door to Opportunity:

CIA Designation

The Certified Internal Auditor (CIA) designation is the only globally accepted certification for internal auditors and remains the standard by which individuals demonstrate their competency and professionalism in the internal auditing field.

If you decided to open the door to this professional opportunity, the Fort Worth Chapter can help.

The IIA’s CIA Learning System® is an interactive Certified Internal Auditor® (CIA®) review program combining reading materials and online study tools with optional facilitator-led courses to teach and reinforce the entire global CIA exam syllabus in a flexible, on-demand format.

CIA Program at Rice University

Rice University partners with the Institute of Internal Auditors to offer a three-part live, instructor-led online Certified Internal Auditor® (CIA®) program taught by Vicki McIntyre www.glasscock.rice.edu/cia

Our expert instructor will deliver live, scheduled lectures via the Internet, covering the global CIA exam syllabus and materials included in the IIA’s CIA Learning System™. Earning the CIA designation is more than just proof of what you know and what you have achieved — it’s the best way for auditing professionals to communicate to the world that they are prepared to meet today’s challenges.

For more information on the CIA designation and other certificates please visit the IIA Certification website: 

Click Here!
Student Memberships

Students remember that you get 2 free monthly meetings when you join the IIA as a member of the Fort Worth chapter. You pay only $35 to join the IIA as a student, then the Fort Worth chapter pays for you to attend 2 monthly meetings. Net cost for your membership to you becomes just $5!!! For $35 you mingle with potential employers, get more connected with the Internal Auditing profession, and get 2 great meals for which our professional members pay $35 each. After you have had your 2 free meals, future meetings cost you only $15. Sign up today at www.theiia.org!!!

Member Profiles

Be sure to update your personal profile with IIA headquarters. We plan to offer some new features this year that you will want to take full advantage of, and the only way to do that is to ensure your contact information is correct with headquarters.

Calling all CAE’s

If you are a CAE and haven’t been contacted about attending our CAE meetings, please contact Michelle Atkins, matkins@JPSHealth.org, our CAE Chair. As a CAE, you have proven your ability to lead; come join us and help lead our chapter into a bright future!
December 31st is just around the corner! Have you reported your CPEs yet?

The clock is ticking, but you still have time to earn and report CPE for this period. CPEs are due by December 31 for the following certificates: CIA, CRMA, CCSA, CFSA, CGAP.

Earn the CPE credits you need with a variety of qualifying educational opportunities offered by The IIA at www.theiia.org

If you are ready to report your CPEs:

Log in to The IIA’s Certification Candidate Management System (CCMS) with your ID and CCMS password.

2017 Pulse of Internal Audit Survey—Seeking CAE Input

The IIA’s Audit Executive Center regularly seeks to identify emerging issues for you and your fellow internal audit professionals in North America, and we can’t do it without you. This is your opportunity to help inform the profession on timely and relevant topics. The current edition of the Pulse of Internal Audit survey focuses on the hidden risks in several important areas: internal audit’s use of data analytics, nonfinancial reporting, interpersonal dynamics, third-party vendors, and environmental, health and safety risks.

Click here to provide your feedback.
Employment Opportunities

=> Pinnacle Bancorp Inc-Senior Internal Auditor
Location: Gretna, Nebraska, or FT Worth, Texas

Advertise with us !!!

Employment advertisements may be placed on the Ft. Worth IIA website.

All submittals should be sent via email in finalized format and preferably using Microsoft Office Software.

IIA members may post jobs for free. All other postings are $50 for one month or $100 for 3 months. Contact Roshan Jayawardene at: Roshan.Jayawardene@arlingtontx.gov or 817-459-6245 for more information or to submit an advertisement.
Membership Corner

Our Newest Members:

We would like to extend our warmest welcome to the newest members:

<table>
<thead>
<tr>
<th>Name</th>
<th>Company</th>
</tr>
</thead>
<tbody>
<tr>
<td>Randel Wagner</td>
<td>Lockheed Martin Aeronautics Company</td>
</tr>
<tr>
<td>Michael Ham</td>
<td>KMG Chemicals</td>
</tr>
<tr>
<td>Rafeea Almas</td>
<td>Happy State Bank</td>
</tr>
<tr>
<td>Scott Walker</td>
<td>TD Ameritrade Holding Corporation</td>
</tr>
</tbody>
</table>

Please introduce yourself and welcome them to the Fort Worth Chapter

How to Join:

To join our chapter, please visit the IIA website at [www.theiia.org](http://www.theiia.org). Please contact our Membership Chair Kathy Perkins ([Kathy.perkins@fwisd.org](mailto:Kathy.perkins@fwisd.org)) to learn about local Chapter membership benefits and how you can get involved.

Contribute to our newsletter

We welcome articles and suggestions for our newsletter. Please send your newsletter article contributions to be featured in our next edition to our [editor](mailto:editor).