President’s Message

Hello again.

We had our second full day seminar of the year on October 17. We had a FANTASTIC program, with 52 registrants. Courtenay Thompson delighted with his presentation entitled, “A New Look at Fraud for Auditors”. This one-day seminar took a fresh look at fraud and explored factors contributing to fraud growing and going undetected. It provided practical approaches auditors can use to deter and detect fraud. Topics covered included:

- Fraud related roles for auditors and management
- How to address current fraud-related risks
- Fraud involving third parties
- Getting commitment from management and others
- How to overcome barriers to addressing fraud
- How to see what others don’t
- Detecting fraud in routine audit activity
- Using data mining and analytics

Be sure to sign up for our November 4 meeting. We have another fantastic program to celebrate the chapter’s 60th anniversary. Our IDEA/ACL group will meet at 10, then we will celebrate the chapter’s history with as many prior chapter presidents as we can locate. Then Steve Goepfert, CIA, CPA, QIAL, CRMA, Retired VP Internal Audit of United Airlines and former Global IIA Chairman, will be speaking to us about “The A, B, Cs of Leadership.” Leadership is an important element in our work environment, in community activities, and in our everyday lives. In this session, Steve will draw upon his observations of 25+ years as a Chief Auditor to describe the A, B, Cs of leadership. He will provide practical examples of traits that build successful leaders and the motivations that create world-class workplaces. In recognition of the Chapter’s 60th anniversary, Mr. Goepfert will also highlight the value of growing and providing leaders in IIA Chapter activities.

We have our programs all lined up for the year, so make note of the meeting dates and plan to join us. The next full day seminar will also be on a Friday, and most of the monthly meetings will be on the second Tuesday of the month. We have a joint meeting with ACFE and with ISACA this year. March will be our Women’s month.

MEMBER PROFILES

Be sure to update your personal profile with IIA headquarters. We plan to offer some new features this year that you will want to take full advantage of, and the only way to do that is to ensure your contact information is correct with headquarters.

CALLING ALL CAE’S

If you are a CAE and haven’t been contacted about attending our CAE meetings, please contact Sonia Thomas, our CAE Committee Chair. sthomas@firstcommand.com We held two CAE meetings last year, and plan to host at least that many in coming years. As a CAE, you have proven your ability to lead; come join us and help lead our chapter into a bright future! We had our first CAE meeting before the September monthly meeting.

~Steve Shepherd, Fort Worth IIA President
steve.shepherd@fwisd.org
November Pre-Meeting

Pre-lunch Meeting: 10:00am - 10:30am

Presentation Subject: ACL - IDEA

If you are an ACL or IDEA user, plan to come early to our November meeting. Get there a little before 10 and join us for some informal discussions about ACL and IDEA. If you are a user, come prepared to talk about some of the cool things you do with the package of your choice. If you are thinking about using one or the other, come with plenty of questions that our users can answer. This informal group has exciting potential for all of us to share ideas and learn from each other.
November Meeting

Pre-lunch Meeting: 10:30am -11:30am

Presentation Subject: Celebrating Our 60th Anniversary

Overview:
Celebrate with us as we welcome and recognize past Fort Worth Chapter Presidents. Past Fort Worth Chapter leaders that contributed to the Fort Worth Chapter throughout the years.

Past Presidents:

<table>
<thead>
<tr>
<th>Year</th>
<th>President</th>
<th>Year</th>
<th>President</th>
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<tbody>
<tr>
<td>54/55</td>
<td>WE Gwin</td>
<td>85/86</td>
<td>John Gore</td>
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<tr>
<td>55/56</td>
<td>CE Nuttingham</td>
<td>86/87</td>
<td>Vernon Evans</td>
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<td>56/57</td>
<td>HC Enas</td>
<td>87/88</td>
<td>Costa Triantaphilides</td>
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<td>57/58</td>
<td>Wilber White</td>
<td>88/89</td>
<td>Steve Alexander</td>
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<td>58/59</td>
<td>AE Stacey</td>
<td>89/90</td>
<td>Leslie Peterson</td>
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<td>59/60</td>
<td>GB Gibson</td>
<td>90/91</td>
<td>Fred Navarrete</td>
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<td>60/61</td>
<td>OW Smith</td>
<td>91/92</td>
<td>Michele Koh</td>
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<td>61/62</td>
<td>WR McCamy</td>
<td>92/93</td>
<td>Dana Spinney</td>
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<td>62/63</td>
<td>VJ Darino</td>
<td>93/94</td>
<td>Steve Shepherd</td>
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<td>63/64</td>
<td>Glenn West</td>
<td>94/95</td>
<td>Mark Baker</td>
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<td>64/65</td>
<td>Harold Purnell</td>
<td>95/96</td>
<td>Susan Huelskamp</td>
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<td>65/66</td>
<td>DS Waters Jr</td>
<td>96/97</td>
<td>Brian Sears</td>
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<td>66/67</td>
<td>Edwin Langford</td>
<td>97/98</td>
<td>Pat Conley</td>
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<td>67/68</td>
<td>SR McSwain</td>
<td>98/99</td>
<td>Carolyn Hoopes</td>
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<td>68/69</td>
<td>Joseph Verba</td>
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<td>Jacqueline Hernandez</td>
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<td>69/70</td>
<td>Ray Howell</td>
<td>00/01</td>
<td>Eric Bundy</td>
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<td>70/71</td>
<td>Pard Ward</td>
<td>01/02</td>
<td>Silvester Smith</td>
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<td>71/72</td>
<td>Kenneth Alvis</td>
<td>02/03</td>
<td>Freda Gregory</td>
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<td>72/73</td>
<td>AE Stacey</td>
<td>03/04</td>
<td>Jeanette Nichols</td>
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<td>73/74</td>
<td>Jerry Green</td>
<td>04/05</td>
<td>Jeremy Gregory</td>
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<td>74/75</td>
<td>Edwin Young</td>
<td>05/06</td>
<td>Mackenzie Smith</td>
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<td>75/76</td>
<td>Jess Ray</td>
<td>06/07</td>
<td>David Williams</td>
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<td>76/77</td>
<td>Kenneth Walker</td>
<td>07/08</td>
<td>Terry Hartzog</td>
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<td>77/78</td>
<td>Charles Layton</td>
<td>08/09</td>
<td>Steve Conwell</td>
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<tr>
<td>78/79</td>
<td>Arthur Husband</td>
<td>09/10</td>
<td>Terry Hartzog</td>
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<tr>
<td>79/80</td>
<td>James Maddux</td>
<td>10/11</td>
<td>Terry Hartzog</td>
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<tr>
<td>80/81</td>
<td>Vikki Pier</td>
<td>11/12</td>
<td>Malinda Hall</td>
</tr>
<tr>
<td>81/82</td>
<td>Neil Grant</td>
<td>12/13</td>
<td>Michelle Griffin</td>
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<tr>
<td>82/83</td>
<td>Wayne Stevens</td>
<td>13/14</td>
<td>Steve Shepherd</td>
</tr>
<tr>
<td>83/84</td>
<td>Burt Mullins</td>
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<td>84/85</td>
<td>Jack Holder</td>
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</table>

CPE Hours Available: 1.0
Prerequisites: None
Knowledge Level: Basic/Intermediate
November Meeting

Post- lunch Meeting: 12:00pm -1:00pm

Presentation Subject: “The A, B, Cs of Leadership”

Speaker: Steve Goepfert, CIA, CPA, QIAL, CRMA

Speaker’s Bio: Steve was the Vice President - Internal Audit (Chief Auditor) for United Airlines in Chicago, Illinois. He assumed the role after the merger of United and Continental in October 2010. He had previously been Chief Auditor at Continental Airlines for 21 years.

He was the 2006-2007 Chairman of the Board for the Institute of Internal Auditors, Inc. (IIA). At the Global level, Steve served on the IIA’s Executive Committee for 6 years (2003-09) and on the IIA Board for 7 years. He previously served as Senior Vice Chairman and Vice Chairman – Professional Services and is a past President of the Houston Chapter (1999-2000), and served on its Board for 17 years. In 2012, Steve was inducted into the inaugural class of the IIA’s American Hall of Distinguished Audit Practitioners. He was recognized in 2013 with the Victor Brink award for distinguished service to the IIA.

Steve has held industry audit posts including Chairman of the International Association of Airline Internal Auditors (IAAIA) in 1996 and Chairman of the Air Transport Association of America (ATA) Audit Panel in 2003.

Steve is a member of the LSU Center for Internal Auditing Advisory Board and serves or has served on the Texas A&M, University of Texas at Austin, University of Houston, University of Tennessee - Knoxville and Southern Illinois University (SIU) at Carbondale Accounting Advisory Councils.

A CIA, CPA and CRMA, he started his career with the Big 8 firm of Coopers & Lybrand, where he worked for over 5 years. Steve graduated with highest honors from SIU. He was inducted into the SIU College of Business Hall of Fame in 2010.

Registration is open for the November meeting:

https://chapters.theiia.org/fort-worth/Events/Pages/November_Chamber_Meeting.aspx

CPE Hours Available: 1.0
Prerequisites: None
Knowledge Level: Basic/ Intermediate
Advance Preparation: None
Meetings Location

Almost all of our meetings are held at the Fort Worth Petroleum Club. It is a great downtown location. Beautiful views from the 40th floor.

Please visit the Petroleum club website for Map & Directions at: www.fwpetroleumclub.com

Registration

We highly recommend pre-registration. Walk-ins availability may be limited.

Registration is open for the November meeting:

https://chapters.theiia.org/fort-worth/Events/Pages/November_Chapter_Meeting.aspx

If you experience difficulty with our website, you can register directly with Lee Hagelstein at Lee.Hagelstein@arlingtontx.gov

2014-2015 Calendar

<table>
<thead>
<tr>
<th>Date</th>
<th>Event</th>
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<tbody>
<tr>
<td>9-Dec-14</td>
<td>IDEA/ACL Users Group</td>
<td>13-Jan-14</td>
<td>IDEA/ACL Users Group</td>
<td>10-Feb-15</td>
<td>IDEA/ACL Users Group</td>
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<td>10:30</td>
<td>Dr. Thomas Work/Life Balance</td>
<td>10:30</td>
<td>Randy Jordan Joint ACFE</td>
<td>10:30</td>
<td>Don Sparks Continuous Auditing (Joint ISACA)</td>
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<td>12:00</td>
<td>Sonia Thomas Keeping Healthy Stress Levels</td>
<td>12:00</td>
<td>TBD-Joint ACFE</td>
<td>12:00</td>
<td>TBD-Joint ISACA</td>
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<td>3-Mar-15</td>
<td>IDEA/ACL Users Group</td>
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<td>Friday, 4/17/2015</td>
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<td>10:00</td>
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<td>10:30</td>
<td>Stephanie Slate Women’s Month</td>
<td>to</td>
<td>Full Day Seminar TBD</td>
<td>10:30</td>
<td>Danny Goldberg People-Centric Skills</td>
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<tr>
<td>12:00</td>
<td>Daliah Saper Social Media Ramifications</td>
<td>5:00</td>
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<td>12:00</td>
<td>John Woznoski</td>
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</tbody>
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CHAPTER MEETINGS

The Fort Worth Petroleum Club:

777 Main Street
40th Floor
Fort Worth
TX 76102
Certified Government Auditing Professional (CGAP) - Fee Waiver

The Certified Government Auditing Professional® (CGAP®) certification program is designed especially for auditors working in the public sector at all levels — federal/national, state/provincial, local, quasi-governmental, or crown authority — and is an excellent professional credential that prepares you for the many challenges you face in this demanding environment.

The CGAP exam is offered in the following languages: Chinese (unsimplified), English, Polish, Spanish, and Turkish.

Open the Doors of Opportunity and Unlock Savings

Take Advantage of the CGAP Application Fee Waiver in October—Up to a US$200 Value*

Apply between Oct. 1–31, 2014, and save up to US$200 automatically. This offer may not apply in countries where exams are administered through agreements with IIA institutes. Contact your local institute for details. Excludes exam registrations.

Enter CCMS and visit the Complete a Form section to apply.

Make CGAP be Your Key to Opportunity

Whether you are seeking a promotion, exploring a position outside your organization, or simply pursuing professional development opportunities to help you tackle increasingly complex responsibilities while preparing for future challenges, an IIA certification can:

- Increase your earning potential by up to 45 percent.*
- Help you gain credibility and respect in the field.
- Enhance your skills and knowledge.
- Demonstrate your commitment to the profession.

*According to The IIA’s 2013 Internal Audit Compensation Study, the average salary of internal auditors who hold one or more certifications is 45 percent higher than that of peers with no certifications (based on U.S. responses).

Visit IIA website at www.theiia.org for more information.
Chapter Achievement Program (CAP) News

On behalf of our President, it is with great pleasure I announce that our Chapter has achieved Silver status only a few months into this year! Please help us reach our goal of Gold status!

A chapter must earn the minimum CAP points in each of the three service categories to qualify for a performance level. The service categories are: Service to Members, Service to the Profession, and Chapter Administration. Once the minimum points are achieved per service category, the chapter qualifies for performance levels: Bronze, Silver and Gold. The Platinum level is reserved for chapters which maintain Gold for 10 years. A chapter can advance to SILVER or GOLD levels by accumulating the points from the three service levels (while also meeting the minimums above).

How can you help us reach our goal?

- Any speaking engagement on internal auditing for which CPE was awarded, date, location and number of CPE
- Submit articles to be published in the *Internal Auditor* (extra points for getting published!!!)
- Submit articles to be published in other professional journals
- Serve on an IIA Quality Review Team
- Submit exam questions for CIA
- Teach in Junior Achievement

These are a few examples. If you have performed any of the above, please email Steve Shepherd at steve.shepherd@fwisd.org with the details.

Congratulations to 3 UNT students

One of our Board members, Dr. Conover from University of North Texas, took 3 of her students to the IAEP Leadership and Networking Retreat. There were 10 teams in the case competition. Qi Wang was on the first place team, and Jennifer Fries was on the second place team!!! Both students are officers in UNT’s ISACA/IIA/ACFE student organization and both have attended Fort Worth IIA meetings in the past. Help me congratulate these students and Dr. Conover for a highly respectable showing and for representing our chapter in such an endeavor.
Visit Our new Website

Please make note of our new website, https://chapters.theiia.org/fort-worth/Pages/default.aspx. Be sure and take the opportunity to thank Liana Hadjigeorgiou and Anthony Minor for making this new website a reality. And, keep your fingers crossed that all the registration problems we experienced last year are behind us.

Like our Chapter on Facebook

FWIIA chapter is now on FACEBOOK thanks to Dana Nuber and Ashley Smith from UTA. Join the discussions there: www.facebook.com/fortworthiia

~Steve Shepherd, Fort Worth IIA President
steve.shepherd@fwisd.org
Upcoming Events

3rd Annual Dallas SuperConference

Meeting: October 24, 2014

Location: Intercontinental, Dallas, TX

We are excited to announce that the 1 day conference will provide 3 general sessions and 4 concurrent sessions for a total of 8 CPE hours. Concurrent Sessions will be segmented within the following eight tracks to provide a smaller class size and more intimate experience:

- Emerging Risks
- IA Department Leadership & Communication
- $ Impact Auditing
- Internal Audit Best Practices
- Data Analytics & Continuous Monitoring
- IT Audit Best Practices
- Cyber Security & IT Risks
- Corporate Governance

For more information visit www.Dallasia.org

CPE Hours Available: 8.0
Prerequisites: None
Knowledge Level: All Levels
Advance Preparation: None
Upcoming Events

2014 All Star Conference

Meeting: October 28–30, 2014

Location: Caesar’s Palace / Las Vegas, NV

Strategies for Achieving Internal Audit Excellence

Now in its 10th year, the All Star Conference offers you more. By featuring The IIA’s highest rated presenters from the past year of conferences as ranked by attendees, All Star provides cutting-edge insights on issues impacting the internal audit environment and beyond.

The 2014 All Star Conference looks beyond processes and controls to explore the essential role internal audit can play within the organization by identifying enterprise wide business efficiencies, providing strategic insights that improve business performance, and provoking insights that focus on the risk that matters.

What You’ll Gain

- Essential information on how to improve internal audit’s efficiency and effectiveness, and streamline processes to drive cost savings across all business units.
- Insights to offer broader risk coverage and the sense of urgency surrounding current and emerging risks.
- Tools to create a competitive advantage by contributing to sustainable business improvements.
- Strategic insights toward aligning internal audit’s role with the organization’s key business objectives.
- Up to 18 CPE credits. Additional CPE are available by attending pre-conference sessions.

No other organization offers the breadth and depth of professional development you’ll experience at All Star. Audit professionals at every level of their career will benefit from networking and benchmarking with peers and will return to their organizations fresh and re-energized with strategies for achieving internal audit excellence.

For more information visit https://na.theiia.org/training/conferences/AllStar/Pages/2014-All-Star-Conference.aspx

CPE Hours Available: 18.0
Prerequisites: None
Knowledge Level: All Levels
Advance Preparation: None
Fraud Seminars

Meeting: November 18-20, 2014
Location: Deloitte & Touche Training Facility - Dallas
Dallas, TX
For more information visit the IIA website.

November 18-19, 2014
Purchasing Fraud: Auditing and Detection Techniques

The course will also enable participants to:
- Overview of the Purchasing Cycle
- Purchasing Fraud Schemes
- Risk-based Strategy to Fight Purchasing Fraud
- Internal Controls Over Purchasing
- Purchasing Fraud Detection Processes
- Detecting Particular Purchasing Fraud Schemes
- Internal Audit's Role in Purchasing Fraud Investigations

November 20, 2014
Internal Auditing for FCPA & Anti-Corruption:
Leading Practice Considerations from the SEC and DOJ Guidance

The course will also enable participants to:
- Assess your organization’s risks related to corruption,
- Detect common corruption schemes and red flags,
- Recognize elements of an effective anti-corruption and FCPA compliance program.
- Design internal audit steps to evaluate such programs.

Course Duration: 3 day(s)
CPE Hours Available: 24
Knowledge Level: Intermediate
Prerequisites: None
Advance Preparation: None
October Full Day Seminar

A great full day Fraud seminar by Courtenay Thompson!
Unlock Your Door to Opportunity:

CIA Designation

The Certified Internal Auditor (CIA) designation is the only globally accepted certification for internal auditors and remains the standard by which individuals demonstrate their competency and professionalism in the internal auditing field.

If you decided to open the door to this professional opportunity, the Fort Worth Chapter can help.

The IIA’s CIA Learning System® is an interactive Certified Internal Auditor® (CIA®) review program combining reading materials and online study tools with optional facilitator-led courses to teach and reinforce the entire global CIA exam syllabus in a flexible, on-demand format.

The Fort Worth Chapter has partnered with Gleim! As a member of the chapter, you are eligible for a 20% discount on Gleim’s CIA Review materials. In addition, the chapter can earn a FREE CIA Review System to use for fundraising or as part of a scholarship program. Contact our chapter rep. Melissa Leonard melissa.leonard@gleim.com.

Certified Internal Auditor Program at Rice University

Rice University partnered with the IIA to offer a new three-part Certified Internal Auditor® (CIA®) program in a convenient online format using voice-over-IP technology, so your classmates attend class at the same time you do from the convenience of their computers. Our expert instructor will deliver live, scheduled lectures via the Internet, covering the global CIA exam syllabus and materials included in the IIA’s CIA Learning System™. Earning the CIA designation is more than just proof of what you know and what you have achieved — it’s the best way for auditing professionals to communicate to the world that they are prepared to meet today’s challenges.
IIA Members Only Webinar Series

Don't miss this opportunity for free CPE

The IIA’s webinars are free informational programs available in both live and on-demand formats for 24/7 access. Webinars provide viewers with a cost-effective and convenient way to immediately boost business know-how. Downloadable presentation materials are available for each webinar.

Upcoming Webinars:

- 18-November-2014
  Members-only Webinar: Transforming Your Audit Department With Technology

- 16-December-2014
  Members-only Webinar: Creativity and Innovation in Internal Audit

Student Memberships

Students remember that you get 2 free monthly meetings when you join the IIA as a member of the Fort Worth chapter. You pay only $35 to join the IIA as a student, then the Fort Worth chapter pays for you to attend 2 monthly meetings. Net cost for your membership to you becomes just $5!! For $35 you mingle with potential employers, get more connected with the Internal Auditing profession, and get 2 great meals for which our professional members pay $30 each. After you have had your 2 free meals, future meetings cost you only $15. Sign up today at www.theiia.org!!!
Employment Opportunities

» Hotline Administrator - BNSF
  Location: Fort Worth, TX

» Senior Auditor - Celanese Corporation
  Location: Irving, TX

» External Reporting, Senior Analyst-Celanese Co.
  Location: Irving, TX

» Senior Auditor - City Of Fort Worth
  Location: Fort Worth, TX

» Senior Financial Audit - Sabre
  Location: Southlake, TX

» Risk Advisory, Senior Associate I - Weaver
  Location: Fort Worth, TX

» Risk Advisory, Experienced Associate - Weaver
  Location: Fort Worth, TX

Advertise with us !!!

Employment advertisements may be placed on the Ft. Worth IIA website.

All submittals should be sent via email in finalized format and preferably using Microsoft Office Software.

IIA members may post jobs for free. All other postings are $50 for one month or $100 for 3 months. Contact Roshan Jayawardene at: Roshan.Jayawardene@arlingtontx.gov
Our New Members

We would like to extend our warmest welcome to the newest members:

<table>
<thead>
<tr>
<th>First Name</th>
<th>Last Name</th>
<th>Company</th>
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<tbody>
<tr>
<td>Jan</td>
<td>Daniel</td>
<td>Bouhs, UNT student</td>
</tr>
<tr>
<td>Fuongda</td>
<td>Bui</td>
<td>TD Ameritrade Holding Corporation</td>
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<tr>
<td>Marianela</td>
<td>Hanen</td>
<td>GM Financial</td>
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<td>Sabrina</td>
<td>Lane</td>
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<tr>
<td>Courtney</td>
<td>Brooke</td>
<td>Brandon, M. Weiss and Associates, Inc.</td>
</tr>
<tr>
<td>Carla</td>
<td>Williams</td>
<td>FWISD</td>
</tr>
<tr>
<td>Glenn</td>
<td>Spencer</td>
<td>University of Texas Systems</td>
</tr>
</tbody>
</table>

Please introduce yourself and welcome them to the Fort Worth Chapter.

To join our chapter, please visit the IIA website at [www.theiia.org](http://www.theiia.org). Please contact our Membership Chair Kathy Perkins ([Kathy.perkins@fwisd.org](mailto:Kathy.perkins@fwisd.org)) to learn about local Chapter membership benefits and how you can get involved.

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Contribute to our newsletter

We welcome articles and suggestions for our newsletter. Please send your newsletter article contributions to be featured in our next edition to our editor.